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**Νέες μοριακές προσεγγίσεις για την αύξηση των  
επίπεδων της λιποπρωτεΐνης υψηλής  
πυκνότητας (HDL) στο ήπαρ και την  
θεραπεία της στεφανιαίας νόσου**

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**Ph.D. Thesis**

**New molecular approaches for the increase of  
High Density Lipoprotein (HDL) levels  
in the liver and the treatment  
of Coronary Artery Disease**

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# ABSTRACT

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The aim of the present PhD thesis was to investigate the molecular mechanisms that control the expression of genes that are involved in lipoprotein metabolism and to identify new molecular approaches that increase HDL levels in plasma.

Lipoprotein metabolism involves the transport of lipids, particularly cholesterol and triglycerides, from intestine and liver to peripheral tissues and from periphery back to the liver (reverse cholesterol transport) and is facilitated by numerous proteins including apolipoproteins, membrane transporters, plasma enzymes and lipoprotein receptors. Lipoprotein lipase (LPL) plays a critical role in lipoprotein remodeling by catalyzing the hydrolysis of triglycerides (TGs) present in TG-rich lipoprotein particles such as very low density lipoprotein (VLDL) and chylomicrons (CMs) to free fatty acids for the subsequent storage in adipose tissue or utilizations for the production of energy by various tissues. LPL is expressed primarily in the adipose tissue but it is also expressed at lower levels in other tissues including the liver. The role of LPL in the adult liver has been controversial due its low levels of expression but recent studies in mouse models of liver LPL overexpression or deficiency have revealed important new roles of the enzyme in glucose and lipid metabolism.

In **Part I** we characterized the mechanism that controls the expression of human LPL in hepatic cells at the level of transcription. First, we cloned the human LPL promoter and performed a deletion analysis using transactivation assays in human hepatoblastoma HepG2 cells. We revealed that the proximal region -109/-28 is important for basal hepatic LPL promoter activity. An *in silico* analysis of this region showed that it harbors a putative binding site, at position -47/-40, for the hepatic transcription factor forkhead box A2 (FOXA2) or Hepatocyte Nuclear factor 3 $\beta$  (HNF-3 $\beta$ ), shown previously to play important roles in lipid and glucose homeostasis. Silencing of endogenous FOXA2 expression in HepG2 cells (using a specific siRNA) reduced the LPL mRNA and protein levels. Direct binding of FOXA2 to the novel binding site was established using chromatin immunoprecipitation assays, *ex vivo* and DNA affinity precipitation assays *in vitro*. This element was further characterized by site directed mutagenesis and it was found that five nucleotide substitutions in the FOXA2 site abolished the binding of FOXA2 and reduced the basal activity of the LPL promoter and the FOXA2-mediated transactivation. Next, we studied the effect

of insulin on the hepatic regulation of the LPL gene in HepG2 cells and we showed that insulin induces the phosphorylation of AKT and the nuclear export of FOXA2 causing a reduction in the LPL mRNA levels and promoter activity. Based on these findings, we proposed a novel role of FOXA2 in the regulation of the human LPL gene in hepatic cells by insulin.

In **Part II** we elucidated the mechanism of regulation of the human LPL gene by Liver X Receptors (LXR) in hepatic cells. Previous studies in mice had shown that the expression of LPL gene in the liver is strongly induced by high fat diets and synthetic agonists that activate the nuclear receptors LXR and RXR (Retinoid X Receptor). In agreement with these findings, we showed that treatment of HepG2 cells or primary mouse hepatocytes with the LXR synthetic agonist T0901317 upregulated the expression of LPL gene in mRNA and protein levels. Moreover, the nuclear receptors LXR $\alpha$  and RXR $\alpha$  transactivated strongly the human LPL promoter in response to their ligands in HepG2 cells and deletion analysis of the human LPL promoter established that the minimal region required for LXR/RXR transactivation was the -109/-28, which involves the FOXA2 binding site. Interestingly, we demonstrated very weak binding of nuclear receptors LXR $\alpha$  and RXR $\alpha$  to the proximal human LPL promoter, using chromatin immunoprecipitation assays, suggesting that additional factors are required for LXR action. Silencing of the endogenous FOXA2 gene using a specific siRNA in HepG2 cells and in mouse primary hepatocytes caused an inhibition of the oxysterol-inducible expression of LPL gene at both the mRNA and protein levels. Importantly, insulin, which inactivates FOXA2 via its nuclear exclusion, reduced the oxysterol-inducible expression of LPL gene, indicating the importance of FOXA2 in the lipid homeostasis in the liver. Next, we found that FOXA2 and ligand-activated LXR $\alpha$ /RXR $\alpha$  transactivated the human LPL promoter in a synergistic fashion. The mutations in the FOXA2 binding site (-47/-40) inhibited the synergistic transactivation of the LPL promoter by FOXA2 and LXR $\alpha$ /RXR $\alpha$ . Finally, we performed co-immunoprecipitation and GST pull down assays and established physical interactions between FOXA2, LXR $\alpha$  and RXR $\alpha$  in vitro and in vivo. An extended DNA binding domain (DBD) of LXR $\alpha$  is required for physical interactions with the at least one of the two transactivation domains of FOXA2. In conclusion, the findings of Part I and II suggest that the newly identified FOXA2 binding site in the LPL promoter serves as a novel LXRE that facilitates the induction of the LPL gene by oxysterols via FOXA2. Through an insulin-AKT-FOXA2-LPL signaling pathway



the overexpression of LPL is prevented in the liver under conditions of cholesterol overload protecting this tissue from the toxic effects of LPL.

In **Part III** we focused on the regulation of genes that are involved in HDL biogenesis and the remodeling by transcription factor FOXA2 and LXRs in hepatic cells. Previous studies had shown that mice heterozygous for FOXA2 have reduced levels of HDL in the plasma. Using *in silico* analysis, we identified putative binding sites for the FOXA2 factor in proximity with characterized LXR responsive elements (LXREs) in the promoters of various human HDL genes encoding the lipid transporters ABCG1, the hepatic lipase (LIPC) and the cholesteryl ester transfer protein (CETP). In agreement with previous studies, we showed that treatment of HepG2 cells and primary mouse hepatocytes with the synthetic LXR ligand, T0901317, caused a strong induction of mRNA levels of ABCG1, ABCG5, ABCG8 and CETP genes. Inactivation of the FOXA2 factor by siRNA silencing or insulin in primary mouse hepatocytes and HepG2 cells reduced the basal mRNA levels and also the induction of ABCG5 and ABCG8 genes, by T0901317, indicating that FOXA2 is critical for the upregulation of these lipid transporters by the LXR ligands. With transactivation assays, we established that FOXA2 or LXR $\alpha$ /RXR $\alpha$  overexpression in the presence of their ligands in HepG2 cells increased the activity of the promoters of the ABCG5 and ABCG8 genes. In agreement with these findings, silencing the expression of LXR $\alpha$  or LXR $\beta$  in HepG2 cells, via lentivirus expressing shRNAs specific for each LXR isoform, reduced the mRNA levels of ABCG8 and ABCG5 genes. Furthermore, FOXA2 and ligand-activated LXR $\alpha$ /RXR $\alpha$  transactivated in a synergistic manner the promoter of ABCG8 gene, but not the ABCG5 promoter. Unexpectedly, FOXA2 inhibited the induction of the ABCG5 promoter by LXRs and oxysterols in HepG2 cells, suggesting that more distal transcription factor binding sites far from the coding regions of the genes may be involved and via DNA looping regulate coordinately the expression of ABCG5 and ABCG8 genes. These findings are in line with the synergistic transactivation of LPL promoter by nuclear receptors LXR $\alpha$ /RXR $\alpha$  and the transcription factor FOXA2, as described above.

Understanding in depth the mechanisms by which lipid and glucose metabolic pathways are interconnected in the liver by factors such as FOXA2 may open the way to novel therapeutic strategies to increase HDL levels and protect patients with metabolic diseases such as coronary heart disease, dyslipidemia, diabetes and the metabolic syndrome.

## ΠΕΡΙΛΗΨΗ

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Οι κύριοι ερευνητικοί στόχοι της παρούσας διδακτορικής διατριβής ήταν να απαντηθούν ερωτήματα που αφορούν στη διερεύνηση των μοριακών μηχανισμών που ρυθμίζουν την έκφραση γονιδίων που συμμετέχουν στο μεταβολισμό των λιποπρωτεϊνών στο ήπαρ και να τακτοποιηθούν νέες μοριακές στρατηγικές για την αύξηση των επιπέδων της HDL στο πλάσμα.

Ο μεταβολισμός των λιποπρωτεϊνών περιλαμβάνει τη μεταφορά λιπιδίων, ιδιαίτερα χοληστερόλης και τριγλυκεριδίων, από το έντερο και το ήπαρ προς τους περιφερικούς ιστούς και αντίστροφα από τη περιφέρεια πίσω στο ήπαρ (αντίστροφη μεταφορά χοληστερόλης). Στα μονοπάτια αυτά εμπλέκονται πολλές πρωτεΐνες, συμπεριλαμβανομένων των απολιποπρωτεϊνών, μεμβρανικοί μεταφορείς, ένζυμα του πλάσματος και υποδοχείς λιποπρωτεϊνών. Η λιποπρωτεϊνική λιπάση (LPL) παίζει σημαντικό ρόλο στο μεταβολισμό των λιποπρωτεϊνών καθώς καταλύει την υδρόλυση των τριγλυκεριδίων που βρίσκονται στα λιποπρωτεϊνικά σωματίδια πλούσια σε τριγλυκερίδια, όπως είναι τα χυλομικρά και η VLDL (very low density lipoprotein). Τα λιπαρά οξέα που ελευθερώνονται στους ιστούς αποθηκεύονται στο λιπώδη ιστό ή χρησιμοποιούνται για παραγωγή ενέργειας από διάφορους ιστούς. Η LPL εκφράζεται σε υψηλά επίπεδα κυρίως στο λιπώδη ιστό και τους μύες, αλλά ανιχνεύεται σε χαμηλά επίπεδα σε άλλους ιστούς, συμπεριλαμβανομένου και του ήπατος. Ο ρόλος της LPL στο ενήλικο ήπαρ είναι αμφιλεγόμενος εξαιτίας των χαμηλών επιπέδων έκφρασης της σ' αυτόν τον ιστό. Παρ' όλα αυτά, πρόσφατες μελέτες σε μοντέλα ποντικών στα οποία υπερκεράζεται ή απαλείφεται η LPL στο ήπαρ, έχουν αναδείξει τη σημαντικότητα αυτού του ενζύμου στο μεταβολισμό των λιπιδίων και της γλυκόζης.

Στην **Ενότητα I**, χαρακτηρίσαμε το μηχανισμό που ρυθμίζει την έκφραση του γονιδίου LPL του ανθρώπου στα ηπατικά κύτταρα σε μεταγραφικό επίπεδο. Αρχικά κλωνοποιήσαμε τον υποκινητή της ανθρώπινης LPL και πραγματοποιήσαμε ανάλυση των απαλοιφών του υποκινητή της LPL με πειράματα παροδικής διαμόλυνσης κυττάρων HepG2. Παρατηρήσαμε ότι η κοντινή περιοχή -109/-28 του υποκινητή είναι σημαντική για τη βασική ηπατική ενεργότητα του υποκινητή του γονιδίου LPL. Με βιοπληροφορική ανάλυση αυτής της περιοχής εντοπίστηκε μια πιθανή θέση πρόσδεσης (-47/-40) για τον παράγοντα FOXA2 (HNF3β), ο οποίος παίζει σημαντικό ρόλο στην ομοιοστάση των λιπιδίων και της γλυκόζης. Αποσιώπηση της έκφρασης

της ενδογενούς πρωτεΐνης FOXA2 στα κύτταρα HepG2 (χρησιμοποιώντας ένα ειδικό siRNA), οδήγησε σε μείωση των επιπέδων πρωτεΐνης και mRNA του γονιδίου LPL. Πειράματα ανοσοκατακρήμνισης χρωματίνης και αλληλεπίδρασης DNA-πρωτεϊνών έδειξαν ότι ο μεταγραφικός παράγοντας FOXA2 προσδένεται στην κοντινή περιοχή -141/-10 του υποκινητή της LPL. Αυτό το ρυθμιστικό στοιχείο χαρακτηρίστηκε περαιτέρω με μεταλλαξιγένεση. Μεταλλάξεις πέντε βάσεων στη θέση πρόσδεσης του FOXA2 (-47/-40) κατάργησαν την πρόσδεση του FOXA2 στη θέση αυτή και μείωσαν σημαντικά τόσο τη βασική ενεργότητα του υποκινητή της LPL όσο και την ενεργοποίηση του υποκινητή από τον παράγοντα FOXA2. Στη συνέχεια, μελετήσαμε την επίδραση της ινσουλίνης στην ηπατική ρύθμιση του γονιδίου LPL στα κύτταρα HepG2. Παρατηρήσαμε ότι η ινσουλίνη αύξησε τα επίπεδα φωσφορυλίωσης της AKT και οδήγησε σε αποκλεισμό του FOXA2 από τον πυρήνα, το οποίο στη συνέχεια προκάλεσε μείωση των επιπέδων mRNA του γονιδίου LPL και της ενεργότητας του υποκινητή. Με βάση αυτά τα ευρήματα, προτείνουμε ένα νέο ρόλο του παράγοντα FOXA2 στη ρύθμιση του γονιδίου LPL του ανθρώπου από την ινσουλίνη, στα ηπατικά κύτταρα.

Στην **Ενότητα II**, διερευνήσαμε το μηχανισμό ρύθμισης του γονιδίου LPL του ανθρώπου από τους πυρηνικούς υποδοχείς LXR (Liver X Receptors) στα ηπατικά κύτταρα. Προηγούμενες μελέτες σε μοντέλα ποντικών είχαν δείξει ότι η έκφρασή του γονιδίου LPL στο ήπαρ επάγεται ισχυρά από δίαιτες υψηλές σε χοληστερόλη ή από συνθετικούς LXR αγωνιστές που ενεργοποιούν τους πυρηνικούς υποδοχείς LXR και RXR (Retinoid X Receptor). Σε συμφωνία με αυτά τα ευρήματα, δείξαμε ότι επώαση κυττάρων HepG2 ή πρωτογενών ηπατοκυττάρων ποντικού με τον συνθετικό LXR αγωνιστή T0901317 αύξησαν την έκφραση του γονιδίου της LPL τόσο σε επίπεδο RNA όσο και πρωτεϊνών. Επίσης η υπερέκφραση του ετεροδιμερούς LXRα/RXRα σε κύτταρα HepG2 παρουσία των συνδετών τους, ενεργοποίησε ισχυρά τον υποκινητή -883/+39 της ανθρώπινης LPL. ανάλυση των απαλοιφών του υποκινητή της LPL έδειξαν ότι η ελάχιστη περιοχή που απαιτείται για την ενεργοποίηση από τα ετεροδιμερή LXRα/RXRα ήταν η -109/-28, η οποία περιλαμβάνει τη θέση πρόσδεσης του FOXA2. Ενδιαφέρον παρουσιάζει, το γεγονός ότι οι υποδοχείς LXRα και RXRα προσδένονται πολύ ασθενικά στον υποκινητή του γονιδίου LPL, όπως διαπιστώθηκε με πειράματα ανοσοκατακρήμνισης χρωματίνης, υποδεικνύοντας ότι επιπλέον παράγοντες απαιτούνται για την δράση των LXR. Αποσιώπηση της έκφρασης της ενδογενούς πρωτεΐνης FOXA2 στα κύτταρα HepG2 και σε πρωτογενή ηπατοκύτταρα

ποντικού, χρησιμοποιώντας ένα ειδικό siRNA, προκάλεσε δραματική μείωση της επαγωγής της έκφρασης του γονιδίου LPL από τον συνδέτη του LXR, T0901317, σε επίπεδο πρωτεΐνης και mRNA. Αξιοσημείωτη είναι η διαπίστωση ότι η ινσουλίνη, η οποία απενεργοποιεί τον παράγοντα FOXA2 μέσω πυρηνικού του αποκλεισμού, μείωσε την επαγωγή της έκφρασης του γονιδίου LPL από τις οξυστερόλες, υποδεικνύοντας της σημαντικότητα του παράγοντα FOXA2 στην ομοιοστάση των λιπιδίων στο ήπαρ. Έπειτα, παρατηρήσαμε ότι η ταυτόχρονη υπερέκφραση της πρωτεΐνης FOXA2 και των LXRα/RXRα, παρουσία των συνδετών τους, ενεργοποίησε τον υποκινητή της LPL κατά έναν συνεργατικό τρόπο. Καταστρέφοντας τη θέση πρόσδεσης του παράγοντα FOXA2 (με τις μεταλλάξεις που αναφέρθηκαν παραπάνω), αναστάλθηκε σημαντικά η συνεργατική ενεργοποίηση του υποκινητή της LPL από τους πυρηνικούς υποδοχείς LXRα/RXRα και τον παράγοντα FOXA2. Τέλος, πραγματοποιήσαμε πειράματα συν-ανοσοκατακρήμνισης και δοκιμασίες GST pull down και δείξαμε ότι οι μεταγραφικοί παράγοντες FOXA2 και LXR/RXR αλληλεπιδρούν φυσικά in vivo και in vitro. Μια εκτεταμένη DBD (DNA binding domain) περιοχή του υποδοχέα LXRα απαιτείται για τη τις φυσικές αλληλεπιδράσεις με τουλάχιστον μία από τις δύο περιοχές ενεργοποίησης (transactivation domains) του FOXA2. Συνοψίζοντας, τα ευρήματα των Ενοτήτων I και II υποδεικνύουν ότι ή νέα θέση πρόσδεσης του παράγοντα FOXA2 στον υποκινητή της LPL λειτουργεί σαν ένα νέο ρυθμιστικό στοιχείο LXRE, το οποίο διευκολύνει την επαγωγή του γονιδίου LPL από τις οξυστερόλες μέσω του παράγοντα FOXA2. Μέσω ενός σηματοδοτικού μονοπατιού ινσουλίνης- AKT- FOXA2-LPL η υπερέκφραση της LPL στο ήπαρ εμποδίζεται σε συνθήκες περίσσειας χοληστερόλης, προστατεύοντας τον ιστό αυτό από τις τοξικές δράσεις της LPL.

Στην **Ενότητα III**, εστιαστήκαμε στην ρύθμιση γονιδίων που συμμετέχουν στη βιογένεση και αναδιαμόρφωση της HDL από τους μεταγραφικούς παράγοντες FOXA2 και LXRs στα ηπατικά κύτταρα. Προηγούμενες μελέτες έχουν δείξει ότι σε ποντίκια ετερόζυγα για τον παράγοντα FOXA2, τα επίπεδα της HDL στο πλάσμα είναι μειωμένα. Με βιοπληροφορική ανάλυση, εντοπίστηκαν πιθανές θέσεις πρόσδεσης για τον παράγοντα FOXA2 που βρίσκονται κοντά σε χαρακτηρισμένα ρυθμιστικά στοιχεία LXRE, στους υποκινητές των γονιδίων ABCG1, LIPC και CETP. Σε συμφωνία με προηγούμενες μελέτες, παρατηρήσαμε ότι η επώαση κυττάρων HepG2 και πρωτογενών ηπατοκυττάρων ποντικού με τον συνθετικό LXR αγωνιστή , T0901317, προκάλεσε ισχυρή επαγωγή της έκφρασης των γονιδίων

ABCG1, ABCG5, ABCG8 και CETP, σε επίπεδο mRNA. Απενεργοποίηση του παράγοντα FOXA2, μέσω siRNA αποσιώπησης είτε μέσω επίδρασης με ινσουλίνη σε πρωτογενή ηπατοκύτταρα ποντικού ή σε κύτταρα HepG2, μείωσε τα βασικά επίπεδα mRNA αλλά και την επαγωγή των ABCG5 και ABCG8 γονιδίων, από τον αγωνιστή T0901317, υποδεικνύοντας ότι ο FOXA2 έχει σημαντικό ρόλο στην ενεργοποίηση αυτών των μεταφορέων λιπιδίων από τους συνδέτες των πυρηνικούς υποδοχείς LXR. Πραγματοποιώντας πειράματα παροδικής διαμόλυνσης σε κύτταρα HepG2 παρατηρήσαμε ότι η υπερέκφραση της πρωτεΐνης FOXA2 ή των υποδοχέων LXRα/RXRα οδήγησε σε αύξηση της ενεργότητας των υποκινητών των γονιδίων ABCG5 και ABCG8. Σε συμφωνία με αυτά τα αποτελέσματα, αποσιώπηση της έκφρασης της ενδογενούς πρωτεΐνης LXRα ή LXRβ στα κύτταρα HepG2, μέσω λεντιϊών που εκφράζουν ειδικά shRNAs για κάθε ισομορφή των LXR, μείωσε τα επίπεδα mRNA των γονιδίων ABCG5 και ABCG8. Επιπλέον, η ταυτόχρονη υπερέκφραση των πρωτεϊνών FOXA2 και LXRα/RXRα, παρουσία των συνδετών τους ενεργοποίησε κατά έναν συνεργατικό τρόπο τον υποκινητή του γονιδίου ABCG8, αλλά όχι τον υποκινητή του γονιδίου ABCG5. Αντίθετα, ο FOXA2 ανέστειλε την επαγωγή του υποκινητή του γονιδίου ABCG5 από τους LXRs και τις οξυστερόλες στα κύτταρα HepG2, υποδεικνύοντας την ύπαρξη απομακρυσμένων ρυθμιστικών στοιχείων και μεταγραφικών παραγόντων, τα οποία ίσως συνδέονται με λούπες του DNA και με αυτόν τον τρόπο ρυθμίζουν την έκφραση των γονιδίων ABCG5 και ABCG8. Τα ευρήματα αυτά έρχονται σε συμφωνία με την συνεργατική ενεργοποίηση του υποκινητή της LPL από τους πυρηνικούς υποδοχείς LXRα/RXRα και τον παράγοντα FOXA2, όπως περιγράφηκε παραπάνω.

Η κατανόηση σε βάθος των μηχανισμών, με τους οποίους συνδέονται τα μεταβολικά μονοπάτια λιπιδίων και γλυκόζης στο ήπαρ από παράγοντες όπως ο FOXA2 είναι ιδιαίτερα σημαντική για την ανάπτυξη νέων θεραπευτικών στρατηγικών για την αύξηση των επιπέδων της HDL και την προστασία ασθενών με μεταβολικές ασθένειες, όπως η καρδιαγγειακή νόσος, ο διαβήτης, οι δυσλιπιδαιμίες και το μεταβολικό σύνδρομο.

# **1. INTRODUCTION**

## LIPOPROTEINS

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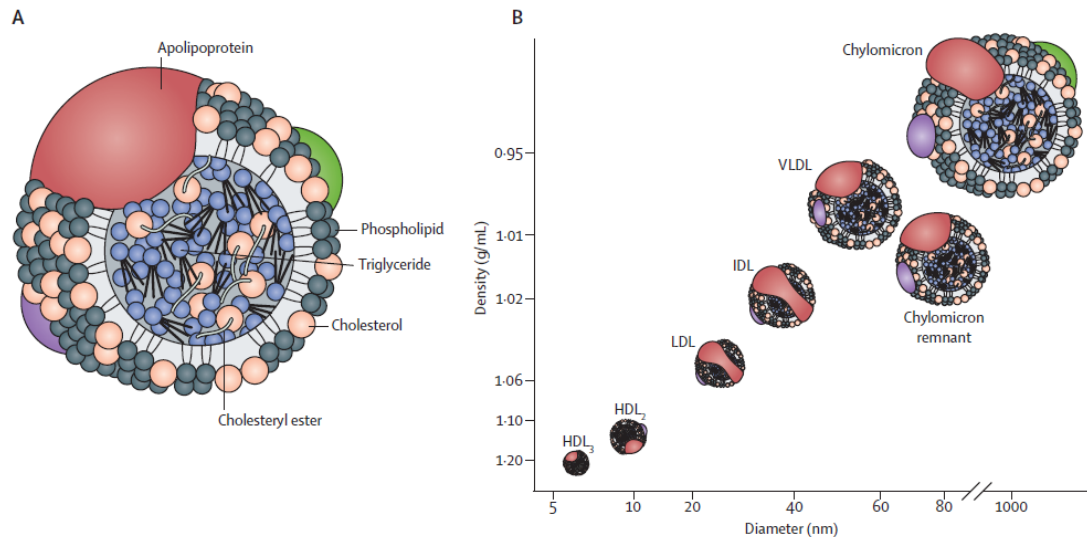
Lipids are a heterogeneous group of biomolecules and play various roles in homeostasis. Fatty acids in triglycerides are energy sources, while phospholipids, glycolipids and cholesterol are membrane components.

Lipids are too hydrophobic to be transported in the circulation and thus are packaged into water soluble complexes, termed lipoprotein particles, in order to transport cholesterol and triglycerides in the blood. Lipoproteins are either spherical particles or discoidal particles. The spherical particles contain a hydrophobic lipid core of cholesteryl esters and triglycerides, surrounded by a monolayer of phospholipids, un-esterified cholesterol and apolipoproteins (Apo) (Figure 1.1 A). The discoidal particles consist of mostly polar lipids and proteins in a bilayer conformation. Apolipoproteins serve as lipid acceptors, but also as cofactors of remodeling enzymes, or ligands for lipoprotein receptor-mediated cellular uptake. For instance ApoB100 exclusively binds LDL receptor (LDLR) and ApoE is a ligand for LDLR and LDLR-related protein (LRP). ApoCII is an essential co-factor for Lipoprotein lipase (LPL) and ApoAI activates lecithin:cholesterol acyl transferase (LCAT).

Lipoprotein particles can be separated and classified according to their density or electrophoretic mobility (Table 1.1) and grouped into five major classes: the chylomicrons, the very low density lipoproteins (VLDL), the intermediate density lipoproteins (IDL), the low density lipoproteins (LDL) and the high density lipoproteins (HDL) (Figure 1.1 B). The biggest triglyceride-rich lipoprotein particles are chylomicrons, which are secreted by the intestine and transport dietary fat to the liver and other tissues. Lipids, taken up or synthesized by the liver are redistributed to other organs by VLDL, IDL and LDL. LDL results from the intravascular catabolism of VLDL and contains relatively more cholesterol and fewer triglycerides than VLDL or chylomicrons. CM and VLDL are also called triglyceride-rich lipoproteins (TRL). Finally, the excess of cholesterol is collected from peripheral tissues and directed to the liver for bile excretion by HDL (1, 2).

**Table 1.1** Features of Plasma Lipoprotein particles (3).

LIPOPROTEIN CLASS	SIZE (nm)	DENSITY (g/ml)	TG (% wt)	PL (% wt)	CHOLESTEROL (% wt)		PROTEIN (% wt)	PRIMARY APOLIPOPROTEIN(S)
					Free	Esterified		
CM	75–1,200	.94	80–95	3–6	1–3	2–4	1–2	A-I, A-IV, B-48, C-I, C-III, E
VLDL	30–70	.94–1.006	45–65	15–20	4–8	16–22	6–10	B-100, E, C-I, C-II, C-III
LDL	18–30	1.019–1.063	4–8	18–24	6–8	45–50	18–22	B-100
HDL	5–12	1.063–1.21	2–7	26–32	3–5	15–20	45–55	A-I, A-II, E



**Figure 1.1** The structural components of lipoproteins (A) and their relation to diameter and density (B) (4).

## Pathways of Lipoprotein metabolism

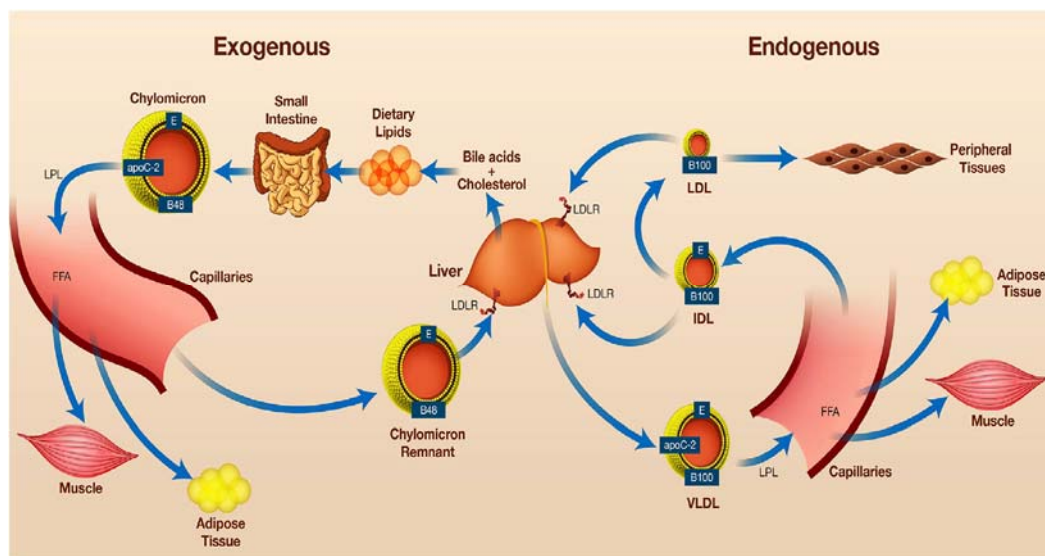
Lipoprotein metabolism involves the transport of lipids, particularly cholesterol and triglycerides, in the blood. It is divided into two pathways: a) the pathway of the transport of cholesterol and triglycerides from intestine and liver to peripheral tissues for energy supply or storage (1, 5, 6); and b) the reverse cholesterol transport pathway which is responsible for the removal of cholesterol excess from periphery back to the liver for excretion (1, 7-10).

In the first pathway, dietary lipids such as free fatty acids (FFA), cholesterol and monoglycerides are absorbed by the intestinal enterocytes where they are packaged along with apolipoprotein apoB-48 into large chylomicron lipoproteins (exogenous pathway). These particles then are secreted via the lymphatic vessels into the circulation. Chylomicrons are responsible for the transport of dietary lipids from the enterocytes into peripheral tissues through the blood. In the adipose and muscle



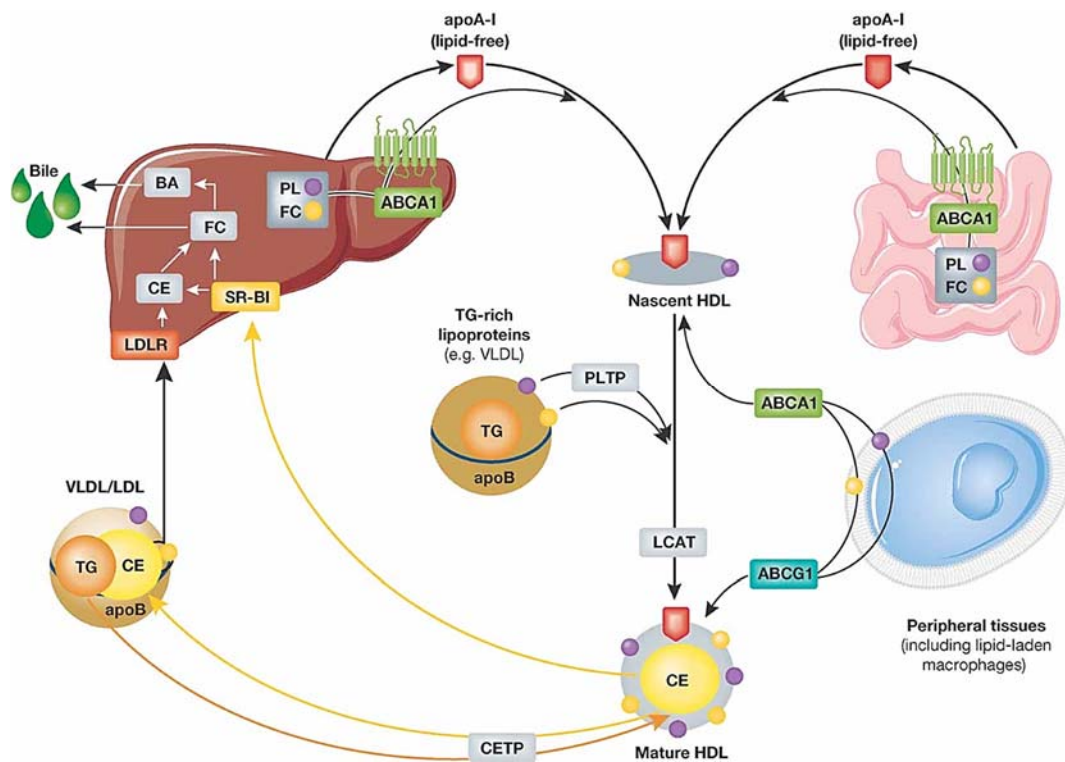
tissue the majority of the triglycerides in the chylomicrons are hydrolyzed by the enzyme lipoprotein lipase (LPL) which is located on the surface of vascular endothelial cells. For LPL catalytic activity apoC-II is required as a cofactor. Fatty acids are released and taken up by tissues for oxidation and energy production in muscles or for re-esterification into triglycerides and storage in adipose tissue. The hydrolysis of triglycerides results in the formation of smaller, denser, cholesterol-enriched particles known as chylomicron remnants, which travel back to the liver to be cleared from the body. Hepatic uptake is mediated mainly by the LDL receptor (LDLR) with apoE, LPL, and hepatic lipase (HL) as ligand proteins (11-13).

The liver plays a key role in the delivery of dietary lipids to peripheral tissues. Endogenous cholesterol and triglycerides are packaged with apolipoprotein apoB-100 to synthesize very low density lipoprotein (VLDL) particles, a process catalyzed by microsomal triglyceride transfer protein (MTP) (endogenous pathway) (14). Hepatocytes secrete these particles into the bloodstream and similar to chylomicrons, LPL rapidly hydrolyses VLDL's triglycerides. This reaction produces fatty acids that are used by the tissues and cholesterol-enriched intermediate density lipoproteins (IDL). The IDL particles are either taken up by the liver via specific receptors such as LDLR, LRP and VLDL receptors or further catabolized by the action of hepatic lipase (HL) to LDL (15). Finally, most of LDL is taken up by the liver and the rest by other peripheral tissues via interaction with ubiquitously expressed LDL receptors (Figure 1.2).



**Figure 1.2** Exogenous and endogenous pathways of triglyceride-rich lipoprotein metabolism (see text for details) (16).

The pathway of HDL metabolism has been termed Reverse Cholesterol Transport due to the ability of HDL particles to transfer excess cholesterol in the opposite way i.e. from peripheral cells, such as macrophages of the arterial wall, to the liver for excretion (Figure 1.3). This pathway starts with the synthesis and secretion of apolipoprotein apoA-I mainly by the liver and intestine. Lipid-free apolipoprotein A-I (apoA-I) interacts with the ATP Binding Cassette Transporter A1 (ABCA1) membrane transporter, which transfers cholesterol and phospholipids from cells to apoA-I, forming lipid-poor apoA-I (7, 17, 18). These lipid-poor apoA-I particles are lipidated further with phospholipids and cholesterol from peripheral cells via ABCA1 and converted to discoidal particles, termed pre $\beta$ -HDL (nascent HDL) (19). Pre $\beta$ -HDL particles are converted into mature spherical  $\alpha$ -HDL by the enzyme lecithin:cholesterol acyl transferase (LCAT), which forms cholesterol esters in HDL (7, 18, 20). The spherical  $\alpha$ -HDL interact with macrophages, to facilitate the efflux of excess cholesterol, a process facilitated by the transporter ABCG1 (21). The process just described is called HDL biogenesis. In circulation, HDL particles are modified by enzymes and lipid transfer proteins. Remodeling of HDL particles is facilitated by two lipid transfer proteins, the phospholipid transfer protein (PLTP) and the cholesterol ester transfer protein (CETP). PLTP is responsible for the exchange of phospholipids between HDL particles and apoB-containing lipoproteins (VLDL, LDL), while CETP exchanges cholesterol esters in HDL particles with triglycerides in the LDL VLDL and LDL particles (22, 23). HDL triglycerides can be also hydrolyzed by lipases such as the hepatic lipase (LIPC) and the endothelial lipase (LIPG). Hepatic lipase hydrolyzes both triglycerides and phospholipids of all lipoproteins, while endothelial lipase exhibits mainly a high phospholipase activity and primarily hydrolyzes HDL lipids (24-26). Finally, cholesterol esters of  $\alpha$ -HDL particles are removed by the liver via the Scavenger Receptor class B type I (SR-BI), which is a receptor for HDL by a process called selective cholesterol ester uptake that does not require the internalization of the lipoprotein particle (27). This cholesterol is partly recycled by the liver in newly synthesized plasma VLDL and partly excreted from the body either as bile acid or as free cholesterol in the bile via the lipid transporters ABCG5/ABCG8 (28, 29). SR-BI is expressed also in adrenal cells, where it facilitates the uptake of HDL cholesterol ester for the synthesis of steroid hormones (30). Recently, cholesterol from HDL has been shown to be transported also back to the intestine via a process called TICE (Trans Intestinal Cholesterol Excretion) (31).



**Figure 1.3** HDL biogenesis, metabolism and functions (see text for details)(32).

## ATHEROSCLEROSIS AND HDL

Cholesterol is an essential component of cellular membranes and a precursor for bile acids and steroids synthesis. However, cholesterol levels must be tightly regulated both intracellularly and in plasma because excess cholesterol is toxic and can promote the development of coronary artery disease (CAD) and its underlying cause, atherosclerosis. Coronary artery disease is a complex and polygenic disorder since it is the result of interactions between genetic and environmental factors. It is the leading cause of mortality in developed countries, and accounts for nearly 50% of deaths. Smoking, obesity, blood pressure, diabetes, dietary factors, lack of exercise and most importantly excess cholesterol consist the major risk factors that contribute to the development of CAD (33).

Atherosclerosis is the primary cause of coronary artery disease and is characterized by the accumulation of lipid-rich plaques within the arterial walls. During atherosclerosis, the arterial wall gradually thickens to form an atherosclerotic

plaque, due to the gradual accumulation of cholesterol. That results in the narrowing of the lumen of the artery and consequently in the reduction in the amount of blood supplied to various organs including the heart and the brain. When plaques rupture, a blood clot is released and frequently causes myocardial infarction (heart attack) or stroke or peripheral vascular disease (34, 35).

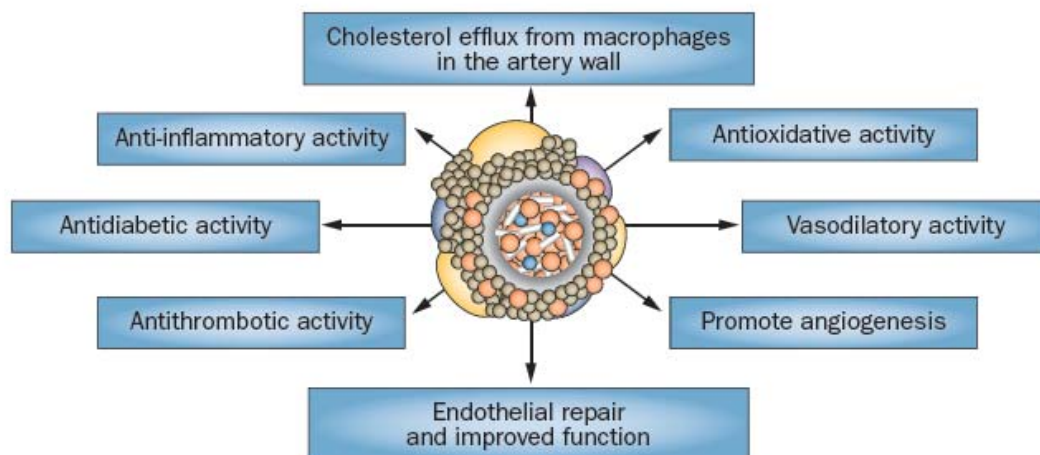
Various epidemiological and clinical studies have identified high levels of LDL-C as a major contributor in developing atherosclerosis. In contrast, HDL cholesterol levels in plasma are reversely related to the risk for atherosclerosis in humans. A decrease in plasma HDL-C concentration by 1mg/ dL is associated with a 2-3% increased risk of CAD while an increase by 1mg/dL is associated with a 6 % lower risk of coronary death, independently of LDL-C level (36-39). Furthermore, both reduced plasma levels of HDL-C and elevated triglycerides are associated with CAD, potentially through the increased TRL remnants (40, 41). LDL-C lowering in plasma remains the first priority for prevention from thrombotic events and for reduction of CAD risk. However, these studies suggested that by increasing the levels of HDL cholesterol could reduce cardiovascular disease. Emerging evidence from studies in animals and humans indicate that high levels of HDL are not sufficient to confer atheroprotection but that the functionality of the HDL particles is more important. Patients with CAD or diabetes are frequently characterized by dyslipidemia including high LDL and triglycerides and low HDL, as well as dysfunctional HDL (42). Despite these obstacles, HDL raising is still a promising strategy for the treatment of atherosclerosis and reduction of CAD risk.

### **The atheroprotective properties of the HDL particles**

The plasma HDL is produced mainly (~80%) by the liver and to a lesser extent (~20%) by the intestine (43). The population of HDL particles in plasma is very heterogeneous in terms of size, shape and protein/lipid content. As mentioned above, during the initial steps of HDL biogenesis, discoidal HDL particles are initially formed which then undergo extensive remodeling to form mature spherical HDL particles (44). HDL can be separated by two dimensional gel electrophoresis into sub-populations, pre- $\beta$ 1, pre- $\beta$ 2,  $\alpha$ 4,  $\alpha$ 3,  $\alpha$ 2 and  $\alpha$ 1. The larger  $\alpha$ 1,  $\alpha$ 2 particles may be

associated with protection from cardiovascular disease. HDL is the smallest lipoprotein particle and contains approximately 50% apolipoprotein and 50% lipid moieties. Apolipoprotein AI is the major structural component of all HDL particles. Additionally apoA-II, apoE, apoA-IV, apoM and apoCIII are found in certain HDL sub-populations (7). Proteomic studies identified more than 50 different non-structural proteins that are associated with HDL particles, some of which are proteins that are implicated in the anti-inflammatory functions of HDL (45, 46).

Although the atheroprotective effects of HDL involve multiple mechanisms, the importance of HDL in reverse cholesterol transport and maintenance of normal cholesterol homeostasis is thought to be the major contributor. Several lines of evidence indicate that reverse cholesterol transport is important for removing cholesterol from the actual site of atherogenesis in the vasculature. In addition to its effects on cholesterol homeostasis, HDL displays a wide spectrum of biological atheroprotective properties. These include anti-oxidative, anti-inflammatory, anti-infective, anti-thrombotic and anti-apoptotic properties as well as effects on endothelial cell function (Figure 1.4) (47, 48). The anti-oxidative activity of HDL protects LDL from oxidation in the intima, which is an early event in the formation of atherosclerotic lesions and promotes inflammation in the artery wall (49, 50). The anti-inflammatory function of HDL includes the inhibition of the expression of cytokines, adhesion molecules and monocyte chemoattractant proteins (e.g. MCP1) thus reducing the infiltration of monocytes into the artery wall (51). Through its antithrombotic properties, it promotes blood flow by increasing NO production and vasodilation, inhibits generation of thrombin and prevents platelet aggregation and alterations of the surface of the endothelial cells that could lead to the formation of thrombus (52, 53). HDL also protects endothelial cells from apoptosis, stimulates endothelial cell proliferation and migration, repairing damaged endothelium. Moreover, it protects the integrity of the endothelial cells by promoting the production of nitric oxide (NO), via induction of the enzyme endothelial nitric oxide synthase (eNOs) (52, 54-57). All of these actions may potentially attenuate steps of atherosclerotic plaque formation.



**Figure 1.4** Anti-atherogenic properties of HDL (58).

### **HDL as therapeutic target**

HDL is an attractive target for the development of therapies for CAD due to its multiple atheroprotective functions. HDL metabolism as described above can be regulated pharmacologically (59, 60). However, current therapeutic interventions have not been designed to specifically act on HDL and therefore have moderate effects on plasma HDL-cholesterol levels (2–30 %). Fibrates such as clofibrate and gemfibrozil have been used pharmacologically for decades but their beneficial effects on mortality is controversial. On average, fibrates increase HDL-C by 4.5% (61-63). Their effects are mediated by the Peroxisome Proliferator Activated Receptors (PPARs) which regulate the transcription of various genes including apoA-I. A dual PPAR  $\alpha/\gamma$  agonist (aleglitazar) has been developed recently, with initial encouraging results. However, phase III clinical trial failed due to adverse effects on heart failure (64). Results from clinical trials of novel PPAR agonists show obvious clinical benefits and no side effects, thus these PPAR agonists appear as promising drugs for the reduction of cardiovascular risk associated with metabolic syndrome and type 2 diabetes (65). Niacin is the most potent drug in increasing HDL-cholesterol levels (up to 30 %), however its use is limited by side effects such as hepatotoxicity, glycaemia and flushing (66-68). Statins typically increase HDL-C levels by approximately 5% to 15% (69) and reduce cardiovascular disease events by only 25%, thus being

inadequate as monotherapy for increasing HDL-C (70-72). Statins were also shown to increase apoA-I gene transcription by inhibiting the activity of the small GTPase RhoA and by activating PPAR $\alpha$  (73). The concept that CETP inhibition could be a promising HDL-C raising therapy was based on the observation that gene mutations in CETP were shown to increase HDL-C and apoA-I in humans with no evidence for premature atherosclerosis (74). Inhibition of CETP activity prevents the transfer of cholesteryl esters from HDL to VLDL/LDL particles and of triglycerides from VLDL/LDL to HDL causing a disturbance in HDL remodeling. Clinical trials in phase III of the CETP inhibitor torcetrapib showed a marked increase in HDL-cholesterol and a moderate decrease in LDL-cholesterol (75). However, an increased risk of cardiovascular events and an excess of mortality was observed that was independent of CETP inhibition. Two other CETP inhibitors, anacetrapib and dalcetrapib, were shown to cause a drastic increase in HDL-C levels in recent large clinical trials but failed to demonstrate a beneficial effect on cardiovascular disease (76).

Due to the recent disappointing clinical trials aiming to prevent CAD events by HDL-raising strategies and the failure of genetics to correlate HDL levels with CAD events (77), the development of additional therapeutic agents for the prevention and treatment of atherosclerosis is needed. The challenges now are to develop novel strategies that would increase HDL levels and improve also HDL functionality. As apoA-I is the major functional component of HDL, new therapies are being designed to mimic this apolipoprotein. ApoA-I mimetic peptides were designed based on the apoA-I sequence in a way that they maintain the fundamental properties of apoA-I. Administration of apoA-I mimetic peptides to mouse models showed increase in macrophage RCT, reduction in atherosclerosis and enhancement of the anti-inflammatory functions of HDL (78-80). In a similar context, the beneficial effects of apoA-I-based infusion therapies on atherosclerosis have been examined and shown inhibition of atherosclerotic plaque formation (81-83). Furthermore, infusion of rHDL compounds, consisting of native apoA-I and phospholipids, has resulted in an increase in both apoA-I and pre- $\beta$  HDL levels, which was accompanied by enhanced ABCA1-mediated cholesterol efflux and reduced atherosclerotic plaque size (84). However, the actual clinical benefit from apoA-I-based therapies needs to be addressed by well-designed clinical trials in patients. Current interest and research is also directed at the genes and proteins that regulate HDL biosynthesis and metabolism. Drugs that could

specifically increase the expression of apoA-I, ABCA1 and many other HDL genes (such as LCAT and LPL) are anticipated to be of great clinical benefit because they will increase the rate of HDL biogenesis and RCT. In order to develop such drugs, which do not exist yet, we need to understand in depth the molecular mechanisms (regulatory elements and transcription factors) that control the expression of these genes in hepatic cells. Among these, PPAR- or LXR-targeting drugs could be of great value. Regarding LXR-based therapies, the current synthetic agonists greatly enhance RCT in vitro and in vivo in animal models but have not yet been tested in clinical trials due to their severe lipogenic effects in the liver (hepatic lipogenesis, hypertriglyceridemia and hepatosteatosis) (85, 86). Thus the development of novel isoform-specific and maybe tissue-specific drugs are anticipated.

## LIPOPROTEIN LIPASE

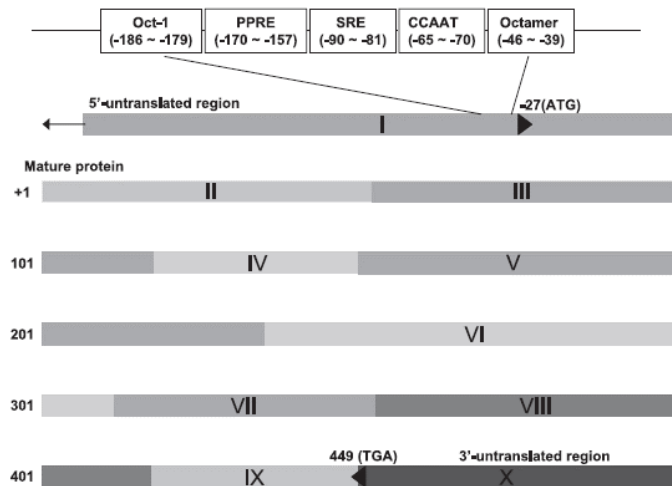
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### **Gene and structure of LPL**

The gene of the human LPL is located on chromosome 8p22, spans about 30 kb and is divided into 10 exons. The first exon encodes the 5' untranslated region, a signal peptide and the first two amino acids of the protein, while the tenth exon encodes the 3' untranslated region (Figure 1.5). The cDNA for LPL codes for a protein of 448 amino acids resulting in a calculated molecular weight of 55 kDa (87-90). LPL belongs to the triglyceride lipase gene family that includes also hepatic lipase, pancreatic lipase and endothelial lipase (91, 92).

Despite the lack of an X-ray crystal structure, the structural homology between members of the lipase family has enabled the prediction of molecular models of the protein structure and functional domains. The protein is thought to be organized into two structurally distinct domains: a larger N-terminal and a smaller C-terminal domain, that are connected by a flexible peptide linker (93). The N-terminal domain contains the binding site for the cofactor apolipoprotein C-II (94) and the highly conserved catalytic active site of the enzyme (Ser132, Asp156, His241) responsible for the lipolytic function. The C-terminal region has been shown to be important for specificity and binding of substrate lipoproteins (93, 95, 96).





**Figure 1.5** Lipoprotein lipase gene structure and proximal promoter elements. The 10 exons of the LPL gene are schematically diagrammed with notations of the start codon, stop codon, 5'-untranslated region containing most of the transcriptional regulatory elements, and 3'-untranslated region that also contains some translational regulatory elements (97).

Both the N- and C-terminal domains contain heparin binding sites distal to the lipid binding sites (98, 99). Therefore LPL serves as a bridge between the cell surface and lipoproteins. LPL monomers dimerize to form an active noncovalent homodimer. In the head to tail orientation, the catalytic site of the N-terminal region of one monomer is in close juxtaposition to the C-terminal region of the other monomer. This dimerization and the head to tail orientation are key for the activity of the enzyme because the monomers have been shown to be inactive (93, 100, 101). The active site of the LPL is covered by a lid region (residues 216-239), which is postulated to have an impact on the substrate specificity of the lipase gene family. In the most well accepted model of LPL activity, once LPL is activated by apoC-II and triglyceride-rich lipoproteins, the C-terminal region of the dimer presents the substrate to the lid region, causing a conformational change to the lid and providing access to the active site (96, 102, 103).

### Synthesis, maturation and transportation of LPL

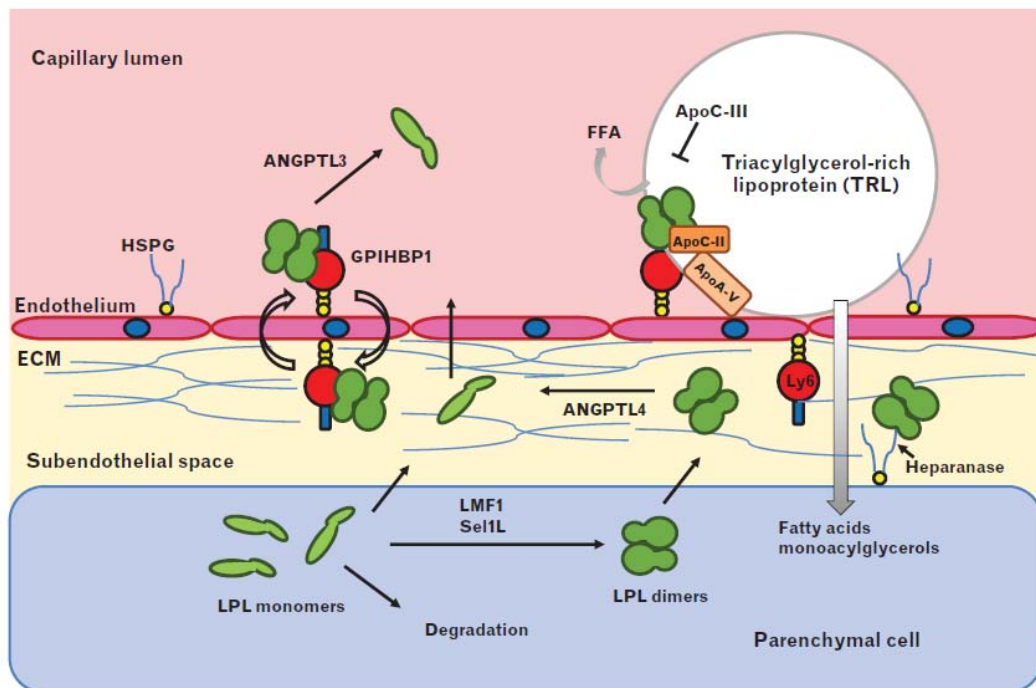
LPL is expressed predominantly in the parenchymal cells of adipose tissue, heart and skeletal muscles. High expression levels can be detected also in lactating

mammary glands that originate from adipocytes and lower levels of LPL are produced by macrophages, brain, lung, adrenals, testes, spleen and kidney (97, 104-106). LPL is also synthesized transiently by the Kupffer cells of liver during fetal and early postnatal life (107).

In brief, following synthesis LPL is secreted as a glycosylated homodimer and , is subsequently translocated through the extracellular matrix and across endothelial cells to reach its site of physiological actions i.e. the capillary lumen in the blood vessels of the respective organs (97, 108-110). Inactive monomeric lipase needs to be processed by post-translational conformational changes and to assemble into homodimers in order to be catalytically active and translocated. Lipase maturation factor 1 (LMF-1) is a lipase-specific endoplasmatic reticulum (ER) chaperone which is crucial for the proper folding and assembly of LPL monomers as well as for maintaining the stability of LPL dimer in the ER (111, 112). An additional ER factor, Sel-1 suppressor of lin-12-like protein (Sel1L), has been shown recently to be required for the folding and secretion of LPL. It stabilizes the complex between LPL and LMF1 and mediates the release of active LPL from the ER. Indeed, absence of LMF1 or Sel1L leads to accumulation and retention of LPL in the ER in the form of high molecular weight protein aggregates, indicating that these ER proteins are needed to create an ER environment in which LPL folds into its active form (113, 114). The active LPL is then delivered to the Golgi for further modification, sorting and packaging. Thus, a part of active LPL is degraded prior to being secreted, via binding to the sortilin-related receptor with A-type repeats (SorLA) (115).

The remaining active LPL is secreted in interstitial space. There it binds initially to heparan sulfate proteoglycans (HSPGs) surrounding the parenchymal cells. However, it is transferred quickly to the endothelial cell surface where it binds to glycosylphosphatidyl-inositol anchored high-density lipoprotein binding protein 1 (GPIHBP1) (109, 116). Heparanase secreted from endothelial cells, support this transport of LPL from cardiomyocytes to the endothelium in the heart, as it splits the oligosaccharide chains on HSPG and LPL is released for interaction with GPIHBP1 in the endothelial surface (117, 118). Sub-endothelial extracellular matrix consisting of collagens, fibronectin, laminin and glycosaminoglycans, such as HSPG, is able to bind, sequester and stabilize the secreted LPL (119). It was believed that HSPGs played an important role in LPL binding in the sub-endothelial space, its trans-endothelial transport and its anchoring on the surface of the capillary lumen, but this

traditionally accepted model has been challenged by the recent discovery of the novel protein GPIHBP1, a member of the lymphocyte antigen 6 (Ly6) family. GPIHBP1 glycoprotein binds to the LPL and they move in vesicles across capillary endothelial cells, from the basolateral to the apical side, anchoring LPL in luminal surface of endothelial cells (120). LPL found in the circulating blood is mostly inactive, so the TG-rich lipoproteins must come into close proximity with LPL at the endothelium to be hydrolyzed, a process called margination. The LPL–GPIHBP1 complex is responsible for this margination, since GPIHBP1 provides a “platform for lipolysis” on capillaries (121, 122). Furthermore, the conformation of the catalytic domain of LPL is markedly stabilized by interaction with the acidic domain of GPIHBP1 (Figure 1.6) (123).



**Figure 1.6** Schematic view of LPL in action at endothelial binding-lipolysis sites (see text for details) (124).

## Natural mutations and metabolic disease

The main function of LPL enzyme in lipid metabolism is to break down the triglyceride core of the plasma triglyceride-rich lipoproteins such as chylomicrons and very-low-density lipoproteins (VLDLs), at the luminal side of the endothelium. The

reaction that it catalyzes is the hydrolytic cleavage of the ester bonds of the triacylglycerols to form glycerol and free fatty acids which are used in a tissue-specific manner. In heart and muscle, FFAs are used as a source of energy, whereas in the adipose tissue are used for storage as fat depots (108, 110, 125-127). Active homodimeric lipoprotein lipase requires the presence of the cofactor apolipoprotein CII to catalyze the hydrolysis of the TG. The apoCII is a component of the surface of the triglyceride-rich lipoproteins and increases the  $V_{max}$  of the reaction (108, 128-130). In addition to its well-known lipolytic function, a non-enzymatic bridging function of the LPL has been described. LPL binds simultaneously to both lipoproteins and cell surface receptors, including HSPG, LDL receptor, and apoE receptor 2. So, it facilitates the uptake of chylomicron remnants, free fatty acids, cholesterol-rich lipoproteins and lipophilic vitamins into tissues by accumulating them to the vessel wall and by serving as a ligand for the lipoprotein receptors (99, 108, 131-137).

Lipid abnormalities are a consequence of metabolic dysregulation resulting in mild to severe hypertriglyceridemia (elevated levels of triglycerides in the bloodstream) due to enhanced VLDL production, a delayed hepatic remnant clearance and mild disturbances in peripheral lipolysis.

More than 220 naturally occurring mutations in the LpL gene have been reported in humans, the majority of which are missense and are spread over the exons 4, 5 and 6 (138). Most of these mutations are rare and if they are present in homozygote or compound heterozygote state they lead to a complete loss of LPL activity and LPL deficiency in humans. LPL deficiency is a rare recessive genetic disorder causing profound hypertriglyceridemia ( $TG > 2000$  mg/dL), due to lack of plasma triglyceride hydrolysis and massive increases in chylomicrons. Creamy blood, marked hypercholesterolemia (300 to 1000 mg/dL) and extremely low HDL-cholesterol are also often present, secondary to the hyperchylomicronemia. The disorder usually presents early in the first year of life and is associated with the usual clinical findings of familial chylomicronemia, eruptive xanthomas, lipemia retinalis, hepatosplenomegaly, recurrent abdominal pain, pancreatitis, memory loss and/or dyspnea. LPL deficiency in the heterozygous state clearly leads to moderate increases in plasma triglyceride levels that tend to increase later in life, as a result of a decrease in the LPL activity. The heterozygotes more often have decreased HDL-cholesterol

levels and high risk of developing chylomicronemia and pancreatitis with stresses such as diabetes, pregnancy and high alcohol intake (138-146).

Moreover, various mutations in the apoC-II, GPIIb/IIIa or LMF1 genes result in decreased or absent LPL activity, defective lipoprotein catabolism that leads to severe massive hypertriglyceridemia and familial chylomicronaemia.(111, 112, 147). Dysfunction of the LPL system increases also the risk for metabolic disease connected to obesity, diabetes and premature atherosclerosis (141).

The role of LPL in the development of atherosclerosis and the risk of cardiovascular disease is not very clear, because LPL confers pro-atherogenic or anti-atherogenic effects depending on its locations. In the general population, elevated triglyceride levels have been associated with cardiovascular risk. The enzyme expressed by adipose tissue and muscle protects from atherosclerosis by facilitating the clearance of circulating triglyceride-rich lipoprotein particles. In this line, it drives the lipoprotein profile in a non-atherogenic direction with low levels of atherogenic lipoproteins and high atheroprotective HDL levels (148-152). Indeed, a variant of LPL that is associated with increased activity, decreased TG and higher HDL levels has been shown to confer protection against coronary heart disease (153). Furthermore, several commercially available hypolipidemic and antidiabetic drugs and mouse models of LPL overexpression have been associated with an LPL stimulatory activity that probably contributes to global lowering of plasma lipids and improvement of cardiovascular outcome (154-156). Contrary, LPL deficiency in human studies has been shown to correlate with worsened atherosclerotic outcomes (141, 157).

However, macrophage-derived LPL has been ascribed a pro-atherogenic role with its catalytic activity and non-catalytic bridging action contributing to this effect. LPL-mediated accumulation of lipids or oxidized LDL in vessel wall, cellular uptake of lipoproteins remnants and FA (that can be re-esterified) are associated with the formation of foam cells and subsequent progression to atherosclerotic plaques (108, 150-152, 158-160). Uptake of lipids by LPL in THP-1 macrophages or addition of FFA were shown to decrease the transcription of ABC transporters and scavenger receptor BI (SR-BI) and resulted in reduced cholesterol efflux from the cells (161, 162). Furthermore, downregulation of LPL in macrophages caused prevention of atherosclerosis in apoE<sup>-/-</sup> mice (163). However, the relative contribution of macrophage LPL to overall physiological effects of LPL is unclear. In spite of the

pro-atherogenic role of macrophage LPL, a large body of evidence suggests that LPL is an attractive target in treating dyslipidemias and associated complications.

## **Studies in mice**

Several loss-of-function and gain-of-function studies have provided insight into the key role of LPL in metabolic homeostasis. Mouse models lacking LPL expression in the whole body have shown a severe hypertriglyceridemic phenotype (~20.000 mg/dl). The homozygote knockouts are born with threefold higher triglyceride levels, sevenfold higher VLDL cholesterol levels, decreased HDL cholesterol levels, depleted tissue storage of TG and developed spontaneous atherosclerosis. When permitted to suckle, the LPL knockout mice became pale, then cyanotic, were unable to metabolize milk lipids and finally died 18–24 hours after birth from severe hypoglycemia (164, 165). LPL heterozygote mice on standard mouse chow survived to adulthood but they suffered from mild hypertriglyceridemia, impaired VLDL clearance, and somewhat lower levels of fasting plasma glucose. This was connected to increased body weight and ectopic lipid storage resulting in  $\beta$ -cell dysfunction, insulin resistance and impaired glucose tolerance (165-167).

On the contrary, adenovirus-mediated expression of LPL can rescue LPL knockout pups, but they are still hypertriglyceridemic with plasma TG levels ~3.000 mg/dl on a chow diet (168). Transgenic mice overexpressing LPL throughout the body showed higher LPL activity, markedly lower plasma TG, resistance to diet-induced hypertriglyceridemia and hypercholesterolemia and decreased development of atherosclerosis due to the reduction of lipoprotein remnants (148, 149, 169). Interestingly, overexpression of a catalytically inactive LPL in mice also improved the high-fat diet-induced insulin resistance and hypertriglyceridemia (170). Moreover, inactive LPL overexpressed in LPL heterozygous mice can act *in vivo* to mediate VLDL removal from plasma and uptake into tissues in which it is expressed (131).

It is interesting to note that mice with selective overexpression of LPL in the liver develop insulin resistance and are characterized by increased liver triglycerides, glucose and large amounts of intracellular lipid droplets in their livers (171). In another study, expression of LPL exclusively in the liver (in LPL knockout

background) rescued mice from neonatal death but developed severe cachexia during high fat suckling and had elevated TG and glucose and excessive hepatic steatosis. Adult mice showed slower turnover of VLDL, increased production of liver VLDL TG, and three to fourfold increased plasma ketones bodies (172). On the other hand, the selective deletion of LPL in hepatocytes leads to impaired postprandial triglyceride clearance and elevated levels of plasma triglycerides and cholesterol due to decreased plasma LPL content and activity. These studies indicate the importance of the hepatic LPL in plasma lipid homeostasis despite its relatively low expression in the adult liver.

### **Transcriptional regulation of LPL**

The expression and activity of LPL gene can be regulated by several factors at the level of transcription, translation and post-translation in a tissue-specific manner. A large number of specific cis-acting elements are located at the 5' regulatory region of LPL gene that extends ~4 kb from the transcription start site (Figure 1.5). LPL transcription has been shown to be controlled in a tissue specific manner by the peroxisome proliferator-activated receptors (PPARs) and their heterodimer retinoid X receptor (RXR), through binding to the PPRE element in the LPL promoter (at region -169/-157) in response to fibrates, fatty acids or other PPAR agonists (173, 174). The transcription factor PPAR $\gamma$  mainly influences adipocyte differentiation and lipid storage by stimulating transcription of the LPL gene. Fasting reduces expression of PPAR $\gamma$  in adipose tissue, providing a potential explanation for the reduction in LPL expression during fasting (175-177). On the other hand, many natural ligands of PPAR $\alpha$  and PPAR $\delta$  are associated with increased LPL mRNA expression and lipid accumulation in hepatocytes and macrophages (174, 178, 179).

Previous studies in mice have shown that the transcription of LPL gene in the liver is induced by high fat diets and synthetic agonists that activate the nuclear receptors LXR (Liver X Receptor) and RXR (Retinoid X Receptor) (180-182). Especially, Zhang et al. demonstrated that LXR $\alpha$  promotes the expression of LPL gene in response to diet rich in cholesterol or to synthetic LXR agonist selectively in the liver and to a lesser extent in macrophages, but not in other tissues expressing LPL

in high levels, including adipose, muscle and heart. This response is mediated by an LXR responsible element in the first intron of mouse LPL gene that binds LXR/RXR receptors (181). In addition to these findings, LPL gene has been shown to be induced also by natural LXR and RXR ligands or synthetic LXR agonists in human endothelial cells and macrophages (183, 184). In the liver a single dose of TNF $\alpha$  can induce LPL mRNA levels (185) and treatment with fibrates, which activate PPARs, stimulate also LPL activity (186).

In the 3T3-L1 adipocyte cell line, a proximal octamer motif at region -45/-38 of mouse LPL promoter was shown to interact with the factor Oct-1. This factor plays a positive role in LPL gene transcription as it can interact with the transcription factor TFIIB at this site and make it function as a TATA box. Indeed, a natural mutation, a T to C substitution at nucleotide -39, was identified in a patient with familial combined hyperlipidemia and low LPL activity. This substitution, which is localized within the binding site for the transcription factor Oct-1, was shown to be associated with a 85% reduction in LPL promoter activity in human macrophages (187-189).

A CCAAT box just upstream of the octamer sequence (at position -65) binds nuclear factor Y (NF-Y) and plays an important role in basal promoter activity in the 3T3-L1 adipocyte cell line (190). The nuclear factor Y complex possesses histone acetyltransferase activity, providing a potential mechanism to regulate the tissue-specific transcription of the LPL gene by remodeling of chromatin (137). Tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ) and interferon- $\gamma$  (INF- $\gamma$ ) can inhibit LPL gene transcription by blocking the binding of NF-Y to the LPL promoter, in the 3T3-L1 adipocyte cell line or mouse macrophages (191, 192).

In the human LPL promoter an evolutionarily conserved CT element (from -91 to -83) was identified which is important for the basal activity of LPL gene and interacts with Sp1 and Sp3 factors. A naturally occurring T to G mutation was identified at position -93 of the promoter which is associated with a reduction in promoter activity and the Sp1/Sp3 binding (193). In addition, interferon- $\gamma$  cytokine mediates suppression of macrophage LPL gene transcription possibly via PI3K pathway that leads to decreased binding of Sp1 and Sp3 to the LPL gene (192, 194). Interestingly, the -90 to -81 region of LPL promoter also interacts with sterol regulatory element binding protein, which mediates the induction of LPL gene transcription in adipocytes, in response to decreased cellular cholesterol levels (195).



Another DNA binding factor that has been implicated in the regulation of LPL gene transcription is the cAMP response element binding protein (CREB) that activates LPL promoter in response to cAMP-elevating agents via a cAMP-responsive element located at the first 230 base pairs of the proximal LPL promoter (196). It has been shown that an Activator Protein-1-like motif located at -1856/-1850 of LPL promoter is responsible for the suppression of the LPL gene transcription by estrogen (197). Inhibition of the transcription of LPL is mediated also by the transforming growth factor- $\beta$  (TGF- $\beta$ ) cytokine via a TGF- responsive element in macrophages (198). Furthermore, silencing elements are also located in the human promoter at positions -225 to -81 reducing promoter activity, but the protein that is responsible for the suppression of transcription is unknown (199).

## **Post-translation regulation of LPL**

### ***LPL regulatory proteins***

Physiological variation in LPL activity in various tissues is achieved via post-translational mechanisms involving a number of extracellular regulatory proteins. These “LPL regulatory proteins” can be divided into two main groups, the apolipoproteins (APOs) and the angiopoietin-like proteins (ANGPTLs) (Figure 1.7).

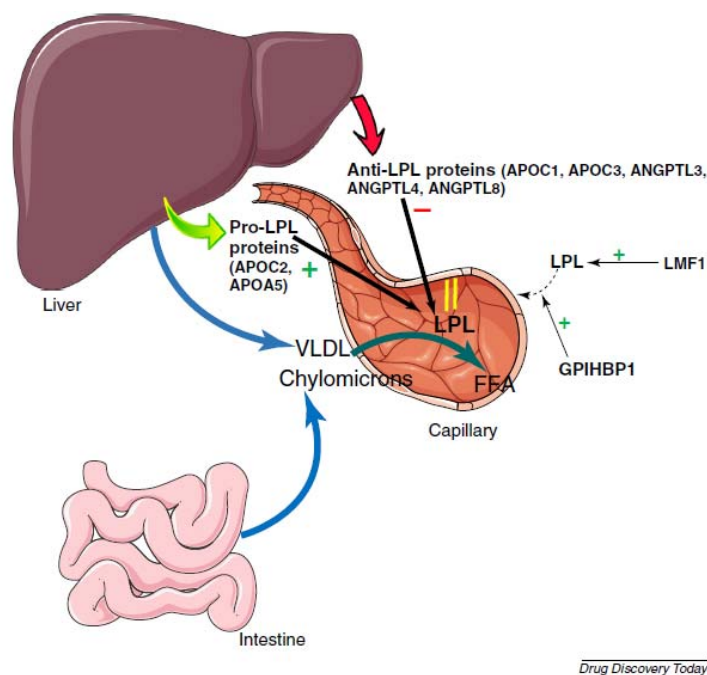
ApoC-II and apoA-V have been described to have LPL-stimulatory properties. apoC-II, the cofactor of LPL, is required for maximal rates of TG-rich lipoprotein lipolysis guiding the lipoproteins to the active site of LPL (128, 200-202). Human genetic and in vitro studies have supported the plasma TG-lowering effect of apoC-II via stimulation of LPL activity. Indeed, apoC-II deficiency is associated with marked elevation of plasma TG, VLDL and chylomicron levels and decreased LPL activity, LDL and HDL levels (144). Paradoxically, overexpression of apoC-II gene in mice leads to marked hypertriglyceridemia via impaired plasma TG clearance, suggesting that at high concentrations apoC-II may inhibit LPL (200, 203). Apolipoprotein A-V (apoA-V) is inversely correlated with plasma TG. Like LPL, apoA-V can also bind to vascular cell surface HSPG, thus promoting lipoprotein interaction with LPL and facilitating LPL-catalyzed TG lipolysis in these lipoproteins (204). Interestingly, apoA-V also binds to GPIHBP1, the major binding site for luminal LPL and the

platform for lipolysis and promotes LPL-mediated lipolysis through enhancing attachment of lipoproteins to endothelial cell surface GPIHBP1 (202, 205). ApoA-V knockout mice display severe hypertriglyceridemia (206, 207) and loss of function mutations in the apoA-V gene cause hyperchylomicronemia in humans (208, 209).

As opposed to apoC-II and apoA-V, apoC-I, apoC-III and apoE inhibit LPL activity and thus TG clearance, as shown by mice overexpressing or lacking these apolipoproteins (210-212). Furthermore, human heterozygous carriers of null mutation in the APOC3 gene exhibit lower plasma TG levels confirming its LPL inhibitory activity (213). It has been proposed that apoC-I and apoC-III inhibit LPL activity by displacement of the enzyme from TG-rich particles. Further, apoC-III also inhibits receptor-mediated uptake of lipoprotein remnants and LDL (214). ApoE elevates circulating levels of TG-rich lipoproteins, partly by reducing the LPL-mediated plasma TG lipolysis rate, and partly by stimulating hepatic VLDL-TG production (215, 216).

Other LPL inhibitory proteins include several members of the family of angiopoietin-like proteins, specifically ANGPTL3, ANGPTL4 and ANGPTL8. These proteins inhibit LPL lining the capillary endothelium in many tissues that results in elevated TGs in the bloodstream (217, 218). Studies using various animal models of ANGPTL3, ANGPTL4 and ANGPTL8 overexpression or deletion have confirmed the stimulatory effect of these proteins on plasma TG levels, which is achieved by impairing plasma TG clearance and inhibiting LPL activity (217, 219-222). ANGPTL4 inhibits LPL activity by converting the catalytically active LPL dimers into inactive monomers (223, 224). Alternatively, it has also been proposed that ANGPTL4 forms a reversible complex with LPL and functions as a conventional noncompetitive inhibitor preventing the hydrolysis of the substrate (225). However, according to another study, ANGPTL4 acts intracellularly to promote LPL degradation, probably after its processing in the ER (226). The biochemical mechanism for LPL inhibition by ANGPTL3 seems to be stoichiometrically distinct from ANGPTL4. ANGPTL3 may cooperate with the related protein ANGPTL8, which inhibits LPL activity directly or indirectly, by promoting cleavage and coordinating the trafficking of TGs to tissues in response to food intake (227-230).

Other proteins that regulate LPL stability and activity are LMF1, HSPGs and GPIHBP1 which have already discussed above (Figure 1.7).



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**Figure 1.7** Regulation of LPL activity at post-transcriptional level by ‘regulatory proteins’ (see text for details)(231).

### ***Regulation by physiological conditions***

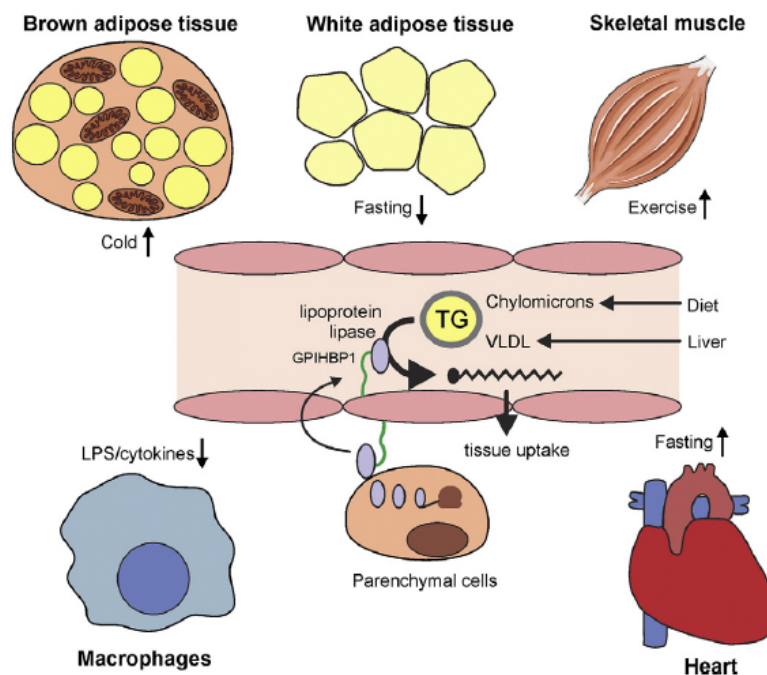
LPL activity is known to change rapidly in a tissue-specific manner in response to changes in the physiological state, for example, feeding/fasting, exercise and cold exposure (Figure 1.8)(232). LPL activity and thus clearance of TG-rich lipoproteins in white adipose tissue is markedly elevated in the fed state while fasting is associated with a pronounced decrease in LPL activity, which is likely partly mediated by the presence or absence of insulin (233). Insulin has been shown to play a central role in the postprandial response of adipose LPL activity and expression (234, 235). Indeed, ANGPTL4 has been suggested to represent the factor that governs regulation of LPL activity during fasting and feeding in fat tissue. It was found that insulin suppresses ANGPTL4 expression in adipocytes and increases adipose LPL activity (236, 237).

In contrast to LPL in adipose tissue, most studies indicate that fasting and starvation raise total LPL activity in skeletal muscle, while insulin decreases skeletal muscle LPL activity (238-240). Similar to the situation in adipose tissue, expression of the LPL inhibitor ANGPTL4 is markedly increased during fasting in mouse and human skeletal muscle (241, 242). LPL in adipose tissue is also affected by physical activity. Numerous studies in humans and rodents have demonstrated that a single bout of physical exercise leads to a marked increase in LPL activity in the exercising

muscle, in order to increase the supply of fatty acids to the cell for subsequent oxidation and ATP generation (243-246).

Similar to the muscle, LPL activity in the heart is increased in response to fasting (247, 248) and insulin decreases cardiac heparin-releasable LPL activity (249). Feeding is also associated with increased expression of the LPL inhibitor ANGPTL4, which reduces cardiac heparin-releasable LPL activity (250). Moreover, cold exposure, which increases energetic demands in the heart, was found to stimulate total and heparin-releasable LPL activity in the rat heart (251). Similarly, cold markedly stimulates plasma and brown adipose tissue LPL activity causing reduction in plasma TG (252, 253). Fatty acids that produced are used by this highly oxidative tissue to generate heat and maintain body temperature as part of cold-adaptive thermogenesis (254).

In macrophage, fatty acids released upon hydrolysis of TG by LPL are an important fuel during periods of intense metabolic activity, such as phagocytosis and proliferation (255, 256). Furthermore, lipopolysaccharide (LPS) and numerous cytokines released in response to LPS, including TNF- $\alpha$ , interferon  $\gamma$  and IL-1 $\beta$ , inhibit secreted LPL activity, which is likely mediated at the level of LPL gene transcription (257-259) (Figure 1.8).



**Figure 1.8** Summary of the main physiological stimuli regulating LPL activity in various tissues (see text for details) (232).

### **FOX family and FOXA subfamily**

The Forkhead box (FOX) family represents a large family of transcription factors that share a highly conserved 110 amino acid ‘winged helix’ DNA binding domain (DBD), known as a ‘forkhead box’ domain (260). The name of this family came from the first gene containing this domain in *Drosophila melanogaster*, the forkhead (*fkh*) gene. Mutations in this gene result in a characteristic ‘forkedhead’ appearance resulting from the transformation of the foregut into ectopic spike-formed head structures (261-263). More than 170 members of this family have been identified in organisms ranging from yeast to humans, but not in plants (264, 265). In mammals, more than 40 FOX transcription factors are categorized into 19 subfamilies (from FOXA to FOXS) based on sequence similarity (266-269). Outside of the winged helix domain in many of these genes of forkhead family, there is very little sequence similarity (263, 265). Many FOX proteins serve as pioneer factors due to their unique ability to open up compacted chromatin and initiate regulatory control of transcription, facilitating the loading of subsequent transcription factors (270). Other FOX members function as classic transcription factors facilitating the recruitment of chromatin remodeling factors such as acetyltransferases, histone deacetylases and ATP-dependent chromatin remodeling complex.

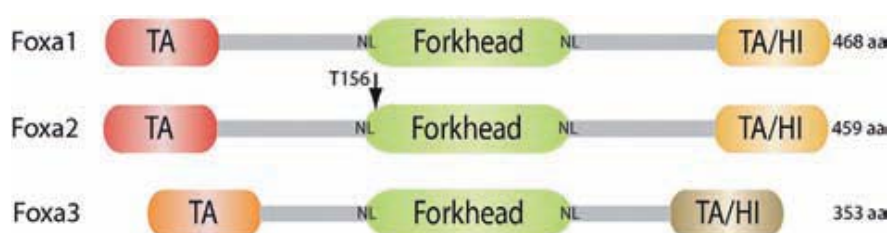
The FOXA subfamily includes three genes, FOXA1, FOXA2, and FOXA3. They were identified in rat liver cells to bind to specific sequences in the transthyretin (TTR) and alpha1-antitrypsin ( $\alpha$ 1-AT) promoters and activate their transcription and therefore named hepatocyte nuclear factor (HNF) 3 $\alpha$ , 3 $\beta$ , and 3 $\gamma$  respectively (262, 263, 271). FOXA proteins have critical roles in early development, organogenesis, growth, stem cell differentiation and metabolism in worms, flies and mammals (272-275).

### **Structure of FOXA factors**

FOXA proteins contain three well-defined functional domains including the highly conserved forkhead DBD and two flanking transactivation domains. FOXAs interact with the target DNA as monomers through their forkhead DBD. DNA

response elements usually span 15–17 bp with a conserved 7 bp core recognition site 5'- T(G/A)TTT(A/G)(C/T)T-3' (276-278). The three-dimensional structure of FOXA3 DBD was first resolved by X-ray crystallography (279). Subsequently, the sequence conservation in the DBD of the forkhead family members indicated that all members share this structural domain. Particularly, the three FOXA proteins display 95% sequence homology in their DBDs. Outside of this region, FOXA1 and FOXA2 are only 39% identical, with FOXA3 being even more distinct (263). The Forkhead box of the FOXA proteins contains three N-terminal  $\alpha$ -helices (H1-3), three  $\beta$ -strands and two loops or wings (W1-2) towards the C-terminal region, typically organized into an  $\alpha$ 1- $\beta$ 1- $\alpha$ 2- $\alpha$ 3- $\beta$ 2-W1- $\beta$ 3-W2 order and folded into a helix–turn–helix (HTH) motif flanked by loops on either side forming wings (W1 and W2)(280). This folding resembles the shape of butterflies and thus resulted in the label ‘winged-helix domain’ (279, 281).

At the amino (N)- and the carboxy (C) -terminal regions of the proteins two transactivation domains (TA) can be found. The C-terminal TA domain contains two stretches of amino acids which are unique to FOXAs and bind to core histones H3 and H4. The N-terminal TA domain requires the C-terminal TA domain for transcriptional activation (282-284). FOXA proteins have also two nuclear localization sequences (NLS) that are located at both N-terminal ( $\alpha$ 1) and C-terminal (W2) ends of the forkhead domain (280, 282, 285). Furthermore, FOXA2 is the only member of the FOXA family that contains an AKT2/PKB phosphorylation site (T156) at the N-terminus end of the forkhead domain (Figure 1.9)(286, 287).



**Figure 1.9** Schematic representation of functional domains present in mouse FOXA1–3. T156, AKT2 phosphorylation site; TA, transactivation domain; HI, histone interaction domain; NL, nuclear localization (286).

## **Expression of FOXA factors during development**

FOXA transcription factors are expressed in early development and play crucial roles in the fetal development and homeostasis of various cells and tissues. They exhibit overlapping but distinct expression patterns in a variety of developing and mature tissues (263, 286, 288).

FOXA2 is the first gene of the FOXA family to be expressed in the progress of embryogenesis (289). At embryonic day (E) 6.5, the expression of the FOXA2 gene can be detected in the primitive streak, node, notochord and neural plate (290, 291). The expression of FOXA2 is also detected in definitive endoderm and endoderm-derived tissues such as liver, pancreas, intestine, thyroid, prostate and lung, where is then maintained through development to adulthood (289, 290, 292-295) FOXA2 is also observed in dopaminergic neurons in ventral midbrain, at late embryonic stage (288, 296). In collaboration with FOXA1, FOXA2 is essential for liver organogenesis in mouse, as embryos deficient for both genes in the foregut endoderm do not develop liver bud and the expression of the hepatoblast marker alpha-fetoprotein (Afp) is lost (297). Thus, FOXA2 has an essential role in the development of axial mesoderm, foregut endoderm and the onset of hepatogenesis in the mouse.

The earlier expression of FOXA2 compared with the other FOXA factors is reflected in the severity of phenotype in mice lacking this factor. Mice homozygous for a FOXA2 null mutation die in early embryogenesis shortly after gastrulation, show defects in node development and lack a notochord which leads to secondary defects in neural tube and foregut morphogenesis (298, 299). Heterozygotes for FOXA2 are viable but display a Parkinson's-like phenotype following aging (300).

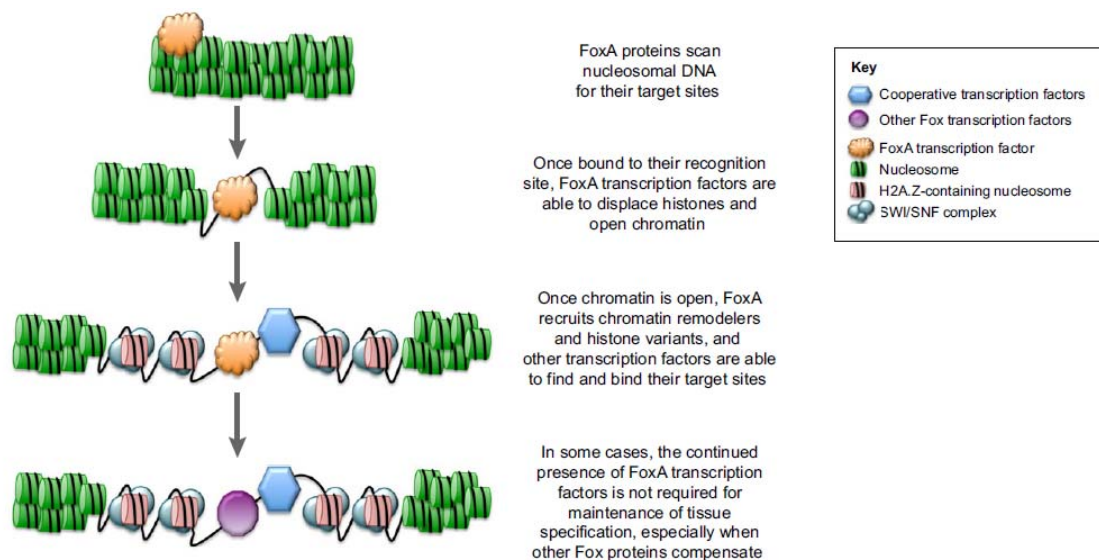
During early embryogenesis, the expression of FOXA1 appears with a short delay compared with FOXA2 expression. At E7.0 expression of FOXA1 gene can first be detected in the primitive streak, and then later in the notochord, neural plate and neural tube (289). Although FOXA1 is initially highly expressed in the developing pancreas, its expression falls in the adult pancreas (301). In addition, FOXA1 is more widely expressed in several adult tissues, including the respiratory system, brain, gastrointestinal tract, male reproductive organs, the ureter and bladder (286). Contrary to FOXA2 null mice, those lacking FOXA1 survive until after birth, but develop neonatal hypoglycemia, hormonal insufficiencies, pancreatic and kidney

dysfunction and defects in prostate morphogenesis. Finally they die between postnatal days 2 and 12 (267, 302-304).

The expression of FOXA3 can first be detected at E8.5 in the foregut endoderm and then is maintained strongly in the liver and also in the pancreas and intestine through development to adulthood (289). Due to its restricted expression pattern, FOXA3-deficient mice are viable and develop normally. However, they display hypoglycemia after a prolonged fast because of defects in hepatic glucose production (298, 299, 305, 306).

### Role of FOXA proteins as pioneer factors

Classic transcription factors depend on the recruitment of chromatin modifying enzymes to open up the chromatin. However, the key cellular function of FOXA proteins is to interact with specific DNA sequences within compacted chromatin and promote nucleosome decompaction without ATP-dependent enzymes. Thereby, they initiate transcriptional regulation by making the genomic region more accessible to other regulatory transcription factors. For this reason, FOXA family members have been characterized as ‘pioneer’ transcription factors (Figure 1.10) (284, 286, 307, 308).



**Figure 1.10** FOXA proteins function as pioneer factors. The schematic depicts how FOXA transcription factors are able to function as pioneer factors that control gene expression via their interaction with chromatin (309).



This pioneering function of FOXA is mediated by its ability to bind DNA sites and core histones H3 and H4 simultaneously. Structural comparisons showed that the winged-helix structure of FOXA forkhead box is highly similar to that of linker histones H1 and H5 (279, 284, 310). This feature in combination with C terminal regions mediating interaction with histones H3 and H4 allow FOXA transcription factors to access closed chromatin, replacing linker histones and relieving chromatin compaction at target enhancer and/or promoter sites. This creates an open chromatin configuration, allowing the recruitment of alternative histones and other transcription factors to induce target gene expression. FOXA proteins, which are associated with transcriptionally active chromatin, lack critical amino acids required for DNA compaction by linker histones and therefore are distinguished by linker histones that repress gene expression (284, 311).

Because of their actions as pioneer factors, FOXA proteins facilitate the binding of nuclear hormone receptors to the promoters of their targets in multiple organ systems in the adult. Genome-wide mapping of forkhead DNA binding elements showed that they are frequently located in close proximity to hormone response elements (HREs). Specifically, it has been shown that binding of the glucocorticoid receptor (GR) to its targets is dependent in part on FOXA2, during the fasting response (312). Similarly, estrogen-response elements and androgen response elements were found in close proximity to binding sites for FOXA1 in several target genes of nuclear receptors. Binding of FOXA1 precedes that of the androgen receptor and inhibition of FOXA1 expression reduces activation of estrogen-responsive gene (313-315)

### **Role of FOXA2 in metabolism**

Liver and pancreas play essential roles in glucose and lipid homeostasis by protecting organisms from hypoglycemia. In response to feeding, insulin secreted from pancreatic beta cells induces the uptake of glucose and inhibits endogenous glucose production. Conversely, in fasting states insulin levels are low and hepatic programs of gluconeogenesis and fatty acid oxidation are induced, thus maintaining glucose homeostasis (316, 317). In addition to its critical role in embryonic

development, FOXA2 has been also implicated in the regulation of these metabolic processes in the adult (318-321).

Since FOXA2 knockout mice are embryonic lethal, the generation of mice with conditional deletions of FOXA2 was necessitated. In FOXA2<sup>loxP/loxP</sup>; FOXA3-Cre mouse, FOXA2 is not expressed throughout the regions of FOXA3 expression, including the endoderm at the foregut/midgut border that gives rise to the liver bud. Their liver morphology is normal and the initial steps of pancreatic bud formation occur normally. However, the  $\alpha$ -cell lineage fails to reach its final differentiated state that results in mild hypoglycemia, hypoglucagonemia and death between P0 and P5 (320). More focused deletion of FOXA2 specifically in pancreatic  $\beta$ -cells also leads to severe hypoglycemia and relative hyperinsulinemia and usually death between P9 and P12. Islets isolated from FOXA2<sup>loxP/loxP</sup>;Ins.Cre mice are disorganized and do not secrete insulin in response to elevated glucose concentrations, but inappropriately in response to amino acids. This is explained by the decreased expression of the FOXA2 target genes Kir6.2 (Kcnj11) and Sur1 (Abcc8), which are expressed in pancreatic  $\alpha$ - and  $\beta$ -cells and play important role in the secretion of insulin and glucagon, comprising the KATP glucose-sensing channel (321, 322). In addition, hadhsc gene expression is reduced in FOXA2<sup>loxP/loxP</sup>;Ins.Cre islets. This gene encodes a short chain fatty acid dehydrogenase, a mitochondrial matrix protein important for fatty acid oxidation (321).

A mouse model that explains the role of FOXA2 in hepatic glucose homeostasis is FOXA2<sup>loxP/loxP</sup>;Alfp.Cre mice. The expression of FOXA2 in these mice is deleted specifically in hepatocytes. They are euglycemic, but they fail to fully activate gluconeogenic enzymes during fasting, such as Pepck (phosphoenolpyruvate carboxykinase), IGFBP-1 (insulin growth factor binding protein 1) and Tat (tyrosine aminotransferase) (312). Furthermore, another study with FOXA2 liver-deficient mice, showed that FOXA2 plays an important role in bile acid homeostasis, regulating multiple genes involved in bile acid metabolism including those encoding lipid and bile acid transporters of hepatocytes. FOXA2<sup>loxP/loxP</sup>;Alfp.Cre mice develop mild hepatic cholestasis, which is worsened after a cholic acid rich diet. These mice show also intrahepatic cholestasis, a significant increase in serum bile acids ER stress and liver injury (323). Additional mouse genetic studies have also revealed that FOXA2 activity in the adult liver mediates fasting responses, including fatty acid oxidation, ketogenesis and increased secretion of VLDL and HDL by activating gene expression

of key enzymes of these pathways (312, 324-326). All these phenotypes show the requirement of FOXA2 for the normal regulation of the gluconeogenic program, in both the liver and pancreas.

Heterozygous FOXA2<sup>+/-</sup> mouse indicated the role of FOXA2 in promoting energy utilization in adipose tissue in excess of caloric intake. FOXA2<sup>+/-</sup> mice have normal glucose levels, but in response to high-fat diet they have increased adiposity and decreased energy expenditure, adipocyte glucose uptake, glycolysis and lipolysis. Expression of genes involved in these processes were down-regulated in primary adipocytes from FOXA2<sup>+/-</sup> mice fed a high-fat diet and the adipocytes showed decreased insulin sensitivity(327).

FOXA2 has been implicated also in the regulation of plasma HDL levels in mice. Haploinsufficient FOXA2<sup>+/-</sup> or hyperinsulinemic mice (caused by genetic mutations in leptin signaling or high fat diet-induced obese mice that exhibit dyslipidemia, hyperglycemia and insulin resistance) exhibited and plasma pre- $\beta$  HDL levels. This has been correlated with the inactivation of FOXA2 that results to decreased expression of the apolipoprotein M (apoM) gene which is a target of FOXA2 (325, 328). FOXA2 is recruited to a conserved binding site on the human apoM promoter located between nucleotides -398 and -388. Furthermore, leptin treatment of ob/ob (leptin deficient) and db/db (leptin receptor deficient) mice restored apoM expression through relocation of FOXA2 to the nucleus.

FOXA2 plays a wider role in HDL metabolism by regulating in a positive or a negative manner, the expression of several genes in hepatic cells. Although FOXA2 is a nuclear factor that activates gene transcription when bound to DNA due to the presence of two transactivation domains, FOXA2 may also inhibit gene expression. In a previous study from our group we showed that FOXA2 binds to three sites on the proximal promoter of the human cholesterol and phospholipid transporter ATP binding cassette transporter 1 (ABCA1) gene. One of these sites is the TATA box and FOXA2 binding to this site inhibits the up-regulation of ABCA1 gene expression by oxysterol-activated LXRs in hepatic cells under conditions of cholesterol loading (329).

## **Regulation of FOXA2 by insulin**

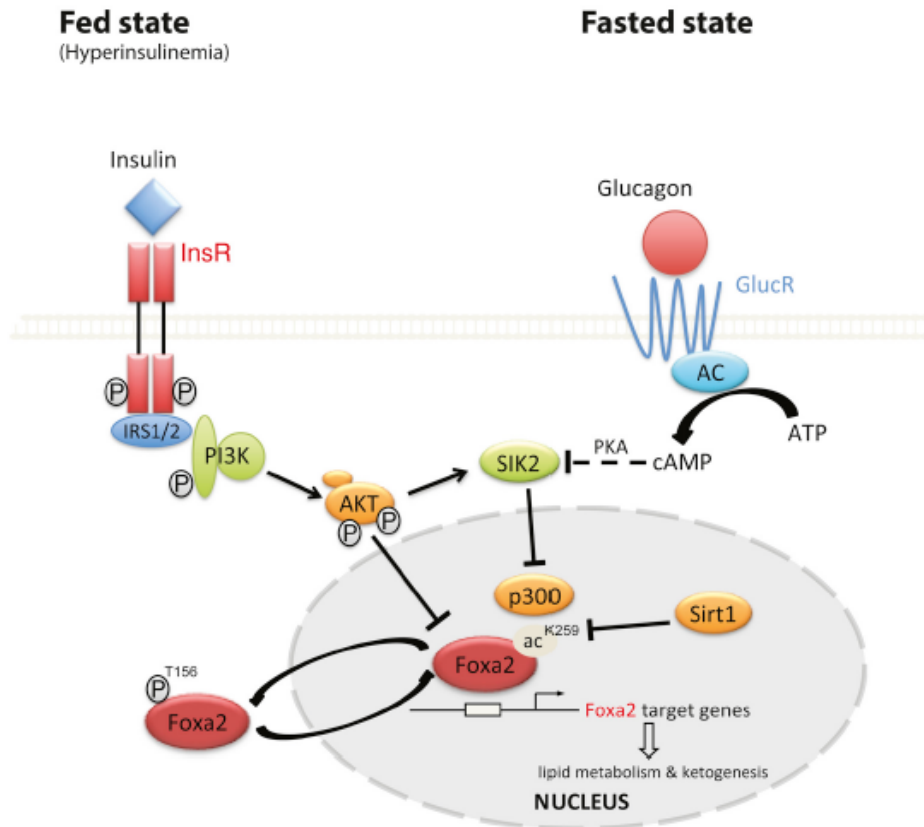
FOXA2, but not FOXA1 or FOXA3, is negatively regulated by nutrient metabolism and specific by insulin in the liver. In fasting states, FOXA2 is located consistently in the nucleus. However, in response to insulin signaling *in vitro* and *in vivo* in perfused mouse liver, FOXA2 transcriptional activity is blocked by a mechanism that involves phosphorylation at threonine 156 by AKT2/PKB kinase and nuclear exclusion. Only a single point mutation of T156 to alanine (T156A) that results to a constitutively active variant abolishes insulin-induced nuclear exclusion. Direct phosphorylation of FOXA2 by AKT was further supported by co-immunoprecipitation experiments, in which wildtype FOXA2, but not FOXA2 T156A, can be immunoprecipitated and phosphorylated by AKT (287, 324).

These findings have been extended *in vivo* by demonstrating nuclear exclusion of FOXA2 in fed mice, hyperinsulinemic *ob/ob* mice, and mice maintained on a high-fat diet. In these mice, FOXA2 is permanently excluded from the nucleus and its inactivation results to hepatic steatosis and insulin resistance. Furthermore, expression of the constitutively nuclear FOXA2 variant (T156A) in these diabetic mice leads to improvements in glucose levels, reduced hepatic TG content and increased fatty acid oxidation, VLDL secretion and insulin sensitivity (324).

According to this molecular model that has been proposed, FOXA2 is nuclear only in the starved state to activate multiple genes involved in hepatic glucose utilization, fatty acid oxidation, and ketogenesis and this gluconeogenic activity of FOXA2 is blocked via feeding-induced insulin secretion (Figure 1.11). However, other studies cannot confirm this model of nuclear exclusion of FOXA2 by insulin, but have found FOXA2 protein to be nuclear regardless of metabolic state (312, 330). So, more experiments are needed to address this controversy.

## **Genome wide studies in hepatic FOXA2**

FOXA2 plays a pioneering role in establishing liver transcriptional program. Several groups have used genome wide analysis such as ChIP-on-chip (chromatin immunoprecipitation followed by microarrays) and ChIP-Seq (chromatin immunoprecipitation followed by sequencing) to investigate the *in vivo* binding sites of FOXA2 in the adult mouse liver or liver-derived cells (HepG2).



**Figure 1.11** Model for regulation of FOXA2 activity by insulin and glucagon signaling in fed and fasted states, respectively. Schematic representation illustrating the regulation of FOXA2 and its target genes in hepatic lipid metabolism and ketogenesis. After feeding, insulin signaling leads to the inactivation of FOXA2 through phosphorylation of Thr156 and nuclear exclusion, thereby resulting in inhibition of FOXA2 target gene expression and reduced hepatic lipid metabolism and gluconeogenesis. During fasting, plasma insulin levels decrease and glucagon levels rise. Glucagon signaling activates the adenylate cyclase (AC), leading to inhibition of SIK2, a negative regulator of p300 activity. Acetylation of FOXA2 Lys259 by p300 increases its transcriptional activity. Sirt1 can inactivate FOXA2 by deacetylation and can thereby fine-tune the activity of FOXA2 (319).

Wolfrum et al., generated gene chip expression profiles from livers of wild type mice that had been infected with adenoviruses expressing either GFP or a constitutively active form of FOXA2 that cannot be phosphorylated by AKT (FOXA2-T156A) and they observed robust increases in the expression of genes involved in HDL metabolism (SR-BI, HNF-4 $\alpha$ ), triglyceride degradation (LPL, LIPC),  $\beta$ -oxidation, ketogenesis and glycolysis (324). In another ChIP-Seq analysis, approximately 11,000 FOXA2 binding sites were identified in the adult mouse liver. The majority of these binding sites were localized within 10 kb upstream of the

transcription start sites of genes and within the first introns. It was also found that 43.5% of genes expressed in the liver were also associated with at least one FOXA2 binding site, including genes of HDL metabolism (apoA-I, apoB, HNF-4 $\alpha$ , LPL), with the greatest enrichment of 30-fold for apoA-II (331).

Studies by the group of K. Kaestner have used ChIP-Seq and Chip on chip analysis in samples of livers of wild type and hepatocyte specific FOXA2 *knockout* mice (FOXA2<sup>loxP/loxP</sup>Alfp-Cre) (323, 332, 333). They identified 574 enhancer and promoter regions, corresponding to 484 unique genes, occupied by FOXA2 and clustered in categories of genes involved in lipid and steroid metabolism such as ABCA1, ABCC2, ApoA-I, ApoE, LIPC, LDLR (323). Furthermore, in FOXA2-deficient mice fed with cholic acid (CA) diet, the expression of genes involved in lipid metabolism/transport (ABCG8, LCAT, LIPC, LPL, PLTP) was significantly reduced and the expression of apoB gene was increased (332).

ChIP-Seq studies in HepG2 cells identified binding sites for FOXA2 in genes associated with HDL metabolism such as apoA-I, apoA-II, apoA-IV, hepatic lipase (LIPC), LDL receptor (LDLR), phospholipid transfer protein (PLTP) and nuclear receptors HNF1 $\alpha$ , HNF1 $\beta$ , HNF4 $\alpha$  and RXR $\alpha$  thus confirming the role of FOXA2 in HDL metabolism(277, 334).

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## LIVER X RECEPTORS (LXRs)

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Liver X receptors (LXRs) belong to the nuclear receptor superfamily of transcription factors which bind to specific DNA sequences of target genes known as hormone response elements (HREs) and, upon ligand binding, they stimulate their transcription (335, 336). Retinoid X receptor (RXR) is a common partner for several nuclear receptors, including peroxisome proliferator-activated receptor (PPAR), vitamin D receptor (VDR), thyroid hormone receptor (TR) and farnesoid X receptor (FXR). Many of them regulate genes involved in essential metabolic and developmental processes (337-339).

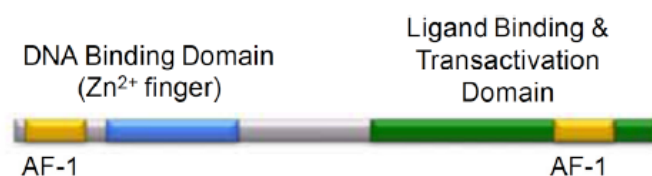
LXRs were initially isolated from a human liver cDNA library as orphan nuclear receptors since their natural ligands were unknown (340). Later, oxidized cholesterol derivatives or oxysterols were identified as specific natural ligands of

LXRs, which are therefore also named “oxysterol receptors”. LXRs are implicated in various metabolic pathways such as cholesterol homeostasis, fatty acid homeostasis, glucose homeostasis, inflammation and immunity (341-345). The LXR subfamily consists of two isoforms, LXR $\alpha$  (NR1H3) and LXR $\beta$  (NR1H2). Whereas the two LXRs share sequence homology and appear to respond to the same ligands, their tissue distribution differs. In adults, LXR $\alpha$  is highly expressed in metabolically active tissues including liver, intestine, macrophages, and adipose tissue whereas LXR $\beta$  is more widely expressed in the immune system, glial cells in the central nervous system, the gall bladder, islets of pancreas, skeletal muscle and prostate epithelium(346-348). During mouse development at embryonic day 11.5, both LXR $\alpha$  and LXR $\beta$  mRNA are detected in the liver. Hepatic LXR $\alpha$  maintains high expression throughout life while LXR $\beta$  decreases during later embryonic development (346, 349).

### **Structure of LXRs**

LXR  $\alpha$  and  $\beta$  share also the canonical nuclear receptor structure (Figure 1.12). The LXR molecule consists of five functional domains, an A/B region (N-terminal activation function-1 domain, AF-1), a C region (DNA-binding domain, DBD), a D region (hinge region), an E region (hydrophobic ligand-binding domain, LBD) and a C-terminal ligand-dependent transactivation domain (F region). The A/B ligand-independent domain contains the activation function 1 (AF-1) which may stimulate transcription in the absence of a ligand(350). This domain is also implicated in recruitment of ligand-independent co-activators. The DNA-binding domain (DBD) is highly conserved and contains two zinc fingers that bind to specific sequences of DNA called LXR responsive elements (LXRE). DBD and LBD are connected with a flexible domain, the hinge region, which appears to allow for conformational changes in the protein structure following ligand binding and also binds co-repressors in the absence of ligand. The Ligand binding domain (LBD) contains  $\alpha$ -helices organized around a hydrophobic binding pocket. It determines the selectivity for the ligand and mediates transcriptional activation in a ligand-dependent fashion. In addition, it binds co-activator and co-repressor proteins and along with the DBD, contributes to the receptor dimerization. The C-terminal ligand-dependent domain (F region)

contains the activation function 2 (AF-2) which stimulates transcription in response to ligand binding (340, 350-352). Both isoforms of LXRs share ~78% identity of their amino acid sequences in both DNA- and ligand- binding domains (353).



**Figure 1.12** Domain structure of a typical hormone nuclear receptor. The structure is modular and consists of a N-terminal ligand-independent Activation Function 1 (AF-1) domain, a DNA binding domain of the zinc finger type, a composite domain consisting of the ligand binding, the dimerization and the Activation Function 2 (AF-2) domain (10) .

## Ligands

It is widely accepted that endogenous LXR agonists are oxidized cholesterol derivatives referred to as oxysterols. Oxysterols in the animal body are synthesized from endogenous production in enzymatic reactions, endogenous production through the non-enzymatic reactive oxygen species (ROS)-dependent oxidation of cholesterol and delivery from alimentary sources. In general, oxysterols produced in enzymatic reactions are potent LXR agonists, whereas non-enzymatically generated ones have weak or no agonistic activity.

The most potent enzymatically generated oxysterols are 22(R)-hydroxycholesterol and 20(S)-hydroxycholesterol, 24(S)-hydroxycholesterol and 24(S),25-epoxycholesterol which were shown to bind to and stimulate transcriptional activity of LXRs at physiological concentrations (354-357). 22(R)-hydroxycholesterol and 20(S)-hydroxycholesterol are intermediates in the synthesis of steroid hormones from cholesterol while 24(S)-hydroxycholesterol is produced in the brain and represents the major oxysterol of human plasma. 24-(S),25-Epoxycholesterol is intermediate of the cholesterol biosynthetic pathway and particularly abundant in the liver, where both cholesterol metabolism and LXR expression are high (354). In human macrophages sterol 27-hydroxylase (CYP27) abundantly generates 27-hydroxycholesterol, representing the putative endogenous LXR ligand in these cells (358). Most endogenous oxysterols have similar affinity toward LXR $\alpha$  and LXR $\beta$ .



However, 5,6,24(S),25-diepoxycholesterol and 6 $\alpha$ -hydroxyl bile acids are somewhat selective for LXR $\alpha$  (356, 359) and N-aryl-3,3,3-trifluoro-2-hydroxy-2-methylpropionamides and cinnolines/quinolines derivatives exhibit LXR $\beta$  specificity (360, 361).

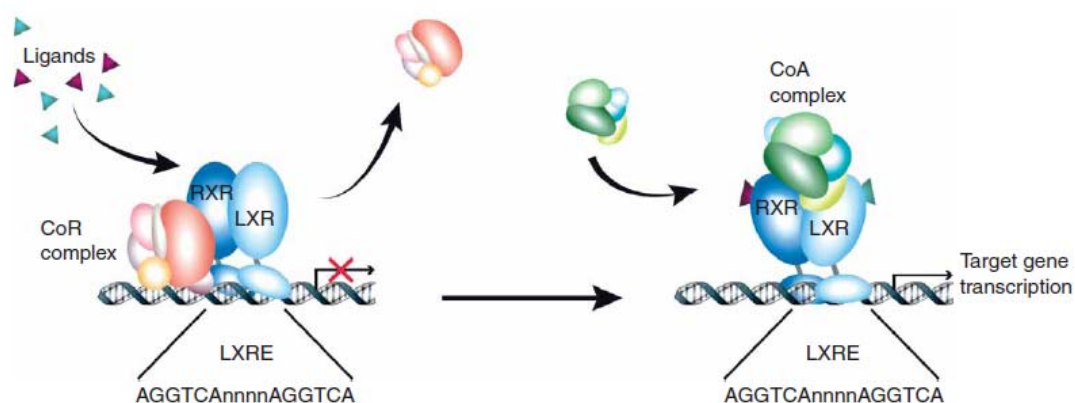
Glucose and glucose-6-phosphate are endogenous agonists for LXR $\alpha$  and LXR $\beta$  with efficacy comparable to that of oxysterols, suggesting that LXR may function not only as cholesterol, but also as a glucose sensor (362). However, glucose and its metabolites are unable to influence the interaction of cofactors with either LXR $\alpha$  or LXR $\beta$  (363). Some exogenous phytosterols or their derivatives may be physiologically important LXR ligands, Plant sterols (such as sitosterol, stigmasterol, campesterol, brasicasterol, and ergosterol) have similar chemical structure with cholesterol, but are poorly absorbed from the intestine and inhibit cholesterol absorption by displacing it from bile micelles. Supplementation of plant sterols may reduce plasma cholesterol level and is considered a potential anti-atherosclerotic therapy(364). Indeed, “oxyphytosterols”, certain oxidized derivatives of phytosterols, are more effective LXR activators than 24(S),25-epoxycholesterol(365).

The involvement of LXR in various metabolic, inflammatory and proliferative diseases makes them highly attractive pharmaceutical targets for novel therapies. Two non-steroid synthetic LXR agonists, T0901317 and GW3965, are most commonly used in experimental studies (347, 366-368). It should be noted that T0901317 is not completely specific for LXR since it activates also the pregnane X receptor (PXR) and farnesoid X receptor (369, 370). T0901317 activates both LXR $\alpha$  and LXR $\beta$  (366), but GW3965 has a greater affinity toward LXR $\beta$ . However, the difference is too small to be useful in differentiating the two isoforms. However, neither of these two compounds was characterized clinically due to undesirable side effects of elevated plasma triglyceride levels and hepatic steatosis observed in preclinical animal studies due to activation of hepatic lipogenic enzymes (366, 371). In order to circumvent these off-target effects, novel high-potency LXR agonists have been developed that do not stimulate hepatic steatosis and hypertriglyceridemia. Many of them, including ATI-111, DMHCA, ATI-829, AZ876, MHEC and WAY-252623, drive promising anti-atherosclerotic effects in animal models (372). The WAY-252623 (LXR-623) agonist, which progressed recently towards phase I clinical trials, has anti-atherogenic activity and activates LXR without causing hepatic lipogenesis in humans. However additional trials were halted due to the neurological side effects (373-375).

In contrast to oxidized cholesterol metabolites that enhance transcriptional activity of LXRs, geranylgeranylpyrophosphate (GGPP), an intermediate of cholesterol biosynthesis, inhibits the transcriptional activity of LXR $\alpha$  and LXR $\beta$  by antagonizing their interaction with co-activators (376, 377). Moreover, polyunsaturated fatty acids (PUFAs) such as arachidonic acid, eicosapentaenoic acid, docosahexaenoic acid, and linoleic acid, are competitive antagonists of the interaction between LXRs and their ligands (378, 379). Transcriptional activity of LXRs was also shown to be inhibited by endogenous 5 $\alpha$ ,6 $\alpha$ -epoxycholesterol and 7-cetocholesterol-3-sulfate which interfere with the agonistic action of LXR ligands (380, 381). In addition, ursodeoxycholic acid, one of the secondary bile acids, inhibits LXR $\alpha$ -induced lipogenic gene expression by increasing the expression of the LXR $\alpha$  co-repressor, SMILE (small heterodimer partner interacting leucine zipper protein) (382). Thus, endogenous LXR antagonists could counteract LXR agonist action in cholesterol homeostasis and atherogenesis.

### Mechanism of LXR action

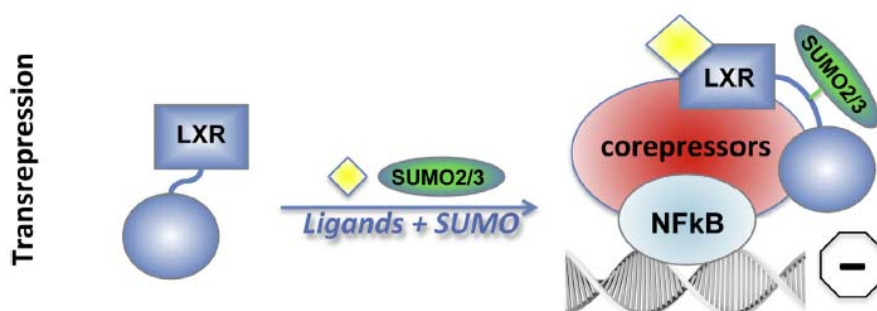
LXRs regulate gene transcription through two different mechanisms of action: direct activation and trans-repression. In the first mechanism, LXR forms obligate heterodimer with the RXR (337, 352) and LXR/RXR heterodimers bind directly to LXR-responsive elements (LXRE) in the promoter region of target genes. The LXRE sequence contains two direct repeats of the hexameric sequence 5'-AGGTCA-3' separated by four nucleotides (DR4 element) (Figure 1.13) (354, 383-385).



**Figure 1.13** Model of classical transactivation pathway of LXR (see text for details). CoA, Co-activators; CoR, Co-repressor; LXRE, LXR response element (386).

In the absence of ligands, the LXR/RXR heterodimers remain bound to the promoter region of their target genes in a non-active state. They form complexes with co-repressors such as the Nuclear Receptor Corepressor (NCoR) or the Silencing Mediator of Retinoic Acid and Thyroid Hormone Receptor (SMRT) and thus actively inhibit gene activation (387-389). Indeed, deletion of LXRs in some cell types has been associated with elevated expression (de-repression) of target genes such as ABCA1 (390). Ligand binding first induces a conformational change of the LXR–RXR complex that enables the displacement of corepressors, and the recruitment of coactivators such as Peroxisome Proliferator Activated Receptor-g (PPARg) coactivator-1a (PGC-1a), Steroid Receptor Coactivator-1 (SRC-1), Activating Signal Cointegrator-2 (ASC-2) and E1A-associated protein p300 (EP300) (391-393). These events lead to the direct activation of gene transcription (Figure 1.13).

In the second mechanism of action, transrepression, LXRs actively repress the expression of genes that lack an LXRE, particularly NF- $\kappa$ B-regulated pro-inflammatory genes (394, 395). Upon ligand binding, LXR undergoes SUMOylation by SUMO-2/3 and interacts with GPS2, a subunit of the N-CoR complex. In this setting the N-CoR complex cannot dissociate from NF- $\kappa$ B and thus the transcription of pro-inflammatory genes is inhibited (Figure 1.14) (394, 396).

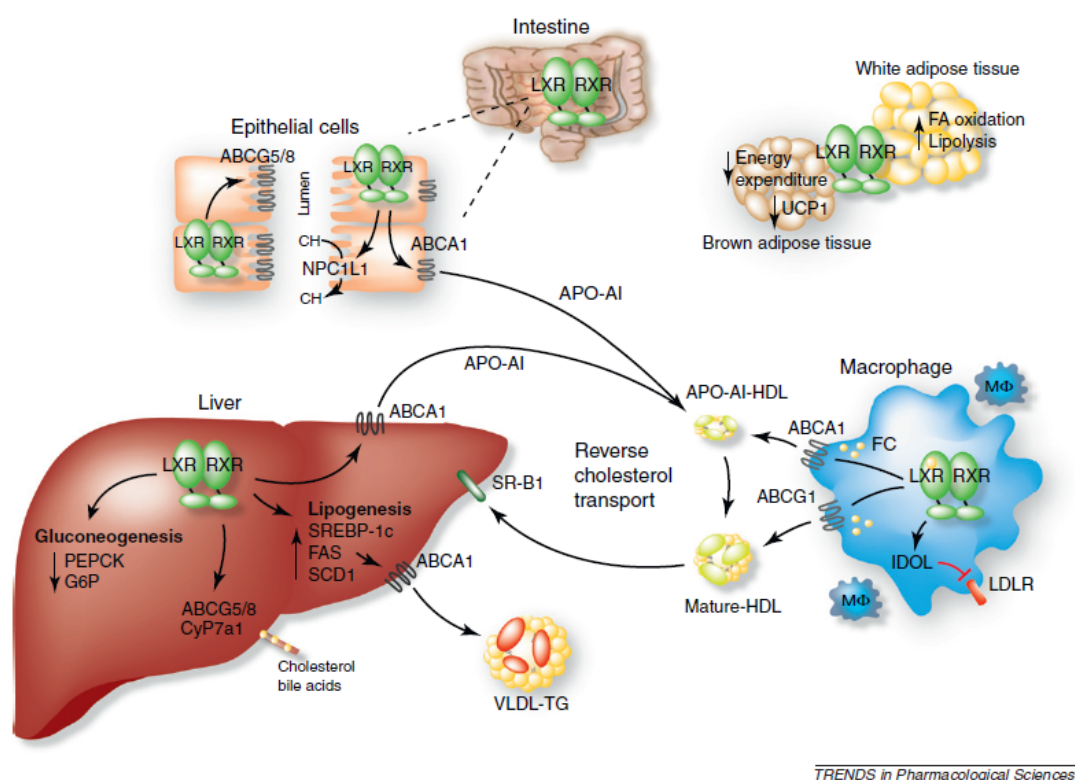


**Figure 1.14** Molecular mechanism of LXR transrepression. LXRs influence gene expression by transrepressing NF- $\kappa$ B regulated genes after SUMOylation and interaction with corepressors (see text for details) (397).

## Role of LXRs in lipid metabolism

### *Cholesterol metabolism*

LXRs function as cholesterol sensors and trigger various adaptive mechanisms, protecting the cells from cholesterol overload. Activation of LXRs results in stimulation of cholesterol efflux from cells to HDL (reverse cholesterol transport), biliary cholesterol excretion, inhibition of intestinal absorption of dietary cholesterol and suppression of cholesterol synthesis de novo. The effects of LXRs on reverse cholesterol transport and excretion into bile as well as on intestinal cholesterol absorption are the major mechanisms, whereas those on cholesterol synthesis are weak and play a minor role (Figure 1.15).

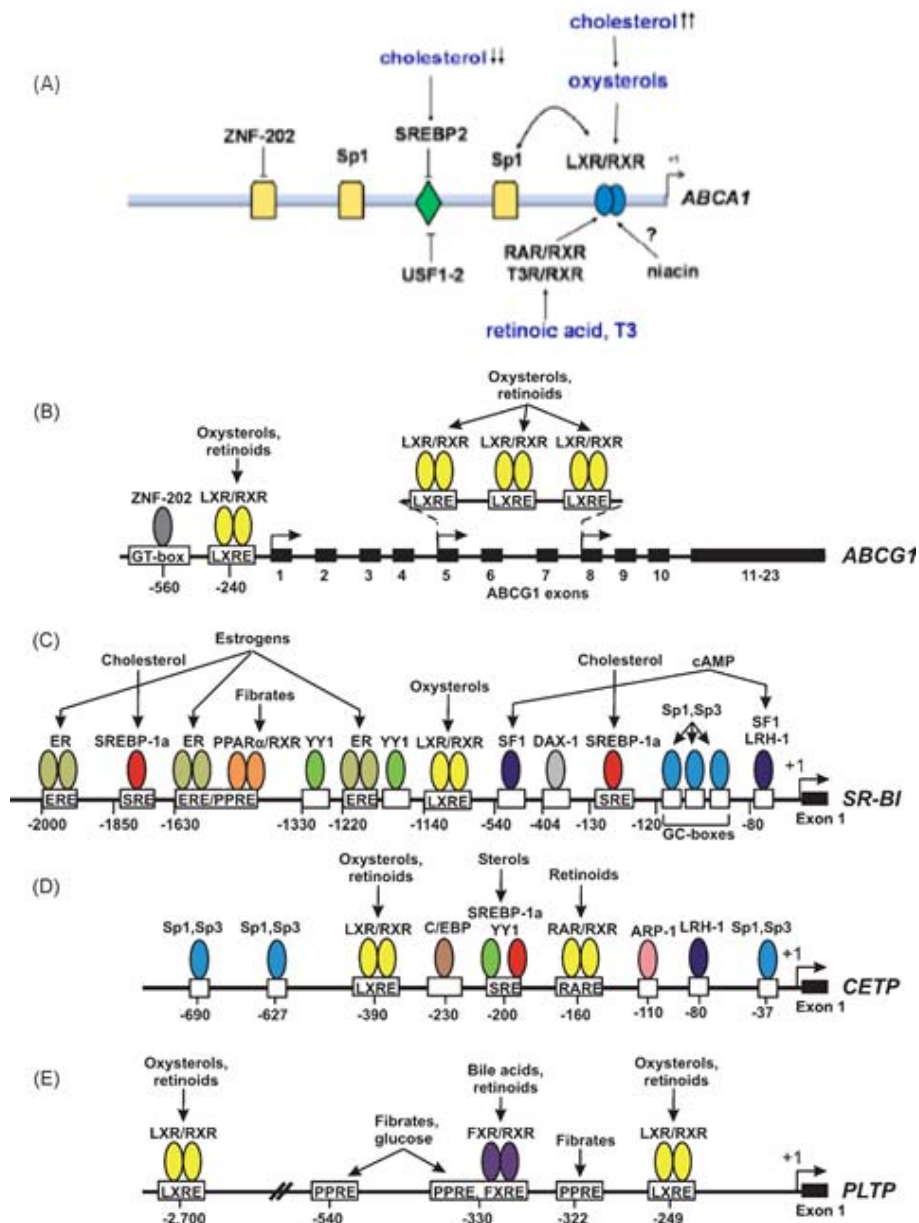


**Figure 1.15** The role of LXRs in lipid metabolism in discrete cell types (see text for details) (398).

## **Reverse cholesterol transport**

Elimination of cholesterol from the organism occurs almost exclusively in the liver. Therefore, excess cholesterol from peripheral tissues must be transported via HDL particles to the liver where it is excreted in the bile as cholesterol or after conversion to bile acids, a process known as reverse cholesterol transport (RCT). Almost every step of this process is stimulated by LXRs.

Cholesterol efflux from the peripheral cells is the first step in RCT that is stimulated by LXRs. As discussed above, ABCA1 and ABCG1 are expressed in macrophages where they prevent foam cell formation, but they are also found in many other cell types, including hepatocytes, enterocytes, and adipocytes. ABCA1 transfers both cholesterol and phospholipids to lipid-free apolipoprotein A-I and ABCG1 transfer cholesterol to HDL(399). Upon LXR activation, the expression of these transporters is increased via functional LXREs found in their genes and as a consequence the cholesterol efflux is stimulated from various cell types and the plasma HDL levels are elevated (Figure 1.16) (400-408). Moreover, Engel et al.(409) demonstrated that LXR agonist increases the expression of ABCG4, a half-transporter homologous to ABCG1, in human macrophages. Before efflux, cholesterol has to be transported from the endosomal compartment to the plasma membrane by the proteins Niemann-Pick C1 (NPC1) and C2 (NPC2). LXRs are also involved in the regulation of this process since LXR agonists upregulate expression of NPC1 and NPC2 in primary human macrophages and thus stimulate the redistribution of cholesterol from intracellular pools to the plasma membrane, where it becomes available for efflux to extracellular acceptors (410). As macrophages or other peripheral cell types become overloaded with lipids, LXRs activate a negative feedback mechanism to limit the uptake of more cholesterol, by inhibiting LDL uptake. LXR agonists induce the expression of the E3 ubiquitin ligase Idol (inducible degrader of LDL receptor), which targets LDL receptor family (LDLR, VLDL receptor and ApoE receptor 2) for ubiquitination and subsequent degradation (411-413). SR-B1, the specific HDL receptor expressed in the liver, is essential for the delivery of cholesterol esters from HDLs to hepatocytes. LXR ligand increases the expression of this receptor in human hepatoma HepG2 cells, by the binding of the LXR/RXR dimer to the LXRE of SR-B1 promoter (Figure 1.16) (414).



**Figure 1.16** Regulatory elements and factors, including LXR/RXR heterodimers, governing the expression of ABCA1, ABCG1, SR-BI, CETP, and PLTP genes. Modified from (10, 415).

Apart from cholesterol transporters and receptor, LXR agonists stimulate the synthesis of alternative extracellular cholesterol acceptors such as apolipoproteins E, A-IV, C-I, C-II and C-IV(416, 417). ApoE is a component of VLDL and chylomicron remnants and is required for their hepatic uptake. In addition, it serves as extracellular acceptor for cholesterol effluxed via ABCA1, promoting reverse cholesterol transport (418). Laffitte et al. (417) showed that LXR agonists stimulate the expression of

apolipoprotein-E selectively in macrophages and in adipose tissue but not in the liver, through interaction with the LXREs. Furthermore, remodeling enzymes of lipoproteins are targets of LXRs. LXR agonists increase the synthesis of hepatic CETP, which transfers cholesterol esters from HDLs to VLDLs in the exchange for triglycerides and PLTP that transfers phospholipids from VLDL and chylomicron remnants to HDL, increasing HDL levels in plasma (Figure 1.16) (419-421). The expression of LPL gene, which hydrolyzes triglyceride rich lipoproteins, is induced also by LXRs in the liver and macrophages, via an LXRE within the first intron of the gene (181).

### **Cholesterol metabolism to bile acids and excretion into bile**

A rate-limiting enzyme of hepatic bile acid synthesis is cholesterol 7 $\alpha$ -hydroxylase (CYP7A1). LXR agonists upregulate the expression of mouse and rat CYP7A1 genes through the LXREs in their promoters and in consequence stimulate bile acid synthesis (354, 422). In contrast to rodents, human Cyp7a1 gene promoter, which does not contain an LXRE, is not induced by LXR $\alpha$  which may be responsible for greater susceptibility of humans to diet-induced hypercholesterolemia (423, 424). ABCG5 and ABCG8 transporters are abundantly expressed in the canalicular membrane of hepatocytes, and are responsible for the cholesterol transport to the bile(425). In addition to stimulation of bile acid synthesis, LXR agonists stimulate also biliary cholesterol excretion by inducing the expression of the hepatic ABCG5 and ABCG8 genes (426, 427).

### **Intestinal cholesterol absorption**

Dietary cholesterol is absorbed in the small intestine through the apical Niemann-Pick C1-like 1 protein (NPC1L1). Most of it is subsequently esterified inside the enterocyte by ACAT-2, and cholesteryl esters are incorporated into chylomicrons. Some intracellular cholesterol is back-extruded to the intestinal lumen through the apical ABCG5/ABCG8 transporters and the minority of cholesterol is exported through the basolateral ABCA1 transporter to locally generated apoA-I-forming HDL particles (428).

Upon LXR activation, the expression of NPC1L1 protein is decreased and the expression of both ABCG5 and ABCG8 is increased in mouse intestine and in human enterocyte cell culture. In consequence LXR agonists reduce intestinal cholesterol

absorption in mice (399, 405, 427, 429). This effect was initially associated with increased ABCA1 expression in enterocytes (405), but subsequent studies with mice lacking either ABCA1 or ABCG5 and ABCG8 transporters revealed that only ABCG5 and ABCG8 are involved in the LXR-induced inhibition of dietary cholesterol absorption (426, 430).

### **De novo cholesterol synthesis**

Several key enzymes in the cholesterol biosynthesis pathway, including 3-hydroxy-3-methylglutarylcoenzyme A (HMG-CoA) reductase and squalene synthase, are stimulated by the sterol regulatory element binding protein-2 (SREBP-2), a transcription factor that is proteolytically cleaved in order to be activated (431). Synthetic LXR agonist reduces hepatic expression of squalene synthase and hydroxymethyl glutaryl coenzymeA synthase in wild-type mice (366). Concordantly, LXR $\alpha$  or LXR $\beta$  deficient mice exhibit higher hepatic expression of SREBP-2 and several of its target genes including hydroxymethyl glutaryl-coenzyme A synthase and reductase, farnesyl diphosphate synthase and squalene synthase (376, 432). Interestingly, Peet et al. (432) reported that elevated expression of cholesterologenic enzymes in the liver of LXR $\alpha$ -deficient mice does not result in the predicted increase in hepatic cholesterol synthesis. Although it is suggested that LXRs inhibit cholesterol biosynthesis, their role in the regulation of this pathway is unclear and requires further investigation.

### ***Fatty acid metabolism***

In addition to cholesterol metabolism LXRs have also been shown to regulate hepatic fatty acid biosynthesis (lipogenesis) (Figure 1.15). In 2000, Schultz et al. (366) first demonstrated that treatment with T0901317 markedly increased hepatic triglyceride content and plasma triglyceride concentration in mice and hamsters. The regulation of fatty acid biosynthesis by LXRs is governed initially by the lipogenic transcription factor, sterol regulatory element binding protein-1c (SREBP-1c) that induces the transcription of genes involved in fatty acid biosynthesis pathway, including acetyl-CoA carboxylase (ACC), fatty acid synthase (FAS) and stearoyl-CoA desaturase (SCD). LXR agonists markedly induce the expression of SREBP-1c through a functional LXRE in the promoter region of the SREBPF1 gene.



Subsequently, SREBP-1c binds to the sterol response element (SRE) within the promoter region of the lipogenic genes and stimulates fatty acid synthesis in hepatocytes (433-435). T0901317-induced activation of lipogenesis leads to massive hepatic accumulation of triglycerides, liver steatosis and hypertriglyceridemia in mice, rats and hamsters (366, 436, 437). Subsequent studies revealed that these three lipogenic genes (ACC, FAS and SCD-1) contain functional LXREs and therefore are directly regulated by LXRs in a SREBP-1c independent manner (436-439). Grefhorst et al. (440) reported that hypertriglyceridemia induced by LXR agonists results from increased secretion of VLDL particles from the liver. Although the number of VLDL particles formed does not change, their diameter increases due to the higher amount of triglycerides per particle.

It should be noted that effects of synthetic and physiologic LXR activation differ markedly. In physiological states of cholesterol excess, oxysterols inhibit SREBP-1c activation through inhibition of its proteolytic cleavage (431). Furthermore, cholesterol-rich diet has very modest effect on hepatic lipogenesis and triglyceride content (432). In contrast, synthetic LXR agonists cannot suppress SREBP-1c activation, but induce SREBP-1c expression which results in dramatic increase in fatty acid biosynthesis.

Some groups reported that only LXR $\alpha$  is responsible for the liver accumulation of TG (432). As LXR $\alpha$  is most strongly expressed in the liver, the predominant strategy for avoiding the hepatic lipogenic effects is the development of LXR ligands that selectively activate LXR $\beta$ . However, recent studies have shown that both LXR $\alpha$  and LXR $\beta$  may be responsible for liver lipogenesis (441, 442). In LXR $\alpha$  knockout mice, lipogenesis in liver is less than in WT mice, but when treated with GW3965 agonist, both SREBP1c expression and hepatic TG increased, implying that LXR $\beta$  contributes to this lipogenic side effect (441). However, various synthetic LXR agonists seem to differ in their potency to stimulate lipogenesis as discussed above.

### ***Hepatic gluconeogenesis metabolism***

LXR agonist treatment decreased expression of PGC-1 $\alpha$ , a key regulator of hepatic glucose production, in murine liver resulting in decreased expression of gluconeogenic enzymes such as phosphoenolpyruvate carboxykinase (PEPCK) (343,

443, 444). In addition to reduced expression of PEPCK, reduced expression of G6Pase, an enzyme which controls the flux of glucose-6-phosphate (G6P) towards glycolysis, might also be suppressed upon LXR activation. However, although these enzymes are inhibited by LXR activation, gluconeogenesis and flux through G6Pase were not affected in mice (445). In addition, the insulin-sensitive glucose transporter GLUT4 was shown to be a direct LXR target gene, through an LXRE in the promoter of the gene and upon LXR synthetic agonists the expression of GLUT4 is increased in adipocytes.(341, 343). Notably, LXR agonists enhance insulin secretion by pancreatic  $\beta$ -cells, by stimulating the expression of GLUT2 and glucokinase, suggesting a role of LXR in lowering blood glucose in diabetes (446-448). Interestingly, the LXR agonists T0901317 and GW3965 were shown also to reduce hepatic glucose output and improve insulin sensitivity in insulin resistant Zucker (*fa/fa*) rats and diet-induced obese mice (343, 444, 449). However, in diabetic mice LXR agonists only slightly improve insulin sensitivity despite considerable reduction in blood glucose concentrations, indicating that other mechanisms could underlie their anti-diabetic action (445).

## **2. MATERIALS AND METHODS**

## Materials

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Dulbecco's modified Eagle's medium (DMEM), Opti-MEM I Reduced Serum Medium, Newborn Calf Serum (NBCS), penicillin/streptomycin, trypsin/EDTA, puromycin for cell culture, Hank's Balanced Salt Solution (HBSS), Liver Digest Medium for hepatocyte isolation, Lipofectamine RNAiMAX Transfection Reagent and Lipofectamine 2000 were purchased from Invitrogen/Life Technologies (Carlsbad, CA). Fetal bovine serum (FBS) was purchased from BioChrom Labs (Terre Haute, IN). Charcoal-stripped serum (CSS) was prepared after treatment of FBS with charcoal and dextran. Restriction enzymes and T4 DNA ligase were purchased from Minotech (Heraklion, Greece) or New England Biolabs (Beverly, MA). Go Taq DNA polymerase, dNTPs, the luciferase assay system, Cell culture lysis 5x reagent and the Wizard SV gel and PCR cleanup system were purchased from Promega (Madison, WI). Sodium pyruvate, dexamethasone (D2915), insulin (I0516), isobutylmethylxanthine (IBMX-I5879) for culture of 3T3-L1 cells, Percoll, BSA fraction V for hepatocyte isolation, 9-cis retinoic acid (9-cis RA), 22(R)-hydroxycholesterol, poly (dI/dC), ONPG (o-nitrophenyl  $\beta$ -D-galactopyranoside), PMSF (phenylmethylsulfonyl fluoride), aprotinin and benzamidine and streptavidin agarose beads were purchased from Sigma-Aldrich (St.Louis, MI). T0901317 was purchased from Cayman Chemicals (Ann Arbor, MI) and IPTG (isopropyl  $\beta$ -D-thiogalactoside) was purchased from Anatrache (Maumee, OH). Collagen rat, shrimp alkaline phosphatase and Complete Protease inhibitor cocktail tablets were purchased from Roche (Mannheim, Germany). Glutathione-Sepharose 4B, protein G Sepharose and nitrocellulose membrane were purchased from GE healthcare Life-sciences (Freiburg, Germany). QuickChange Site-Directed Mutagenesis Kit was purchased from Stratagene (La Jolla, CA). Trizol reagent for RNA extraction, SuperScript II, M-MLV reverse transcriptases, random hexamers, BenchMark Prestained Protein Ladder, Dynabeads M-280 streptavidin and Dynabeads protein G were purchased from Invitrogen/Life Technologies (Carlsbad, CA). KAPA SYBR FAST qPCR Master Mix for quantitative PCR was purchased from Kapa Biosystems (Wilmington, MA). The Super Signal West Pico chemiluminescent substrate and stripping buffer restore western blot were purchased from Pierce-Thermo Scientific (Waltham, MA). MG132 proteasome inhibitor and Coomassie Brilliant Blue R-250 were purchased from Merck Millipore (Darmstadt, Germany). Biotinylated oligonucleotides were

synthesized at VBC Biotech (Vienna, Austria). FOXA2 si-RNA and scrambled si-RNA were synthesized at Eurofins MWG Operon (Ebersberg, Germany). All other oligonucleotides were synthesized at the microchemical facility of the Institute of Molecular Biology and Biotechnology (IMBB, Heraklion, Greece) or Eurofins Genomics (Ebersberg, Germany).

### ***Antibodies***

Primary antibodies, anti-HNF-3 $\beta$ /FOXA2 (M-20), anti-RXR (D-20), anti-LXR (H-144), a-Gal4 DBD and anti-LPL (H-53) were purchased from Santa Cruz Biotechnology (Santa Cruz, CA). Anti-LXR $\alpha$  (ab41902) ChIP Grade antibody was purchased from Abcam (Cambridge, MA). Anti-pAKT (Ser473) was purchased from Cell Signaling (Danvers, MA) and anti-actin (MAB1501R) was purchased from Merck Millipore (Darmstadt, Germany). Anti c-myc (9E-10) and anti-Flag M2 (F-3165) antibodies were purchased from Sigma-Aldrich (St.Louis, MO, USA). Anti-goat, anti-rabbit and anti-mouse peroxidase-conjugated secondary antibodies were purchased from Jackson ImmunoResearch Laboratories (West Grove, PA). Anti-rabbit peroxidase-conjugated antibody was also purchased from Merck Millipore and anti-goat was also purchased from Sigma-Aldrich (St.Louis, MI).

**Table 2.1.** Antibodies used for analysis of protein expression by western blot.

Antibody	Dilution - WB	Co-IP	ChIP
anti-HNF-3 $\beta$	1:200 or 1:500 in 5% w/v milk in TBS-T 0.1%, 0.02% NaN <sub>3</sub>	2ug/IP	5ug/IP
anti-RXR $\alpha$	1:500 in 5% w/v milk in TBS-T 0.1%, 0.02% NaN <sub>3</sub>		5ug/IP
anti-LXR $\alpha$ (H-144)	1:2000 in 5% w/v milk in TBS-T 0.1%, 0.02% NaN <sub>3</sub>		12ug/IP (HEK cells)
anti-LXR $\alpha$ (ab41902)			7ug/IP (HepG2 cells)
anti-LPL	1:200 or 1:300 in 5% w/v milk in TBS-T 0.1%, 0.02% NaN <sub>3</sub>		
anti-actin	1:5000 in TBS-T 0,1%, 0,02% NaN <sub>3</sub>		
anti-pAKT	1:1000 in 5% BSA in TBS-T 0.1%, 0.02% NaN <sub>3</sub>		
anti-myc	1:1000 in 5% w/v milk in TBS-T 0.1%, 0.02% NaN <sub>3</sub>		
anti-flag	1:1000 in 5% w/v milk in TBS-T 0.1%, 0.02% NaN <sub>3</sub>	4 $\mu$ l/IP	
anti-Gal4 DBD	1:500 in 5% w/v milk in TBS-T 0.1%, 0.02% NaN <sub>3</sub>	2ug/IP	

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## Molecular Cloning Protocols

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### Molecular enzyme reactions

The promoter or cDNA sequences of the human and mouse genes were amplified by PCR using the primers shown in Tables 2.4 and 2.6 and subsequently cloned into appropriate vectors. Generally, plasmids and PCR products were digested with the appropriate restriction enzymes. The digestions were performed in the proper reaction conditions as recommended by the manufacturer (Minotech or New England Biolabs). Small amounts of DNA (~1  $\mu$ g of DNA) were digested at 37 °C (or 25°C) for 1 hour, while in large-scale digests 5-15  $\mu$ g of DNA were incubated at 37 °C (or 25°C) for 3-6 hours. In cases a single enzyme is used for vector digestion, shrimp alkaline phosphatase (SAP) (Roche) was used for the dephosphorylation of digested DNA fragments to catalyze the dephosphorylation of 5' phosphates from DNA and prevent recircularization. The reaction assay was performed by adding 1  $\mu$ l of SAP in the

digestion, followed by incubation at 37 °C for 1 hour and heat inactivation of the enzyme at 65 °C for 20 minutes.

### **Agarose gel electrophoresis**

Agarose gels were performed in 1x TAE (Table 2.2) containing 1-3% agarose, depending on the estimated size of the expected DNA fragments. The solution was boiled in a microwave oven for 2-3 minutes and cooled down. 4 µL of ethidium bromide (10 mg/ml) or midori green advance (Nippon Genetics) were added per 100 ml of agarose solution. λDNA digested with BstEII or 100 bp DNA ladder (Invitrogen) was used as a DNA size marker. DNA samples were mixed with 6x gel loading dye (Table 2.2) and run in tanks under the appropriate electrical field. The bands were visualized using the TFX-35M UV transilluminator.

**Table 2.2.** Buffers used for agarose gel electrophoresis

50x TAE		6x gel loading dye	
Tris	121 g	bromophenol blue	0.25%
EDTA	18.6 g	xylene cyanol FF	0.25%
acetic acid glacial	28.55 ml	glycerol	30%
Volume	500 ml		

### **DNA isolation and purification from agarose gel**

PCR products digested DNA fragments and reaction mixtures, run on agarose gels, were purified with the use of Wizard SV Gel and PCR Clean-Up System by Promega according to the manufacturer's instructions. The concentration of the purified DNA fragments was determined either by gel quantitation or by spectrophotometry.

### **Ligation reaction**

For ligation of digested DNA fragments, T4 DNA Ligase was used and the reactions were performed in a final volume of 15 µl containing 1x T4 DNA ligation buffer supplemented with ATP and 1µl T4 DNA ligase. The molar ratio of insert to vector was 3:1 to 5:1 molecules by using 50-100 ng of the vector DNA. The final mixture was incubated at 4 °C overnight.

## Transformation of E.coli competent cells

The ligation reaction and expression plasmids were used for transformation of chemically competent Escherichia coli DH10 $\beta$  cells. 100  $\mu$ l of frozen competent cells were thawed on ice and the appropriate amount of DNA (15  $\mu$ l ligation reaction or 100-500 ng of plasmid) was added to the cells. The cells were incubated for 30 minutes on ice, heat-shocked at 42 °C for 45 seconds and immediately cooled on ice for 2 minutes. 900 $\mu$ l growth medium LB (Table 2.3) was added to the cells and they were incubated at 37 °C for 1 hour. If the DNA derived from a ligation reaction, the cells were centrifuged at 2.000rpm for 5 minutes, resuspended in 100-150  $\mu$ l LB and all cells were spread on LB agar plate with the appropriate antibiotic. If plasmid DNA was used for transformation, ~100  $\mu$ L of cells were spread on the agar plate. The plates were incubated at 37 °C for 16 hours.

**Table 2.3** Composition of LB medium

LB (Luria-Bertani)	
NaCl	10 g
yeast extract	5 g
tryptone	10 g
Volume	1 L
autoclave	

## Cultivation of bacteria

E.coli bacteria were grown in LB medium shaking cultures or on LB agar plates. Depending on the resistance cassette on the transformed plasmids, appropriate antibiotics were used to a final concentration of 100  $\mu$ g/mL for ampicillin and 50  $\mu$ g/mL for kanamycin. E.coli cultures were incubated at 37 °C for 16 hours.

## Purification of plasmid DNA by alkaline lysis (mini-preparation)

A single bacterial colony was picked from a selective plate, inoculated 2-3 ml LB medium containing the appropriate selective antibiotic and incubated at 37°C for 16 hours with vigorous shaking. The mini cultures were transferred in eppendorf tubes and the cells were harvested by centrifugation at 13.000 rpm for 1 minute. Plasmid extraction and purification was performed using either the QIAGEN Plasmid Mini Kit



according to the manufacturer's instructions. Briefly, the LB supernatant was removed and the bacterial pellet was resuspended in 150 µl P1 buffer supplemented with RNase A (100 µg/ml). The cells were lysed by adding 150 µl P2 buffer in the solution, mixed gently by inverting 4-6 times. 150µl of chilled buffer P3 also added in the solution, mixed 4-6 times and centrifuged at 13.000 rpm for 10 minutes to pellet cell debris. The supernatant containing plasmid DNA was collected and plasmid DNA was precipitated by adding 400 µl RT isopropanol, mixed and centrifuged at 13.000 rpm for 10 minutes. The DNA pellet was washed with 500 µl RT 70% ethanol centrifuge at 13.000 rpm for 10 minutes, air-dried and resuspended in 30-40 µl sterile dH<sub>2</sub>O.

### **Glycerol Stocks and Purification of plasmid DNA (midi/maxi-preparation)**

A single bacterial colony was picked from a selective plate, inoculated 2-4 ml LB medium containing the appropriate selective antibiotic and incubated at 37 °C for 2-4 hours with vigorous shaking. The mini cultures were transferred in 150-200ml LB medium containing the appropriate selective antibiotic and incubated at 37°C for 16 hours with vigorous shaking. For preparation of glycerol stocks, 500µl sterile glycerol were mixed by vortex with 500 µl saturated bacterial culture and stored at -80 o C. Large-scale preparations (up to 500 µg) of plasmids DNA were performed, using the QIAGEN-tips-100 or -500 according to the protocols provided by QIAGEN (QIAGEN Plasmid Midi and Maxi Protocol) or NucleoBond PC 100 by Macherey-Nagel.

### **Quantification of nucleic acid concentration**

The concentration of the purified nucleic acids (DNA or RNA) was determined by spectrophotometry, using the Jenway 6405 UV/VIS Spectrophotometer. 1 ml of dH<sub>2</sub>O was added in the cuvette and used to calibrate the instrument. The samples were diluted 1:200 in dH<sub>2</sub>O (5 µl nucleic acid sample + 995 µL dH<sub>2</sub>O) and the absorbance was measured at wavelengths of 260 nm and 280 nm. Pure preparations of nucleic acids had OD<sub>260</sub>/OD<sub>280</sub> =1.8 - 2.0. The concentration of each sample was calculated from the below equations. For double-stranded DNA: Concentration=OD<sub>260</sub> x 50 ng/µl x dilution factor. For single-stranded DNA: OD<sub>260</sub> x 35 ng/µl x dilution factor. For RNA: OD<sub>260</sub> x 40 ng/µl x dilution factor.

Alternatively, the concentration and purity of the nucleic acids was determined by the TECAN Infinite 200 PRO instrument.

### **Site-directed mutagenesis**

The mutated promoter constructs (-883/+39 LPL-luc mut and -883/+39 LPL-luc -39T/C) were generated by site-directed mutagenesis using the QuickChange II Site-Directed Mutagenesis Kit from Stratagene according to the manufacturer's instructions. Briefly, a PCR reaction was performed using 5 µl 10x buffer for Pfu Ultra HF DNA polymerase, 10 ng ds DNA template, 125 ng primer #1, 125 ng primer #2, 2,5 µl dNTPs 10mM, 1 µl Pfu Ultra HF DNA polymerase (2.5 U/µl) and dH2O up to 50 µl. The cycling parameters were: 95°C 30 seconds, 95°C 30 seconds, 55°C 1 minute, 68°C 6 minutes for 18 cycles. When PCR reaction was completed, 1 µl of the DpnI restriction enzyme (20U/ml) was added in the reaction and incubated at 37°C for 1.5 hours. 1 µl, 5 µl, 10 µl were used for the transformation of DH10β competent cells, which were spread on the LB agar plates, as discussed above. Colonies were selected for plasmid DNA purification. DNA sequencing reactions were performed by Macrogen Corporation (Amsterdam, The Netherlands), to verify the presence of the desired mutation in the selected clones. The sequence of the primers utilized for the site-directed mutagenesis is shown in Table 2.5.

## **Plasmid constructions**

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### **Promoter constructs**

The human LPL promoter plasmids (-883/+39) LPL-Luc, (-669/+39) LPL-Luc, (-466/+39) LPL-Luc, (-262/+39) LPL-Luc, (-109/+39) LPL-Luc and (-28/+39) LPL-luc were generated by PCR amplification of the corresponding fragments of LPL promoter using human genomic DNA as template and cloned at the XhoI-HindIII sites of pBluescript vector bearing the luciferase gene (pBS-luc). The promoter constructs (-883/+39) mut LPL-luc, which bear mutations in the FOXA2 binding site of the LPL promoter and (-883/+39) -39T/C LPL-luc, a T to C substitution at nucleotide -39, were generated by site-directed mutagenesis using the QuickChange

Site-Directed Mutagenesis Kit and the primers shown in Table 2.5 according to the manufacturer's instructions (described above). The LPL promoter construct (-109/+39) mut LPL-luc, bearing mutations in the FOXA2 binding site, were generated by PCR amplification of the -109/+39 fragment of LPL promoter using the (-883/+39) mut LPL-luc as template and subsequent cloning into the XhoI-HindIII sites of the pBluescript vector bearing the luciferase gene. The sequence of all oligonucleotides used as primers in PCR amplification or in mutagenesis is shown in Table 2.4 and 2.5.

The human ABCG5 and ABCG8 promoter constructs, (-972/+72) ABCG5-luc and (-939/+12) ABCG8-luc, were available in the lab.

**Table 2.4.** Oligonucleotides used for cloning LPL promoter fragments <sup>a</sup>

Name	Sequence
LPL -883 Fw	5' - CCG <u>CTCGAG</u> CAGAGTTGTGCAGCATCAGCAT -3'
LPL -669 Fw	5' - CCG <u>CTCGAG</u> ACGGCTTTAGATTATTTGACCTCG - 3'
LPL -466 Fw	5' - CCG <u>CTCGAG</u> ACGCAATGTGTGTCCCTCTAT -3'
LPL -262 Fw	5' - CCG <u>CTCGAG</u> ACCTGTGTTTGGTGCTTAGACA - 3'
LPL -109 Fw	5' - CCG <u>CTCGAG</u> TAGAAGTGAATTTAGGTCCCTC - 3'
LPL -28 Fw	5' - CCG <u>CTCGAG</u> ACATAAGCAGCCTTGGCGTGAAAA - 3'
LPL +39 Rev	5' - CCC <u>AAGCTT</u> ITTCCTTGAGGAGGAGGAAGAG - 3'

<sup>a</sup> XhoI (CTCGAG) and HindIII (AAGCTT) sites are underlined.

**Table 2.5.** Oligonucleotides used for site-directed mutagenesis <sup>a</sup>

Name	Sequence
LPL-FOXA2-Mut-Fw	5' - ATAGGTGATGAGGTT <b>CCCGG</b> GCATATTTCCAGTCA - 3'
LPL-FOXA2-Mut-Rev	5' - TGA CTGGA AATATG <b>CCCGG</b> GAACCTCATCACCTAT - 3'
LPL-39T/C-Fw	5' - GGTGATGAGGTTTATTTGCAC <b>ATT</b> CCAGTCACATAAGCAG - 3'
LPL-39T/C-Rev	5' - CTGCTTATGTGACTGGA <b>AAATG</b> TGCAAATAAACCTCATCACC - 3'

<sup>a</sup> Nucleotide substitutions in the primers used for site-directed mutagenesis are in bold and italic.

## Expression vectors

The vectors expressing the truncated forms of rat FOXA2 1-94, 144-279 and 361-458, were constructed by PCR amplification of the corresponding fragments of rat FOXA2 cDNA and subsequent cloning at the EcoRI-NotI sites of vector pGEX-4T1 (Amersham Biosciences). Then the above fragments were sub-cloned into the

pCDNA3-6myc expression vector at the same restriction sites, in frame with a 6myc epitope tag. For the construction of plasmids pCDNA3-6myc-FOXA2 and its truncated forms 280-360, 1-360, 95-458, 1-279, 95-360, the corresponding fragments of rat FOXA2 cDNA were amplified by PCR amplification and cloned at the EcoRI-NotI sites of pCDNA3-6myc vector. To generate the expression plasmids GAL4-DBD FOXA2 1-94 and 95-458, the truncated forms of FOXA2 1-94 and 95-458 were excised from pCDNA3-6myc vector by EcoRI and XbaI and then sub-cloned into the corresponding sites of the pBXG1 vector in frame with the DNA binding domain (DBD) of GAL4. The expression vector Bio-FOXA2 was constructed by PCR amplification of the rat FOXA2 cDNA and cloning at the EcoRI-NotI sites of pCDNA3-Bio vector in frame with a biotinylation peptide tag (450). The GST-FOXA2 plasmid was constructed by sub-cloning the FOXA2 cDNA into the pGEX-4T1 expression vector at the EcoRI-NotI sites. The bacterial vector expressing LXR $\alpha$  fused with GST was constructed by PCR amplification of the human LXR $\alpha$  cDNA and cloning into the expression vector pGEX-4T1 at the BamHI and NotI sites. The sequence of all oligonucleotides used as primers in PCR amplification or in mutagenesis is shown in Table 2.6.

The expression vectors Flag-FOXO1, CMV-rFOXA2, pCMX-LXR $\alpha$ , pCDNA3-BirA, pCDNA3-6myc-RXR $\alpha$ , pCDNA3-6myc-LXR $\alpha$  and its truncated forms (1-163, 164-447, 1-97, 98-163, 1-127, 30-127, 30-163) and were available in the lab. The expression plasmids Flag-LXR $\beta$  and GST-mFOXO1 were kind gifts from Dr. Blobe G. (Departments of Medicine and Pharmacology and Cancer Biology, Durham, North Carolina) and Dr. Negishi M. (National Institute of Environmental Health Sciences, Research Triangle Park, North Carolina), respectively.

**Table 2.6.** Oligonucleotides used in PCR amplifications for cloning of rat (r) FOXA2 or human (h) LXR $\alpha$  cDNAs into appropriate vectors <sup>a</sup>

Name	Sequence
rFOXA2 1 Fw	5' - CCGGAATTCATGCTGGGAGCCGTGAAGATGGAAGG - 3'
rFOXA2 95 Fw	5' - GGAATTCGGGGCGGCCGCGTGGCGGGCAT - 3'
rFOXA2 144 Fw	5' - CCGGAATTCGCTCGGGACCCCAAGACGTAC - 3'
rFOXA2 280 Fw	5' - CCGGAATTCACACAGGCTTCTCAGGTTTCAGCTCGG - 3'
rFOXA2 361 Fw	5' - CCGGAATTCGAGGCCACCTGAAGCCCGA - 3'
rFOXA2 94 Rev	5' - TTTGCGGCCGCTTAAGCTGAGCCGCTCATGCCC - 3'
rFOXA2 279 Rev	5' - TTTGCGGCCGCTTACCCAGGAGCGGTCTTCT - 3'
rFOXA2 360 Rev	5' - TTGCGGCCGCTTATGGTGGCAGGCCAGGATGGT - 3'
rFOXA2 458 Rev	5' - TTTGCGGCCGCTTAGGACGAGTTCATAATAGGCCTGG - 3'
hLXR $\alpha$ Fw	5' - CGCGGATCCATGTCCTTGTGGCTGGGGGC - 3'
hLXR $\alpha$ Rev	5' - TTGCGGCCGCTCATTGTCACATCCCAGAT - 3'

<sup>a</sup> EcoRI (GAATTC), NotI (GCGGCCGC) and BamHI (GGATCC) are underlined.

### shRNA producing vectors

The LXR $\alpha$  shRNA, LXR $\beta$  shRNA and control shRNA producing vectors were generated by ligation of double-stranded oligonucleotides that contained the siRNA-expressing sequence targeting LXR $\alpha$ , LXR $\beta$  or a scrambled sequence respectively, into the BglII-HindIII sites of the pSuper.retro.GFP/neo vector (Oligoengine, Seattle). In order to generate lentiviruses expressing the shRNAs for LXR $\alpha$ , LXR $\beta$  and control, the double-stranded oligonucleotides that contained the siRNA-expressing sequences inserted also into pLKO.1 TRC-cloning vector between AgeI and EcoR1 sites, according to the pLKO.1 protocol instructions (addgene). All oligonucleotides used for shRNA producing vectors are shown in Table 2.7.

The pLKO.1 vector, scrambled shRNA pLKO.1 vector and the packaging expression vectors  $\Delta$ 8.2 and VSV-G, which were used for lentivirus production, were kindly provided by Dr. Sourvinos G. (Medical School of University of Crete, Heraklion, Greece).

**Table 2.7.** Oligonucleotides used for sh-RNA cloning into appropriate vectors <sup>a</sup>

Name	Sequence	Purpose
shRNA LXR $\alpha$ sense	5'- GATCCCC <u>GAACAGATCCGCCTGAAGATTCAAGAGATCTTCAGGCGGATCTGTTCTTTT</u> A-3'	Cloning of shRNA for human LXR $\alpha$ into pSuper.retro.neo.gfp vector
shRNA LXR $\alpha$ antisense	5'- AGCTTAAAAA <u>GAACAGATCCGCCTGAAGATCTCTTGAACTCTTCAGGCGGATCTGTTCTGGG</u> -3'	
shRNA LXR $\beta$ sense	5'- GATCCCC <u>GAACAGATCCGGAAGAAGATTCAAGAGATCTTCTCCGGATCTGTTCTTTT</u> A-3'	Cloning of shRNA for human LXR $\beta$ into pSuper.retro.neo.gfp vector
shRNA LXR $\beta$ antisense	5'- AGCTTAAAAA <u>GAACAGATCCGGAAGAAGATCTCTTGAACTTCTCCGGATCTGTTCTGGG</u> -3'	
shRNA control sense	5'- GATCCCC <u>TTCTCCGAACGTGTCACGTTTCAAGAGAACGTGACACGTTCCGAGAA</u> TTTTTA-3'	Cloning of shRNA control into pSuper.retro.neo.gfp vector
shRNA control antisense	5'- AGCTTAAAAA <u>TTCTCCGAACGTGTCACGTTCTCTTGAAACGTGACACGTTCCGAGAA</u> GGG-3'	
shRNA LXR $\alpha$ sense	5'- CCGG <u>GAACAGATCCGCCTGAAGACTCGAGTCTTCAGGCGGATCTGTTCTTTT</u> TG-3'	Cloning of shRNA for human LXR $\alpha$ into pLKO.1 vector
shRNA LXR $\alpha$ antisense	5'- AATTCAAAAA <u>GAACAGATCCGCCTGAAGACTCGAGTCTTCAGGCGGATCTGTTCT</u> -3'	
shRNA LXR $\beta$ sense	5'- CCGG <u>GAACAGATCCGGAAGAAGACTCGAGTCTTCTCCGGATCTGTTCTTTT</u> TG-3'	Cloning of shRNA for human LXR $\beta$ into pLKO.1 vector
shRNA LXR $\beta$ antisense	5'- AATTCAAAAA <u>GAACAGATCCGGAAGAAGACTCGAGTCTTCTCCGGATCTGTTCT</u> -3'	

<sup>a</sup> si-RNA sequence targeting LXR $\alpha$ , LXR $\beta$  or si-control (scrambled) sequence is italic and underlined

### **Cell culture and treatments**

The cell lines used in the present study were HepG2 (human hepatocellular liver carcinoma cells), HEK293T (human embryonic kidney 293T cells), and 3T3-L1 (mouse embryonic fibroblasts-like preadipocytes). HepG2 cells and HEK293T cells were cultured in Dulbecco's modified Eagle's medium (DMEM high glucose) supplemented with 10% fetal bovine serum (FBS), L-glutamine, and penicillin/streptomycin at 37 °C in a 5% CO<sub>2</sub> atmosphere. The medium was renewed every 48 h. As soon as a confluent monolayer had been formed in the flask, the cells were subcultured to an appropriate concentration using trypsin-EDTA.

For the treatment of cells with 22(R)-hydroxycholesterol, 9-cis-retinoic acid and T0901317, 10% FBS was replaced by 5% charcoal-stripped serum (CSS) and the ligands were added for 24 hours at a final concentration of 1 μM. For the treatment of HepG2 cells with insulin, cells were plated in DMEM low glucose +Glutamax supplemented with 10% fetal bovine serum, and penicillin/streptomycin, serum-starved for 18 hours with DMEM low glucose +Glutamax supplemented with penicillin/streptomycin and stimulated with insulin for 24 hours at a final concentration of 500nM. For the treatment of HepG2 cells with insulin and T0901317, cells were serum-starved for 18 hours and stimulated with insulin or/and T0901317 for 24 hours at a final concentration of 500nM and 1μM, respectively.

Mouse 3T3-L1 pre-adipocytes were grown in DMEM (high glucose) supplemented with 10% newborn calf serum (NBCS), L-glutamine, 1% sodium pyruvate and penicillin/streptomycin (Normal Growth Medium) at 37°C in a 5% CO<sub>2</sub> atmosphere. For adipocyte differentiation, 3T3-L1 cells were maintained for 1 day in normal growth medium and then switched for 2 days to differentiation medium, a mixture of DMEM supplemented with 10% fetal bovine serum, 1% sodium pyruvate, penicillin/streptomycin, 0.17μM insulin, 0.25μM dexamethasone and 0.5mM isobutylmethylxanthine (IBMX). After this time cells were cultivated in differentiation medium without the inducers dexamethasone and IBMX for 2-3 days. About 2 days after removal of dexamethasone and IBMX, adipocyte colonies began to be visible as regions containing rounded cells with numerous intracellular lipid droplets. Then the medium of cells was switched again to the differentiation medium

supplemented with the inducers (dexamethasone and IBMX) for 2 more days. The cells were maintained for 1 day in differentiation medium without the inducers and the next day cells were harvested. Mature adipocyte differentiation and lipid accumulation in the cells was observed by phase contrast microscopy at days 1 and 8.

### ***Charcoal Stripped Serum (CSS)***

When very low concentration of hormones is needed, CSS was used instead of FBS. This procedure removes lipophilic material such as cholesterol and hormones. 50ml FBS were mixed with 0.125 gr charcoal and 0.0125 gr dextran, incubated at 56 °C for 30 minutes and centrifuged at 3.000 rpm for 15 minutes. The supernatant was transferred to a new falcon, mixed again with 0.125 gr charcoal and 0.0125 gr dextran, incubated at 37 °C for 30 minutes and centrifuged at 3.000 rpm for 15 minutes. The supernatant was transferred to a new falcon, filtered using a 0.20µm filter and stored at -20°C.

### **Transient transfections and siRNA silencing**

Transient transfections of HepG2 and HEK293T cells were performed using the calcium phosphate [ $\text{Ca}_3(\text{PO}_4)_2$ ] co-precipitation method. One day before the transfection experiment, the appropriate number of cells (Table 2.8) was plated such that they will be 60% confluent at the time of transfection. To transfect cells, the proper amount of DNA, 2M  $\text{CaCl}_2$  and  $\text{H}_2\text{O}$  were added in an eppendorf tube. Salmon Sperm DNA Solution was used either as control or to equilibrate the DNA amount in all samples. The DNA- $\text{CaCl}_2$  mix was added dropwise while vortexing to a tube containing an equal amount of 2x HBS (Table 2.9). The mixture was incubated at RT for 15 minutes and added to the cells dropwise. Cells were mixed gently by rocking the plate back and forth, incubated at 37 °C and the medium was replaced with fresh medium 17-18 hours post-transfection. Cells were incubated at 37 °C for another 24 h and then harvested.

Lipofectamine 2000 reagent was used in HepG2 cells that were transfected with FOXA2 expression vector for protein and RNA extraction, according to the manufacturer's instructions. Transient transfections in 3T3-L1 cells and insulin treated HepG2 cells were performed also using Lipofectamine 2000. HepG2 and HEK293T cells were transfected with scrambled siRNA or siRNA against FOXA2



(Table 2.10) for 40 hours using Lipofectamine RNAiMAX according to the manufacturer's instructions. The silencing efficiency of FOXA2 was confirmed by western blotting or/and quantitative PCR.

**Table 2.8.** Setup for transfection assays using the CaPO<sub>4</sub> method.

Culture plate	Number of cells		Volume of medium	DNA (µg)	CaCl <sub>2</sub> 2M (µl)	H <sub>2</sub> O (µl)	2x HBS (µl)
	HepG2	HEK293T					
6-well	500.000	250.000	1-2	6	15.5	up to 125	125
p60	10 <sup>6</sup>	500.000	3	15	31	up to 250	250
p100	2x10 <sup>6</sup>	1-1.2x10 <sup>6</sup>	5	30	31	up to 250	250

**Table 2.9.** Composition of 2x HBS

2x HBS	
NaCl	274mM
KCl	10mM
Na <sub>2</sub> HPO <sub>4</sub> ·H <sub>2</sub> O	1,5mM
Dextrose	12mM
Hepes	42mM
pH 7 ± 0.1	

**Table 2.10.** Oligonucleotides used for human FOXA2 siRNA-mediated silencing

Name	Sequence
FOXA2 siRNA	5'- CAGCAGAGCCCCAACAAGA -3'
non-specific scrambled siRNA	5'- CAGTCGCGTTTGCGACTGG -3'

### Isolation of primary mouse hepatocytes and treatments

Primary mouse hepatocytes were isolated by perfusion of whole liver and percoll gradient purification. Eight-week-old to 12-wk-old male mice were euthanized immediately before the procedure. The liver was perfused with warm Hank's buffered saline supplemented with 0.4 g/L KCl, 1.0 g/L glucose, 2.1 g/L NaHCO<sub>3</sub>, and 0.2 g/L EDTA (pH 7.4, 42°C) via the inferior vena cava. The portal vein was severed to allow drainage. Perfusion was continued with warm Liver Digest Medium (pH 7.4, 42°C). Dissected liver was manually disrupted in DMEM supplemented with 10% FBS, 4.5 g/L glucose, 2 mM sodium pyruvate, 1 µM dexamethasone, 0.1 µM insulin, and

penicillin/streptomycin (plating medium). The cell suspension was filtered (70  $\mu$ M) and viable hepatocytes were isolated after resuspension of pelleted cells in plating medium:PBS-buffered Percoll (1:1) and centrifugation at 1000 rpm for 5 minutes. The cell pellet was washed two times with plating medium before seeding (30000 cells/cm<sup>2</sup>) in collagen-coated plates. Three hours after seeding, the medium was changed to DMEM supplemented with 0.2% BSA, 4.5 g/L glucose, 2 mM sodium pyruvate, 0.1  $\mu$ M dexamethasone and 1 nM insulin (maintenance medium). Next day, the cells were transfected with siRNAs (Table 2.10) using Lipofectamine 2000 reagent according to the manufacturer's instructions. Six hours after transfection, cells were incubated overnight with media lacking dexamethasone and insulin (starvation media) and then treated with T0901317 ligand (1 $\mu$ M) for 24 hours before isolating RNA and proteins.

### **Luciferase assays**

For Luciferase assays, cells were plated in 6-well plates and were subsequently transfected with the calcium phosphate method. For the collection of whole cell protein extracts, cells were washed with ice-cold PBS, lysed in cell culture lysis 1x reagent (Promega) and incubated for 5 minutes at RT in a shaking platform. Cells were scraped, collected and after a cycle of freeze-thaw at 37 °C for 2 minutes, the lysates were purified by centrifugation at 13.000rpm for 1 minute at RT. The supernatant was collected as total cell lysate and used for measurement of luciferase and  $\beta$ -galactosidase activity. Luciferase assays were performed 40 hours post transfections using the luciferase assay kit from Promega Corp. according to the manufacturer' instructions. Normalization for transfection efficiency was performed by  $\beta$ -galactosidase assays

### ***Measurement of luciferase activity***

60  $\mu$ l of cell extract were mixed with 60  $\mu$ l luciferase substrate (Promega) and the relative light units (RLU) were measured in the luminometer.

### ***Measurement of $\beta$ -galactosidase activity***

5-20  $\mu$ l of cell extract were mixed with 456  $\mu$ l sodium phosphate buffer (P buffer), 132  $\mu$ l ONPG (8 mg/ml), 6  $\mu$ l  $Mg^{2+}$  buffer (Table 2.11) and incubated at 37 °C until the samples are colored yellow. 5  $\mu$ l of 1X Lysis buffer were used as blank. The reaction was stopped with the addition of 200  $\mu$ l  $NaCO_3$  1M and the  $\beta$ -galactosidase activity was measured from the absorbance at 410 nm by Jenway 6405 UV/VIS Spectrophotometer. Alternatively, 5 $\mu$ l of cell extract were mixed with 149  $\mu$ l sodium phosphate buffer, 44  $\mu$ l ONPG, 2  $\mu$ l  $Mg^{2+}$  buffer and incubated at 37 °C using the TECAN Infinite 200 PRO instrument. B-galactosidase activity was measured from the absorbance at 410 nm by TECAN Infinite 200 PRO at different time points until the samples are colored yellow.

### ***Sodium phosphate buffer (P puffer):***

Adjust the pH of  $Na_2HPO_4$  0.1M to 7.3 with  $NaH_2PO_4$  0.1M

### ***ONPG:***

Resuspend the appropriate amount of O-nitrophenyl-galactopyranoside (ONPG) in P buffer to a final concentration 8 mg/ml

**Table 2.11.** Composition of  $Mg^{2+}$  buffer

<b><math>Mg^{2+}</math> buffer</b>	
KCl	1 M
$MgCl_2$	0,1 M
$\beta$ -mercaptoethanol	352 mM

### **RNA isolation, reverse transcription,**

Total RNA was prepared from HepG2, primary mouse hepatocytes, HEK293T, 3T3-L1 cells or tissues using Trizol or RNAiso Plus (Takara) reagents according to the manufacturer's instructions. RNA was diluted in Nuclease Free Water and its concentration and purity was calculated from the absorbance at 260nm by Jenway 6405 UV/VIS Spectrophotometer (as discussed above) or TECAN Infinite 200 PRO instrument. For each sample, one  $\mu\text{g}$  isolated RNA was reverse transcribed using random hexamers by the enzymes SuperScript II Reverse Transcriptase or M-MLV Reverse Transcriptase according to the manufacturer's instructions.

### **PCR and quantitative PCR (qPCR)**

The cDNAs produced by 3T3-L1 cells, HepG2 cells, primary mouse hepatocytes or tissues were used for standard PCR amplification with primers specific for human or mouse genes (Table 2.12). For the normalization of the samples, the cDNA of the housekeeping GAPDH (glyceraldehyde 3-phosphate dehydrogenase) gene was also amplified by PCR.

The cDNAs produced by HepG2, HEK293T cells or primary mouse hepatocytes, were used for quantitative PCR (qPCR) that performed on a Step-OnePlus Real-Time PCR system (Applied Biosystems) using KAPA SYBR FAST qPCR Master Mix. The expression of the target genes was normalized to the expression of the housekeeping gene GUSB (Glucuronidase beta) or Hprt (Hypoxanthine phosphoribosyltransferase 1) and the normalized Ct (threshold cycle) values were calibrated against the control condition for each sample. The relative gene expression levels were determined using the comparative  $\Delta\Delta\text{Ct}$  method as described in Applied Biosystems Guide. All oligonucleotide sequences used as primers for qPCR or PCR experiments are shown in Table 2.12 and 2.13.

**Table 2.12.** Primers used in standard PCR analysis

Name	Sequence	Specificity
mLPL Fw	5' - TACAGCCTTGGAGCCCATGCT - 3'	mouse
mLPL Rev	5' - GAAGAGATGAATGGAGCGCTCGT - 3'	
mFOXA2 Fw	5' - CGTGAAGATGGAAGGGCACGAGC - 3'	mouse
mFOXA2 Rev	5' - TTCATGTTGGCGTAGGGGGCAAG - 3'	
mGAPDH Fw	5' - ACCACAGTCCATGCCATCAC - 3'	human/ mouse
mGAPDH Rev	5' - TCCACCACCCTGTTGCTGTA - 3'	
h/mABCG1 Fw	5' - AACTACTGGTACAGCCTGAA - 3'	human/ mouse
h/mABCG1 Rev	5' - TGACATAGGAGATGTAGGACA - 3'	
h/mABCG5 Fw	5' - GTCAGCGACCAGGAGAGTCA - 3'	human/ mouse
h/mABCG5 Rev	5' - GCACCTGGGCAGGTTTTCTC - 3'	
h/mABCG8 Fw	5' - CCTTGGTTTGAGCAGCTGGCTCA - 3'	human/ mouse
h/mABCG8 Rev	5' - TTTGTACGCTGGGCCTGGGA - 3'	
h/mLIPC Fw	5' - CCTAATTGGGTACAGCCTGG - 3'	human/ mouse
h/mLIPC Rev	5' - GATGAACTGGATCTTGAAGTGGT - 3'	
h/mPLTP Fw	5' - AGGGCCACCTACTTTGGGAGCA - 3'	human/ mouse
h/mPLTP Rev	5' - GATCTGCACCCCACGCCAGGT - 3'	
hCETP Fw	5' - AGATCAGCCACTTGTCCATCGCCA - 3'	human
hCETP Rev	5' - CCTTGTCTGGACAAAATCGGCCAT - 3'	

**Table 2.13.** Primers used in Quantitative PCR

Name	Sequence	Specificity
hLPL Fw	5' - AGCATTACCCAGTGTCCGC - 3'	human
hLPL Rev	5' - GGGCTCCAAGGCTGTATCC - 3'	
hGUSB Fw	5' - CACAAGAGTGGTGCTGAGGA - 3'	human
hGUSB Rev	5' - ACCAGGTTGCTGATGTCGG - 3'	
hFOXA2 Fw	5' - CTGGGAGCGGTGAAGATGG - 3'	human
hFOXA2 Rev	5' - CATGTTGCTCACGGAGGAGT - 3'	
hHPRT Fw	5' - CCCTGGCGTCGTGATTAGTG - 3'	human
hHPRT Rev	5' - TCGAGCAAGACGTTCACTCC - 3'	
hLXR $\alpha$ Fw	5' - CTGCGATCGAGGTGATGCTT - 3'	human
hLXR $\alpha$ Rev	5' - GGGGTTGATGAATCCACTTGC - 3'	
hLXR $\beta$ Fw	5' - CCAGCCCAAAGTCACGCC - 3'	human
hLXR $\beta$ Rev	5' - GCCCAGCTGCAGGAAACC - 3'	
mLPL Fw	5' - TGAGGATGGCAAGCAACACAA - 3'	mouse
mLPL Rev	5' - ATGAGCAGTTCTCCGATGTCC - 3'	
mHPRT Fw	5' - CGAAGTGTGGATACAGGCC - 3'	mouse
mHPRT Rev	5' - GGCAACATCAACAGGACTCC - 3'	

## Protein Isolation from Cells

Protein extracts for western blot experiments were obtained from cells using different lysis protocols. Whole cell extracts were isolated from primary mouse hepatocytes, HepG2 and HEK293T cells that had been transfected and nuclear extracts and cytoplasmic extracts were isolated from HepG2 and 3T3-L1 cells.

### *Purification of whole cell protein extracts*

For the collection of whole cell protein extracts, cells were washed with ice-cold PBS, scraped, collected by centrifugation at 5000rpm for 5 minutes at 4°C and lysed on ice in cell culture lysis 5x reagent. After a cycle of freeze (at -80°C)-thaw (on ice), the lysates were purified by centrifugation at 13.000rpm for 5 minutes at 4°C. The supernatant was collected as total cell lysate.

### *Purification of nuclear and cytoplasmic extracts*

For the purification of nuclear and cytoplasmic extracts, cells were washed twice with ice-cold PBS, scraped, and collected in buffer A, supplemented with complete Protease Inhibitor Cocktail (Table 2.14). Cell lysates were rotated on a platform for 10 minutes, and the cytosolic fraction was purified by centrifugation at 13000 rpm at 4°C for 4 minutes. The pellet of nuclei was resuspended in buffer B, supplemented with complete Protease Inhibitor Cocktail (Table 2.14), and extracts were incubated on ice for 30 minutes. The nuclear fraction was purified by centrifugation at 13,000 rpm at 4°C for 6 minutes.

**Table 2.14.** Buffers used for purification nuclear and cytoplasmic extracts

Buffer A		Buffer B	
Hepes pH 7.9	10 mM	Hepes pH 7.9	20 mM
EDTA pH 8.0	0,1 mM	EDTA pH 8.0	0,1 mM
KCl	10 mM	NaCl	400 mM
NP-40	0.4%	Glycerol	0,1%

### ***Calculation of protein concentration (Lowry)***

In all protocols protein concentration in protein extracts was determined from the absorbance at 750nm by Jenway 6405 UV/VIS Spectrophotometer (as discussed above) or TECAN Infinite 200 PRO instrument, using the DC Protein Assay kit (Bio-Rad Hercules, CA) according to the manufacturer's instructions

### **SDS-PAGE analysis**

After protein concentration measurement, equal amounts of protein lysates were mixed with 4x Sample buffer (Table 2.15), denatured by boiling at 100 °C for 10 minutes and loaded on 8.5, 10.5 or 12.5% SDS polyacrylamide gel (Table 2.16). The BenchMark Prestained Protein Ladder was used as a size marker. Electrophoresis was performed in 1x TGS solution (Table 2.15) using Mini-PROTEAN Tetra Cell System (Bio-Rad). For visualization of the protein bands, gels were either stained with Coomassie Brilliant Blue or used for immunoblotting as described below.

**Table 2.15.** Buffers used for SDS-PAGE

<b>4x Sample buffer</b>		<b>10x TGS</b>	
Tris-Cl 1M pH 6.8	5 ml	Tris	30.3 g
β-mercaptoethanol	1.6 ml	Glycine	144.2 g
glycerol	4 ml	SDS	10 g
SDS	1.6 g	Volume	1 L
bromophenol blue	8 mg		
Volume	20 ml		

**Table 2.16.** Buffers used for the preparation of SDS polyacrylamide gels.

Separating buffer			Stacking buffer		
Tris	18.165 g	1.5 M	Tris	6.05 g	0.5 M
SDS	0.4 g	0.4 %	SDS	0.4 g	0.4 %
Volume	100 ml		Volume	100 ml	
pH (with HCl)	8.8		pH (with HCl)	6.8	

Stacking gel		Separating gel			
		8.5%	10.5%	12.5%	
ddH <sub>2</sub> O	2.4 ml				
30% acrylamide	600 µl	ddH <sub>2</sub> O	4.6 ml	3.9 ml	3.2 ml
stacking buffer	1 ml	30% acrylamide	2.8 ml	3.5 ml	4.2 ml
10% APS	40 µl	Separating buffer	2.5 ml	2.5 ml	2.5 ml
TEMED	4 µl	10% APS	160 µl	160 µl	160 µl
Volume	4 ml	TEMED	8 µl	8 µl	8 µl
		Volume	10 ml	10 ml	10 ml

### Coomassie Brilliant Blue staining

For visualization of the protein bands, polyacrylamide gels were stained with Coomassie Brilliant Blue R-250 (2.5 g Coomassie Brilliant Blue R in 45 % methanol and 10% acetic acid) for 20-30 minutes on a shaking platform, at RT. Then gels were destained in fast destaining solution for 1 hour on a shaking platform, at RT and in slow destaining solution (Table 2.17) overnight on a shaking platform, at RT. The gels were rehydrated in dH<sub>2</sub>O overnight and dried in a Hoefer SE 1160 gel dryer under vacuum at 80 °C for 1 hour.

**Table 2.17.** Buffers used for gel destaining

Fast destaining		Slow destaining	
ddH <sub>2</sub> O	400 ml	ddH <sub>2</sub> O	875 ml
Methanol	500 ml	Methanol	75 ml
Acetic acid	100 ml	Acetic acid	50 ml
Volume	1 L	Volume	1 L



## Western blot analysis

Following SDS-PAGE, proteins were transferred onto nitrocellulose membranes in transfer buffer (Table 2.18), using Mini-PROTEAN Tetra Cell System (Bio-Rad). Membranes were then washed with TBS-0.1% Tween 20 (TBS-T) solution (Table 2.18) and nonspecific sites were blocked in 5% nonfat milk TBS-T for 1 hour. Membranes were probed with appropriate primary antibodies overnight at 4°C, as shown in Table 2.1. After extensive washes with TBS-T solution, the membranes incubated with horseradish-peroxidase-conjugated secondary antibodies diluted 1:10,000 or 1:20,000 (for anti-rabbit, Merck Millipore) in 5% non-fat milk in TBS-T for 1 hour at RT, followed by washes with TBS-T solution. Signals were detected by enhanced chemiluminescence and proteins were visualized on a Chemi-Doc XRS+ Imaging System (Bio-Rad, Hercules, CA). Band intensities were quantified using the Image Lab Software (Bio-Rad). Alternatively, membranes were exposed on film (Fujifilm). To normalize the variations for proteins amounts, membranes were stripped with stripping buffer (restore western blot, Thermo), according to the manufacturer's instructions) or blocked with 0.02% NaN<sub>3</sub> in TBS-T and reprobed with an antibody to  $\beta$ -actin.

**Table 2.18.** Buffers used for Western Blot

Transfer Buffer		10x TBS	
10 x TGS	100 ml	NaCl	180 g
Methanol	200 ml	Tris	121.14 g
ddH <sub>2</sub> O	700 ml	pH (with HCl)	7.3
Volume	1 L	Volume	1 L

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## DNA-Protein Interactions

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### Chromatin Immunoprecipitation (ChIP) Assays

#### *Chromatin preparation from cell lines*

HepG2 were grown for 2-3 days and HEK293T cells that had been transfected with expression vectors for FOXA2, LXR $\alpha$ , RXR $\alpha$  and their ligands were cultured in 100 mm dishes. Cells were washed with DMEM, cross-linked for 10 minutes at 37 °C

with 1% formaldehyde and cross-linking was stopped by adding glycine to a final concentration of 137.5 mM. The cells were then rinsed three times with ice-cold PBS and 0.5 mM PMSF, scraped in ice-cold PBS containing 0.5% NP-40 and 0.5 mM PMSF, and centrifuged at 1000 rpm for 5 minutes at 4 °C. The pellets were resuspended in swelling buffer, supplemented with complete Protease Inhibitor Cocktail (Table 2.19) and left on ice for 10 minutes. Subsequently, the cells were homogenized using a Dounce homogenizer, the nuclei were checked in microscope by mixing 5µl with equal volume of 0.4%Trypan Blue and centrifuged at 2000 rpm for 5 minutes at 4 °C. The nuclei were resuspended in sonication buffer, supplemented with complete Protease Inhibitor Cocktail (Table 2.19) and sonicated 10-13 times for 30 seconds at 50% amplitude on ice, leading to the generation of chromatin fragments. The samples were cleared by centrifugation at 13000 rpm for 15 minutes at 4 °C and stored -80oC.

**Table 2.19.** Buffers used for chromatin purification

Swelling Buffer		Sonication Buffer	
Hepes pH 7.9	25 mM	Hepes pH 7.9	50 mM
MgCl <sub>2</sub>	1.5 mM	NaCl	140 mM
KCl	10 mM	EDTA pH 8.0	1 mM
NP-40	0.5%	Triton X-100	1%
DTT	1 mM	Na-deoxycholic acid	0.1%
Aprotinin	2 µg/ml	SDS	0.1%
PMSF	0.5 mM	Aprotinin	2 µg/ml
		PMSF	0.5 mM

### ***Chromatin preparation from tissues***

Fresh liver (~300mg) from C57BL/6 male mice was used for 4 ChIP assays. Small pieces of the tissue were homogenized in ice-cold PBS and 0.5 mM PMSF AND the cells were cross-linked for 15 minutes at 37 °C with 1% formaldehyde. Cross-linking was stopped by adding glycine to a final concentration of 137.5 mM. The tissue cells were then centrifuged at 1200rpm for 20 minutes at 4°C and washed three times with ice-cold PBS, 0.5 mM PMSF and once with ice-cold PBS containing 0.5% NP-40 and 0.5 mM PMSF. The cell pellet was resuspended in swelling buffer supplemented with

protease inhibitors and left on ice for 10 minutes. Subsequently, the cells were homogenized using a Dounce homogenizer, the nuclei were checked in microscope by mixing 5 $\mu$ l with equal volume of 0.4% Trypan Blue and centrifuged at 2000 rpm for 5 minutes at 4 °C. The nuclei were resuspended in sonication buffer supplemented with protease inhibitors and sonicated 60 times for 30 seconds at 70% amplitude on ice using Q Sonica Q800R, leading to the generation of chromatin fragments. The samples were cleared by centrifugation at 13000 rpm for 15 minutes at 4 °C and stored -80oC.

### ***Sonication check***

50 $\mu$ l aliquot of chromatin was used for sonication check. Chromatin was mixed with 150  $\mu$ l H<sub>2</sub>O and 10.5  $\mu$ l NaCl (4M stock), incubated overnight at 65°C. Then was mixed with 2 $\mu$ l RNase A (10 mg/ml stock) and incubated for 1 hour at 37°C. 2  $\mu$ l EDTA (0.5M stock) and equal volume of phenol/chloroform/isoamylalcohol was added to the mix. Chromatin was centrifuged at 13000 rpm for 5 minutes, equal volume of chloroform and 4  $\mu$ l glycogen (5  $\mu$ g/ $\mu$ l stock) were added to the upper phase and after mixing, was centrifuged again at 13000 rpm for 5 minutes. To the upper phase was added 1/10 volume Na-acetate (3M stock), 2.5 volumes 100% ethanol and incubated to -80oC for 30 minutes. Chromatin pellet was centrifuged at 13000 rpm for 10 minutes, washed with 75% ethanol, and resuspended in H<sub>2</sub>O. The quality of the fragmented chromatin was checked on an agarose gel (fragment size should be less than 1000nt).

### ***Equilibration and blocking of beads***

For each sample 160  $\mu$ l Protein-G Sepharose beads or 100  $\mu$ l Protein-G dynabeads were used. The Beads were washed 3 times with sonication buffer (rotation for 10 minutes at 4°C and removal of supernatant by centrifugation at 2000rpm for 3 minutes at 4°C or by magnetic rack). Then, the beads were blocked with 494  $\mu$ l sonication buffer, 5 $\mu$ l BSA (100 mg/ml stock), and 1  $\mu$ l sonicated  $\lambda$  DNA (0.5  $\mu$ g/ $\mu$ l stock) and rotated for 2 hours at 4°C.

### ***Preclearing of chromatin and immunoprecipitation***

The chromatin (1.5ml) was precleared by rotation at 4 °C for 2 hours with equilibrated protein G Sepharose or Dynabeads and 15µl BSA (100 mg/ml stock) and 3 µl sonicated λ DNA (0.5µg/µl stock). After removal of beads (by centrifugation at 2000rpm for 3 minutes at 4°C or by magnetic rack), one-tenth of the volume of supernatant was used as input, and the remaining volume was immunoprecipitated with a-FOXA2 (M-20) or a-LXRα (ab41902) or a-RXR (D-20) overnight at 4 °C.

### ***Washes of beads and De-crosslinking***

The immunoprecipitated chromatin was mixed with equilibrated Protein-G Sepharose or dynabeads and rotated for 2 hours at 4°C. The samples were washed twice with buffer A, buffer B, buffer C and TE (Table 2.20). DNA was eluted in 300 µl of elution buffer (Table 2.20), mixed with 100 µl H<sub>2</sub>O and 21µl NaCl (4M stock) and the cross-links were reversed by overnight incubation at 65 °C.

**Table 2.20.** Buffers used for ChIP assays

Wash Buffer A		Wash Buffer B		Wash Buffer C	
Hepes pH 7.9	50 mM	Hepes pH 7.9	50 mM	Tris-Cl	20 mM
NaCl	140 mM	NaCl	500 mM	EDTA pH 8.0	1 mM
EDTA pH 8.0	1 mM	EDTA pH 8.0	1 mM	LiCl	250 mM
Triton X-100	1%	Triton X-100	1%	NP-40	0.5%
Na-deoxycholic acid	0.1%	Na-deoxycholic acid	0.1%	Na-deoxycholic acid	0.5%
SDS	0.1%	SDS	0.1%	Aprotinin	2 µg/ml
Aprotinin	2 µg/ml	Aprotinin	2 µg/ml	PMSF	0.5 mM
PMSF	0.5 mM	PMSF	0.5 mM		

TE		Elution Buffer	
Tris-Cl pH 8.0	10 mM	Tris-Cl pH 8.0	50 mM
EDTA pH 8.0	1 mM	EDTA pH 8.0	1 mM
Aprotinin	2 µg/ml	SDS	1%
PMSF	0.5 mM	NaHCO <sub>3</sub>	50 mM

### ***DNA Purification and PCR amplification***

The samples were subjected to RNase A treatment for 1 hour at 37 °C and to digestion of proteins by 2 µl proteinase K (10 mg/ml stock) for 2 hours at 42 °C. DNA was purified by phenol-chloroform extraction and ethanol precipitation. 200µl H<sub>2</sub>O, 1/10 volume Na-acetate (3M stock) and equal volume phenol/chloroform/isoamylalcohol were added to the samples. After vortexing, chromatin was centrifuged at 13000 rpm for 5 minutes and equal volume of chloroform was added to the upper phase. The samples were centrifuged again at 13000 rpm for 5 minutes and 4 µl glycogen (5 µg/µl stock) and 2.5 volumes 100% Ethanol were added to the upper phase and incubated overnight to -20oC. Precipitated chromatin was centrifuged at 13000 rpm for 30 minutes at 4 °C , washed with 75% EtOH, centrifuged at 13000 rpm for 10 minutes and resuspended in 10 mM Tris-Cl, pH 7.5. Immunoprecipitation eluates and 1% chromatin input were used for PCR amplification or analyzed by SYBR-Green quantitative PCR (KAPA SYBR® FAST qPCR Kit) using the StepOnePlus™ Real-Time PCR System (Applied Biosystems). The Ct values of the ChIP signals detected by qPCR were converted to the percentage of the input DNA using the “percent input method” as recommended by the manufacturer. The oligonucleotides used as primers in these assays are shown in Table 2.21.

**Table 2.21.** Oligonucleotides used in chromatin immunoprecipitation (ChIP) assays <sup>a</sup>

Name	Sequence	Purpose
hLPL -141 ChIP Fw	5' - GGTTGATCCTCATTACTGTTTGCT - 3'	ChIP analysis of the human LPL promoter, starting at position -141
hLPL -10 ChIP Rev	5' - ACGCCAAGGCTGCTTATGT - 3'	ChIP analysis of the human LPL promoter, ending at position -10
hLPL intron2 ChIP Fw	5' - GACGGTGCCACTTCCTATCA - 3'	ChIP analysis of the distal region of the 2nd intron of human LPL
hLPL intron2 ChIP Rev	5' - TGCACAGACCCAACTCAGTC - 3'	ChIP analysis of the distal region of the 2nd intron of human LPL
hLPL intron1 ChIP Fw	5' - CGCGCTATCCCTTCCACTCT - 3'	ChIP analysis of the distal region of the 1st intron of human LPL
hLPL intron1 ChIP Rev	5' - CCAACCAAGGCGAGGTCAC - 3'	ChIP analysis of the distal region of the 1st intron of human LPL
hABCA1 -139 ChIP Fw	5' - ACGTGCTTTCTGCTGAGTGA - 3'	ChIP analysis of the human ABCA1 promoter, starting at position -139
hABCA1 -41 ChIP Rev	5' - GCGCAGAGGTTACTATCGGT - 3'	ChIP analysis of the human ABCA1 promoter, ending at position -41
mLPL -143 ChIP Fw	5' - ACCGTTGAGCCTCGTTACC - 3'	ChIP analysis of the mouse LPL promoter, starting at position -143
mLPL +1 ChIP Rev	5' - CACTGTTTCCACTGCACAGC - 3'	ChIP analysis of the mouse LPL promoter, ending at position +1
mLPL intron9 ChIP Fw	5' - GCTGACCTTCATCCCAGACA - 3'	ChIP analysis of the distal region of the 9th intron of mouse LPL
mLPL intron9 ChIP Rev	5' - GAGGCTCACAACCACCCATA - 3'	ChIP analysis of the distal region of the 9th intron of mouse LPL

### **DNA affinity precipitation (DNAP) assays**

For DNA affinity precipitation assays were used whole cell extracts from HEK293T cells that had been transfected with CMV-rFOXA2 or 6myc LXR $\alpha$ , RXR $\alpha$  expression vectors or nuclear extracts from HepG2 cells (as described above). In all protocols protein concentration was determined by DC Protein Assay (Bio-Rad).

#### ***Purification of whole cell extracts***

For the collection of whole cell protein extracts, HEK293T cells were washed with ice-cold PBS, scraped, collected by centrifugation at 5000 rpm at 4 °C for 5 minutes and resuspended in Co-IP lysis buffer (Table 2.22). Lysates were rotated on a rotating platform for 30 minutes at 4°C and purified by centrifugation at 13000 rpm for 5 minutes at 4°C.

**Table 2.22.** Composition of Co-IP lysis buffer

Co-IP lysis buffer	
Tris-HCl pH 7.5	20 mM
NaCl	150 mM
Triton X-100	1%
glycerol	10%
PMSF	1 mM
benzamide	0.5 mM

### *Preparation of biotinylated PCR fragments*

For the generation of biotinylated PCR fragments, PCR products were amplified with the use of a biotinylated and a non-biotinylated primer and isolated by gel extraction. The amount used in the reaction was calculated based on the fact that for a 600bp fragment, 2 µg of the PCR product is required. Biotinylated PCR fragments corresponding to the regions -466/+39, -262/+39, -109/+39 (wt or mutant) and -28/+39 of the human LPL and -535/-39 of the human ABCA1 gene were utilized. The sequence of the primers utilized for the isolation of the biotinylated PCR promoter fragments are shown in Table 2.23.

**Table 2.23.** Oligonucleotides used in DNA affinity precipitation (DNAP) assays <sup>a</sup>

Name	Sequence	Purpose
LPL -466 Fw	5' - <u>CCGCTCGAG</u> ACGCAATGTGTGTCCCTCTAT -3'	DNAP, non-biotinylated
LPL -262 Fw	5' - <u>CCGCTCGAG</u> ACCTGTGTTTGGTGCTTAGACA - 3'	DNAP, non-biotinylated
LPL -109 Fw	5' - <u>CCGCTCGAG</u> TAGAAGTGAATTTAGGTCCCTC - 3'	DNAP, non-biotinylated
LPL -28 Fw	5' - <u>CCGCTCGAG</u> ACATAAGCAGCCTTGGCGTGAAAA - 3'	DNAP, non-biotinylated
LPL +39 Rev-Bio	5'-Bio- TTCCCTTGAGGAGGAGGAAGAGGGGAAT-3'	DNAP, 5' biotinylated
ABCA1 -535 Fw-Bio	5'- Bio- AGGCCTTTGAAAGGAAACAAAAGACAAGACAAA -3'	DNAP, 5' biotinylated
ABCA1 -39 Rev	5'-TCGAGGAATTCGAGCGCAGAGGTTACTATCGGTCAAAGCCTGTGGTAC-3'	DNAP

<sup>a</sup> XhoI (CTCGAG) and HindIII (AAGCTT) sites are underlined.

### ***Beads preparation***

For each sample 5µl (50µg) Dynabeads M-280 Streptavidin were used. For protein-DNA interactions, Streptavidin Dynabeads were washed with 1x B&W buffer (Table 2.24), mixed with 7 µl 2x B&W buffer and 7 µl biotinylated PCR products and incubated at room temperature for 15 minutes, with occasional shaking. The DNA-coupled beads were washed twice with 1x B&W buffer and once with BBRC buffer (Table 2.24).

**Table 2.24.** Buffers used for DNAP assays

2x B&W		BBRC	
Tris-HCl pH 7.5	10 mM	Tris-HCl pH 7.5	10 mM
EDTA	1 mM	KCl	50 mM
NaCl	2 mM	MgCl <sub>2</sub>	4 mM
		EDTA	0,2 mM
		glycerol	10%

### ***Protein – DNA binding interactions***

Each reaction mixture included 150 µg of whole cell extracts or nuclear protein extracts, 7.5 µg of competitor poly (deoxyinosine/deoxycytosine) and the biotinylated DNA-coupled Dynabeads or uncoupled Dynabeads as controls, in a total reaction volume of 500µl BBRC buffer (supplemented with 1 mM PMSF and 0.5 mM benzamidine). The protein-DNA binding reactions were allowed to proceed for 2.5 hours on a rotator at 4 °C and then the protein-DNA-coupled beads were washed three times with BBRC buffer, supplemented with 1 mM PMSF and 0.5 mM benzamidine, and resuspended in 4x SDS loading buffer. Nuclear receptors (LXRα/ RXRα) or FOXA2 bound to the biotinylated fragments were detected by SDS-PAGE and immunoblotting using the corresponding antibodies.



### **Co-immunoprecipitation assays**

For co-immunoprecipitation experiments, HEK293T cells that had been transfected with 6myc LXR $\alpha$ , FOXA2 or Flag FOXO1 expression vectors were lysed in Co-IP lysis buffer supplemented with complete Protease Inhibitor Cocktail, as described above, and protein concentration was determined by DC Protein Assay (Bio-Rad).

### ***Equilibration of beads***

For each sample 40  $\mu$ l Protein-G Sepharose beads were used. The beads were equilibrated with 10 volumes of PBS, centrifuged at 2000rpm for 5 minutes at 4°C. The supernatant was removed and the beads were resuspended in equal volume of PBS.

### ***Protein – protein binding interactions***

The protein lysates were precleared by incubation with 40 $\mu$ l of pre-equilibrated Protein G-Sepharose beads on a rotating platform for 30 minutes at 4°C, and collection of the supernatant. Supernatants were incubated with a proper dilution of the antibody anti-FOXA2 or anti-flag on a rotating platform overnight at 4°C, followed by incubation with 40 $\mu$ l of pre-equilibrated Protein G-Sepharose beads for 1 hour at 4°C. The beads were washed three times with Co-IP lysis buffer supplemented with complete Protease Inhibitor Cocktail, rotated for 10 minutes at 4°C, followed by 5 minutes of centrifugation at 2000 rpm and resuspended in 4x SDS loading buffer. Immunoprecipitated proteins were analyzed by SDS-PAGE and immunoblotting using the corresponding antibodies.

### **Protein–protein interaction assays based on biotinylation in vivo**

For the in vivo biotinylation assay, HEK293T cells were co-transfected with the Bio-FOXA2 expression vector along with the expression vectors for 6myc-LXR $\alpha$  and 6myc-RXR $\alpha$ , in the presence or in the absence of a vector expressing the bacterial biotin ligase BirA. The cells were lysed in Co-IP lysis buffer supplemented with

complete Protease Inhibitor Cocktail, as described above, and protein concentration was determined by DC Protein Assay (Bio-Rad).

### ***Equilibration of beads***

For each sample 50  $\mu$ l Streptavidin-agarose beads were used. The beads were equilibrated with 10 volumes of Co-IP lysis buffer and centrifuged at 2000rpm for 5 minutes at 4°C. The supernatant was removed and the beads were resuspended in equal volume of Co-IP lysis buffer.

### ***Protein – protein binding interactions***

The protein lysates were allowed to interact with pre-equilibrated beads on a rotating platform for 3-4 hours at 4°C. The beads were washed three times with Co-IP lysis buffer and re-suspended in 4x SDS loading buffer. Bound proteins were subjected to SDS-PAGE followed by immunoblotting using anti-myc or streptavidin-HRP.

### **Bacterial expression and purification of GST-fusion proteins**

The GST-fusion proteins were expressed in *E. coli* strains BL21. Bacteria were grown in LB/ampicillin overnight at 37°C, diluted 1:20 and, after reaching a A600 of 0.7, were stimulated with 1 mM IPTG (isopropyl  $\beta$ -D-thiogalactoside) for 4-5 h at 37°C. Bacteria were then harvested by centrifugation at 3500 rpm for 30 minutes at 4°C, resuspended in 1/10 of the original culture volume of PBS, and sonicated 10 times for 30 seconds in PBS on ice. Then, they were lysed by the addition of Triton X-100 to a final concentration of 1% and rotation at 4°C for 30 minutes. Lysates were subsequently cleared by centrifugation at 10000 rpm for 10 minutes at 4°C and the first supernatant was collected. The pellets were re-dissolved in 300  $\mu$ l of solubilization buffer (Table 2.25) for 10 minutes at 4°C, with rotation. Triton X-100 to a final concentration of 2% and CaCl<sub>2</sub> to a final concentration of 1 mM were added, the lysates were cleared by centrifugation at 10000 rpm, at 4 °C, for 10 minutes and the second supernatant was collected. The two supernatants of the expressed proteins were monitored by SDS-PAGE and Coomassie Blue staining.

**Table 2.25.** Composition of Solubilization buffer

Solubilization solution	
Triethanolamine	25 mM
<i>N</i> -laurylsarcosine	1.5%
EDTA	1 mM

### ***Equilibration of beads and protein purification with beads***

Glutathione–Sepharose beads were equilibrated with 10 volumes of PBS, mixed and centrifuged at 1000rpm for 5 minutes. The supernatant was removed and the beads were resuspended in equal volume of PBS. The bacterially expressed GST-fusion protein supernatants were allowed to interact with 250  $\mu$ l pre-equilibrated beads on a rotating platform overnight at 4°C. The beads were washed four times with 10 volumes of PBS containing 1% Triton X-100 (rotation for 10 minutes at 4 °C and centrifugation at 2000 rpm for 5 minutes at 4°C) and then resuspended in equal volume of PBS. Coupling efficiency was monitored by SDS-PAGE and Coomassie Blue staining.

### **GST pull-down assays**

For the GST pull-down assays, HEK293T cells were transfected with expression vectors for: 6myc LXR $\alpha$  (1-447) or its truncated forms 1-163, 164-447, 1-97, 98-163, 1-127, 30-127 and 30-163; 6myc-RXR $\alpha$ ; 6myc FOXA2 (1-458) or its truncated forms 1-360, 95-458, 1-279, 95-360, 1-94, 144-279, 280-360, 361-458. After transfection with the truncated forms of FOXA2 1-94, 144-279, 280-360 and 361-458, cells were treated with proteasome inhibitor MG132 (10 $\mu$ M) for 6 hours. The cells were washed with ice-cold PBS, pelleted by centrifugation at 5000 rpm for 5 minutes at 4°C and resuspended in WCE lysis buffer, supplemented with complete Protease Inhibitor Cocktail (Table 2.26). After three cycles of freeze (at -80°C)-thaw (on ice), lysates were collected by centrifugation at 13000rpm for 4 minutes at 4°C.

**Table 2.26.** Composition of WCE Buffer

WCE Buffer	
Tris-HCl pH 7.4	20mM
KCl	400mM
Glycerol	10%
DTT	2mM

***Protein – protein binding interactions***

For the binding reaction, an appropriate amount of a 50% slurry GST–Sepharose beads were equilibrated with 1ml 2x interaction buffer (Table 2.27), mixed and the supernatant was removed by centrifugation at 2000 rpm for 5 minutes at 4°C. The beads were resuspended in 200 µl 2x interaction buffer supplemented with complete Protease Inhibitor Cocktail, combined with the lysates from HEK293T cells, in a final volume of 400 µl and incubated overnight on a rotary shaker at 4°C. Then the beads were then washed once with washing buffer-100 mM KCl, supplemented with complete Protease Inhibitor Cocktail, and twice with washing buffer-250 mM KCl, supplemented with complete Protease Inhibitor Cocktail (Table 2.27). The bound proteins were eluted by boiling in 4x SDS loading buffer, subjected to SDS-PAGE and immunoblotting using the corresponding antibodies.

**Table 2.27.** Buffers used for GST-pull down assays

2x Interaction Buffer		Washing Buffer	
Hepes pH 7.9	40 mM	KCl	100mM/250mM
MgCl <sub>2</sub>	10 mM	Hepes pH 7.9	20mM
NP40	0.4%	MgCl <sub>2</sub>	5mM
BSA	0.4%	NP40	0.2%
Glycerol	15%		

## Lentivirus generation and infection

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The lentiviruses expressing the shRNAs for LXR $\alpha$ , LXR $\beta$  and scrambled were produced in HEK293T cells and then they were collected and used for infection of HepG2 cells.

### **Packaging and Amplification of lentiviruses**

For shRNA lentiviruses Production, HEK293T cells were transfected using 18  $\mu$ l Lipofectamine 2000 reagent, with 3 $\mu$ g of either shLXR $\alpha$ , shLXR $\beta$  or shcontrol pLKO.1 vectors, 2  $\mu$ g of  $\Delta$ 8.2 (Delta 8.2) and 1  $\mu$ g of VSV-G packaging plasmids, according to the manufacturer's protocol. The shRNA control was used as a control lentiviral vector. The transfected cells were maintained at 37 °C in a 5% CO<sub>2</sub> incubator for 18 hours. The medium was replaced by fresh medium and the whole transfection was repeated onto the same cells. 48 hours after the first transfection, the supernatant (1st supernatant) containing lentiviral particles was harvested, centrifuged at 1200rpm for 5 minutes at 4°C to pellet any HEK cells, filtered using 0.45 $\mu$ m filters to remove any cells and stored at -80°C for long term storage. The medium was replaced by fresh medium to the cells and 24 hours later the supernatant (2nd supernatant) was harvested, centrifuged at 1200rpm for 5 minutes at 4°C, filtered using 0.45 $\mu$ m filters and stored at -80°C for long term storage.

### **Lentiviruses infection of HepG2 cells**

For viral infections, HepG2 cells were infected by adding virus supernatants directly to the cell culture medium. HepG2 cells were plated in 6-well plates in ~50% confluency and incubated for 20-24 hours. The medium was replaced by 1ml DMEM and the cells were infected with 1ml from the 1<sup>st</sup> supernatant containing 5 $\mu$ g/ml polybrene. After incubation for 24 hours at 37°C, the inoculum was removed and the cells were re-infected with the 2nd viral supernatant (1ml) and 1ml DMEM containing 5 $\mu$ g/ml polybrene. Next day, the inoculum was removed and fresh medium containing puromycin at final concentration 1.5  $\mu$ g/ml was added to the cells. Transduced cells were selected and maintained in the presence of this antibiotic for 5 days. The cells were collected for mRNA extraction using the Trizol protocol as described above, to confirm the silencing of LXR $\alpha$  or LXR $\beta$  and the impact on their target genes.

## **3. RESULTS AND DISCUSSION**

**PART I:**

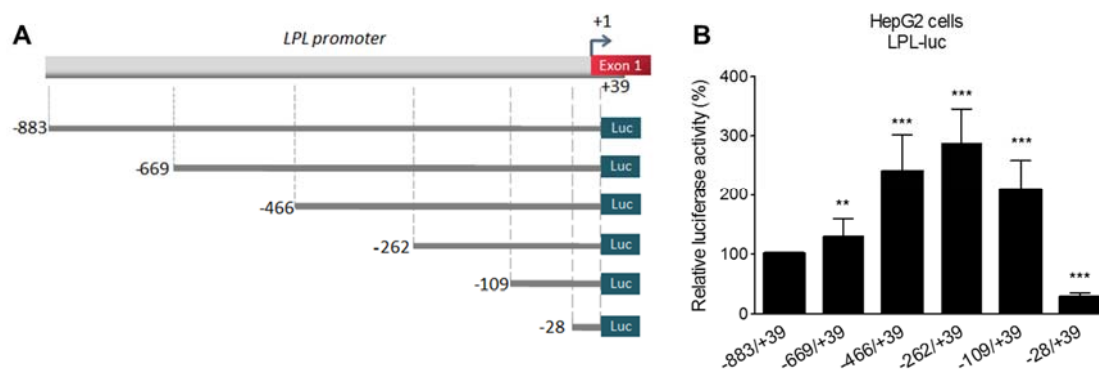
***Regulation of the human lipoprotein lipase gene***

***by the forkhead box transcription factor***

***FOXA2/HNF-3 $\beta$  in hepatic cells***

***Functional analysis of the human LPL promoter in hepatic cells reveals a putative FOXA2 binding site in the proximal region.***

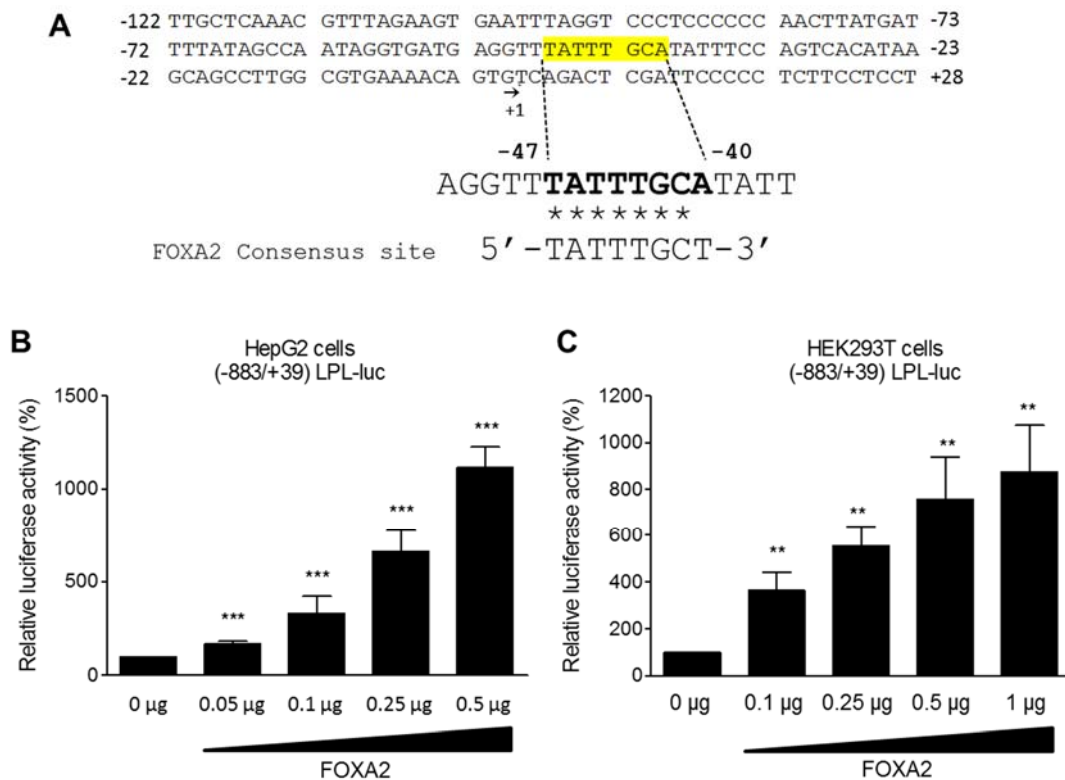
In order to identify and characterize regulatory elements that are critical for the expression of the human LPL gene, we amplified by polymerase chain reaction from human genomic DNA the human LPL promoter -883/+39 and generated a series of 5' deletions: -669/+39, -466/+39, -262/+39, -109/+39 and -28/+39 (Figure 3.1A). These LPL promoter fragments were cloned upstream of the luciferase gene and their relative transcriptional activity was determined by luciferase assays in human hepatoma HepG2 cells that express FOXA2 endogenously. As shown in Figure 3.1B, serial deletions of the LPL promoter from position -883 to position -262 were associated with a step-wise increase in the activity of the promoter suggesting the presence of inhibitory regulatory region(s) in the area defined by nucleotides -883 and -262. Further deletion to -109 caused a small reduction in promoter activity. Importantly, deletion of the LPL promoter from -109 to -28 abolished the activity of the LPL promoter suggesting the presence of positive regulatory elements within the -109/-28 region (Figure 3.1B).



**Figure 3.1.** The region -109/-28 of the human LPL promoter may contain important regulatory elements. (A) Schematic representation of the 5'-deletion fragments of the (-883/+39) human LPL promoter that were cloned upstream of the luciferase reporter gene (Luc) and used in the transactivation experiments of panel B. (B) HepG2 cells were transiently transfected with the indicated LPL-luc reporter plasmids (1 $\mu$ g) along with a  $\beta$ -galactosidase expression plasmid (1 $\mu$ g) which was included in each sample for normalization of transfection variability. Luciferase activity was normalized to  $\beta$ -galactosidase activity and presented with histograms. Each value represents the average ( $\pm$ SD) from eight independent experiments performed in duplicate. \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$



A database search for putative transcription factor binding sites (www.genomatix.de) revealed that the proximal -122/+28 region of the human LPL promoter contains one putative binding site for the hepatocyte-specific transcription factor forkhead box A2 (FOXA2) also known as hepatocyte nuclear factor 3 $\beta$  (HNF-3 $\beta$ ) at position -47/-40 having homology (7/8 nucleotides) with the consensus FOXA2 recognition sequence 5'-T(G/A)TTT(A/G)(C/T)T-3' (Figure 3.2A)(277). To examine the role of the putative FOXA2 binding site in LPL gene regulation, we transfected HepG2 cells with a plasmid vector expressing FOXA2.



**Figure 3.2.** The human LPL promoter contains a putative FOXA2 binding element. (A) Sequence of the proximal human LPL promoter region -122/+28. The putative FOXA2 binding site in the -47/-40 region is in bold letters. Homology of the putative FOXA2 binding site of the LPL promoter with the FOXA2 consensus site is indicated with the asterisks. (B) HepG2 cells were transiently transfected with the (-883/+39) LPL-luc reporter plasmid (1 $\mu$ g) along with increasing concentrations (0.05–0.5 $\mu$ g) of a FOXA2 expression vector and a  $\beta$ -galactosidase expression plasmid (1 $\mu$ g). The normalized relative luciferase activity is presented with histograms. Each value represents the average ( $\pm$ SD) from at least three independent experiments performed in duplicate. (C) HEK293T cells were transiently transfected with the (-883/+39) LPL-luc reporter plasmid (1 $\mu$ g) along with increasing concentrations (0.1–1 $\mu$ g) of the FOXA2 expression vector and a  $\beta$ -galactosidase expression plasmid (1 $\mu$ g). The normalized relative luciferase activity is presented with histogram. Each value represents the average ( $\pm$ SD) from two independent experiments performed in duplicate. \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$

Using luciferase activity assays we showed that FOXA2 increased the activity of the -883/+39 LPL promoter in a dose-dependent manner (Figure 3.2B). Similar dose-dependent transactivation of the -883/+39 LPL promoter was observed in HEK293T cells (that do not express endogenous FOXA2) when FOXA2 was exogenously overexpressed (Figure 3.2C).

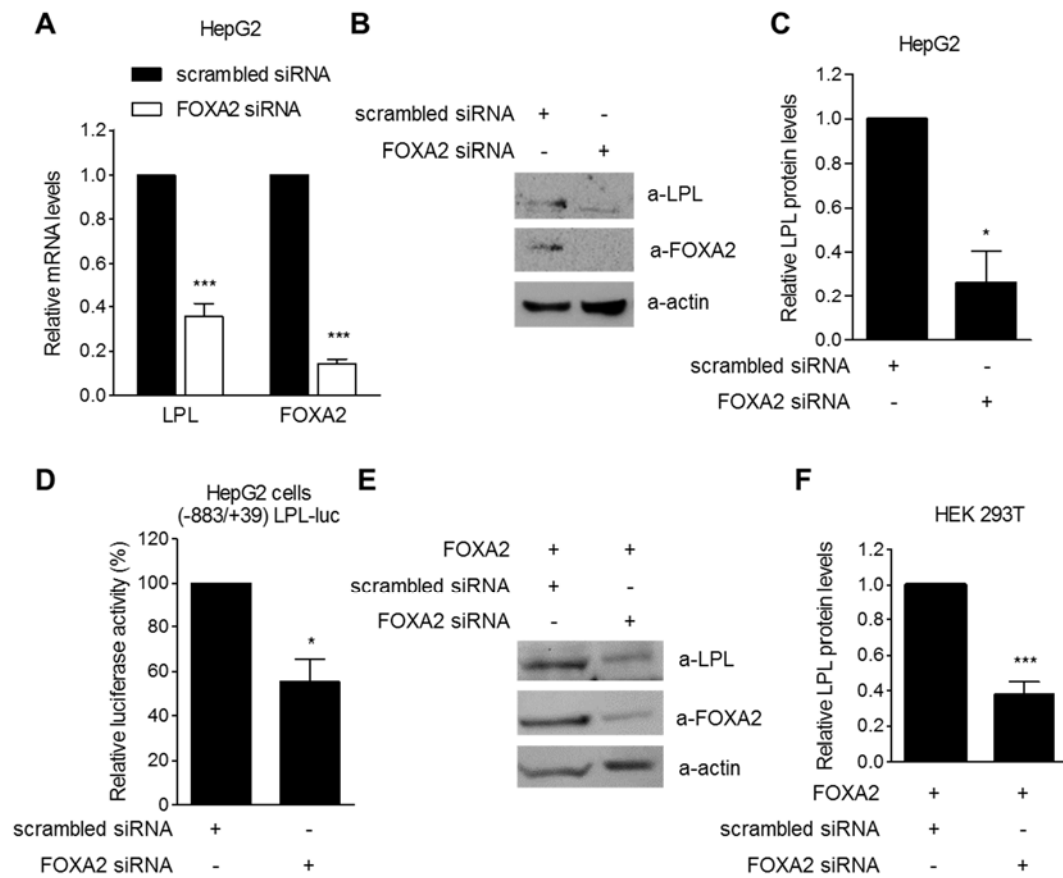
Collectively, the data of Figures 3.1 and 3.2 showed that the forkhead box transcription factor FOXA2 has the ability to transactivate the human LPL promoter in hepatic cells and this transactivation may be facilitated via a putative FOXA2 responsive element present in the proximal LPL promoter.

### ***FOXA2 is important for LPL gene expression in hepatic cells.***

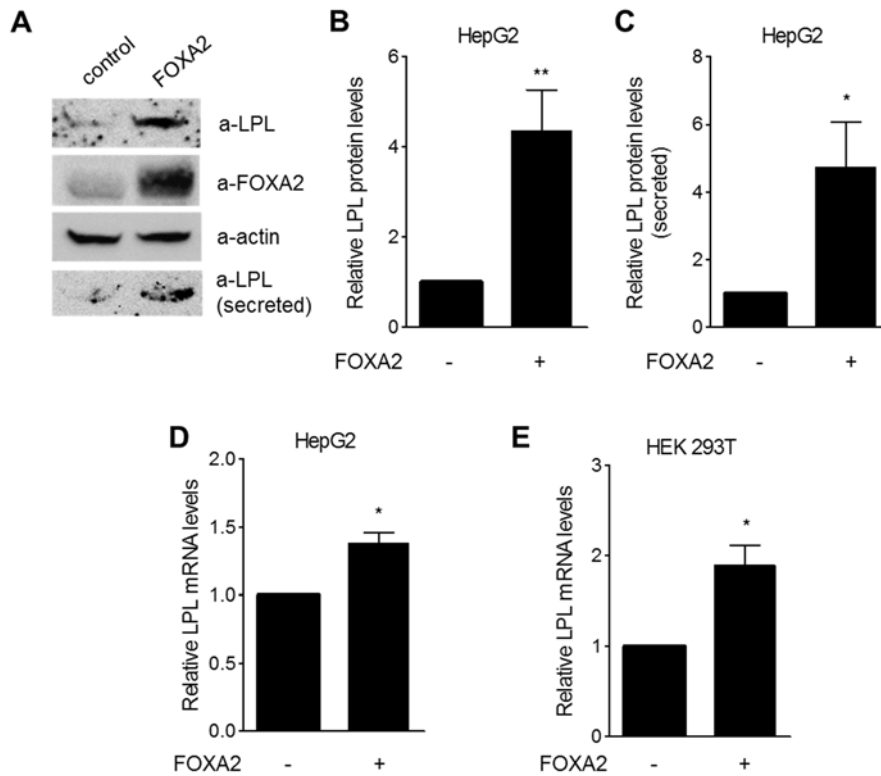
To investigate the role of FOXA2 in LPL gene expression in hepatic cells, we performed siRNA-mediated gene silencing experiments. For this purpose, HepG2 cells were transfected with a FOXA2-specific siRNA or a non-specific siRNA (scrambled) and the mRNA and protein levels of FOXA2 and LPL were determined by reverse transcription quantitative PCR (qPCR) and Western blotting respectively. Figure 3.3A and B shows that the siRNA specific for FOXA2 abolished the expression of the endogenous FOXA2 gene in HepG2 cells, at mRNA and protein level. This silencing was associated with a 65% decrease in LPL mRNA (Figure 3.3A) and 75% decrease in LPL protein levels (Figure 3.3B and C). Furthermore, silencing of endogenous FOXA2 in HepG2 cells caused a 50% decrease in the activity of the -883/+39 LPL promoter relative to the same concentration of a control (scrambled) siRNA (Figure 3.3D). Similar, protein levels of LPL were reduced by 60% in HEK293T cells that had been co-transfected with the FOXA2 expression vector and the siRNA for FOXA2 (Figure 3.3E and F).

In line with the data of Figure 3.3, overexpression of FOXA2 in HepG2 cells was associated with a ~4 fold increase in the protein levels of LPL both the intracellular (Figure 3.4A and B) and the secreted forms (Figure 3.4A and C). Moreover, transfection of FOXA2 expression vector in HepG2 and HEK293T cells caused 1.4-fold and 1.9-fold increase in the LPL mRNA levels, respectively (Figure 3.4 D and E).

In summary, the findings of Figures 3.3 and 3.4 indicated that the hepatocyte-specific transcription factor FOXA2 plays a positive role in LPL gene expression in hepatic cells.



**Figure 3.3.** FOXA2 is a positive regulator of LPL gene expression in HepG2 and HEK293T cells. (A) HepG2 cells were transfected with 50nM of scrambled si-RNA or FOXA2 specific si-RNA, total RNA was extracted and LPL and FOXA2 mRNA levels were determined by reverse transcription quantitative PCR. LPL and FOXA2 mRNA levels were normalized relative to the GUSB mRNA levels. Results are expressed as mean ( $\pm$ SD) from at least three independent experiments and shown as a histogram. (B) HepG2 cells were transfected with 50nM of scrambled si-RNA or FOXA2 si-RNA and the protein levels of LPL, FOXA2 and actin (loading control) were determined by immunoblotting using the corresponding antibodies. The experiment was performed three times and representative images are presented. (C) Levels of LPL and actin were quantified by densitometry and the normalized relative protein levels are shown as a histogram. Results are expressed as mean ( $\pm$ SD) from at least three independent experiments. (D) HepG2 cells were transiently transfected with the (-883/+39) LPL-luc reporter plasmid (0.8 $\mu$ g) in the presence of 200nM of scrambled si-RNA or FOXA2 si-RNA. Each value of luciferase activity represents the average ( $\pm$ SD) from three independent experiments performed in duplicate. (E) HEK293T cells were co-transfected with a FOXA2 expression vector (0.5 $\mu$ g) and 50nM of scrambled si-RNA or FOXA2 si-RNA. The protein levels of LPL, FOXA2 and actin (loading control) were determined by immunoblotting using the corresponding antibodies. The experiment was performed four times and representative images are presented. (F) Levels of LPL and actin were quantified by densitometry and the normalized relative protein levels are shown as a histogram. Results are expressed as mean ( $\pm$ SD) from four independent experiments. \*,  $p < 0.05$ ; \*\*\*,  $p < 0.001$ .

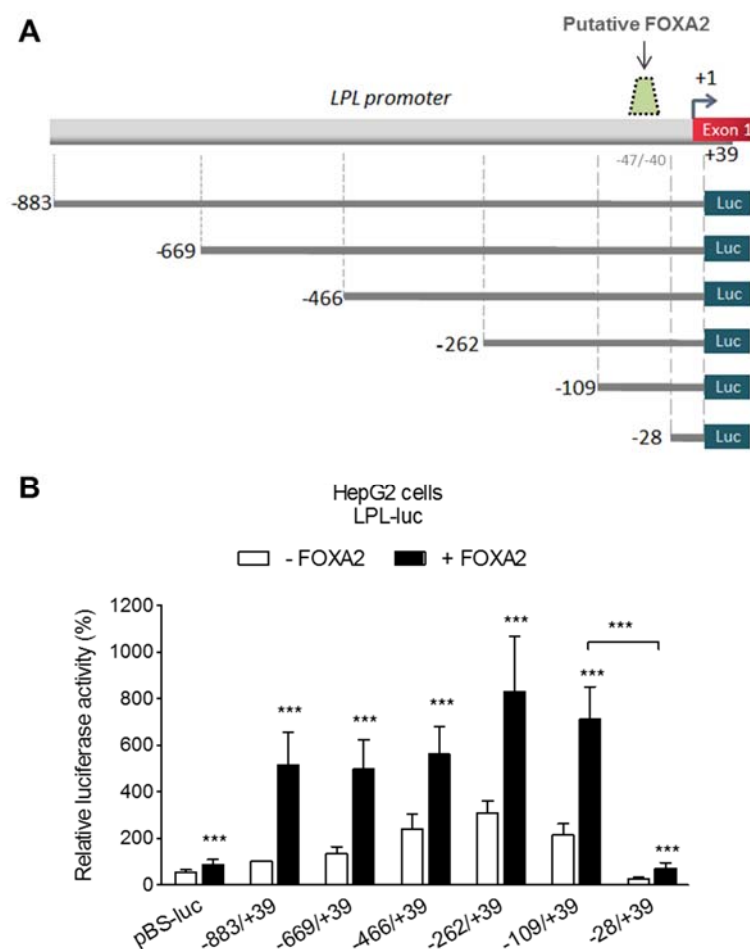


**Figure 3.4.** FOXA2 is a positive regulator of LPL gene expression in HepG2 and HEK293T cells. (A) HepG2 cells were transiently transfected with a FOXA2 expression vector (8 $\mu$ g) and the intracellular protein levels of LPL, FOXA2 and actin (loading control) as well as of the secreted LPL were determined by immunoblotting using the corresponding antibodies. The experiment was performed at least three times and representative images are presented. (B and C) Levels of intracellular LPL and actin were quantified by densitometry and the normalized relative protein levels or the secreted LPL protein levels are shown. (D) HepG2 cells were transiently transfected with a FOXA2 expression vector (6 $\mu$ g) and RNA was extracted. LPL mRNA levels were determined by reverse transcription quantitative PCR, normalized relative to the mRNA levels of the GUSB gene and are shown as a histogram. (B, C and D) Results are expressed as mean ( $\pm$ SD) from at least three independent experiments. (E) HEK293T cells were transiently transfected with a FOXA2 expression vector (0.6 $\mu$ g). Total RNA was extracted, and LPL mRNA levels were determined by reverse transcription quantitative PCR. The mRNA levels of the GUSB gene were determined for normalization purposes. The relative mRNA levels of the LPL gene are shown as a histogram. Results are expressed as mean ( $\pm$ SD) from three independent experiments. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ .

***FOXA2 binds to the proximal -47/-40 region of the LPL promoter in vitro and in vivo.***

To identify regulatory regions in the LPL promoter that could serve as binding sites for FOXA2 and could facilitate the transactivation of the LPL promoter by FOXA2, we used the LPL promoter constructs shown in Figure 3.5A in transient transfections in HepG2 cells along with an expression vector for wild type FOXA2 or

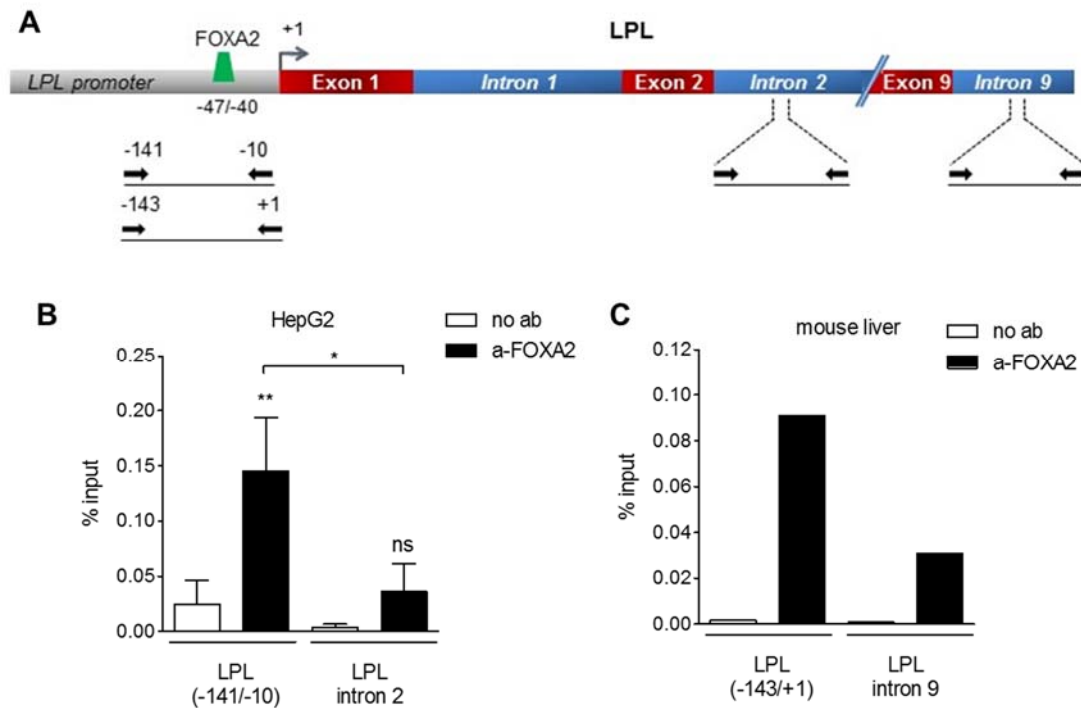
an empty vector as a control and their transcriptional activity was analyzed. As shown in Figure 3.5B, ectopically overexpressed FOXA2 transactivated the -883/+39, -669/+39, -466/+39, -262/+39 and -109/+39 LPL promoter fragments in HepG2 cells. The proximal LPL promoter between nucleotides -109/+39, that contains the putative -47/-40 FOXA2 element (Figure 3.5 A), was the shortest LPL promoter fragment that was responsive to FOXA2 overexpression because further deletion to -28 abolished the transactivation by FOXA2 (the transactivation of the -28/+39 LPL promoter by FOXA2, although statistically significant, was comparable to the transactivation of the empty luciferase vector pBS-Luc) (Figure 3.5 B).



**Figure 3.5.** Transactivation of the human LPL promoter by FOXA2 requires the proximal -109/-28 region which contains the putative FOXA2 binding site. (A) Schematic representation of the 5'-deletion fragments of the (-883/+39) human LPL promoter that were used in the transactivation experiments of panel B. The putative FOXA2 binding site in the -47/-40 region is indicated. (B) HepG2 cells were transiently transfected with the hLPL-luc reporter plasmids (1 $\mu$ g) shown in Figure 1A, along with a FOXA2 expression vector (0.25 $\mu$ g). Each value of luciferase activity represents the average ( $\pm$ SD) from at least four independent experiments performed in duplicate. \*\*\* $p < 0.001$ .

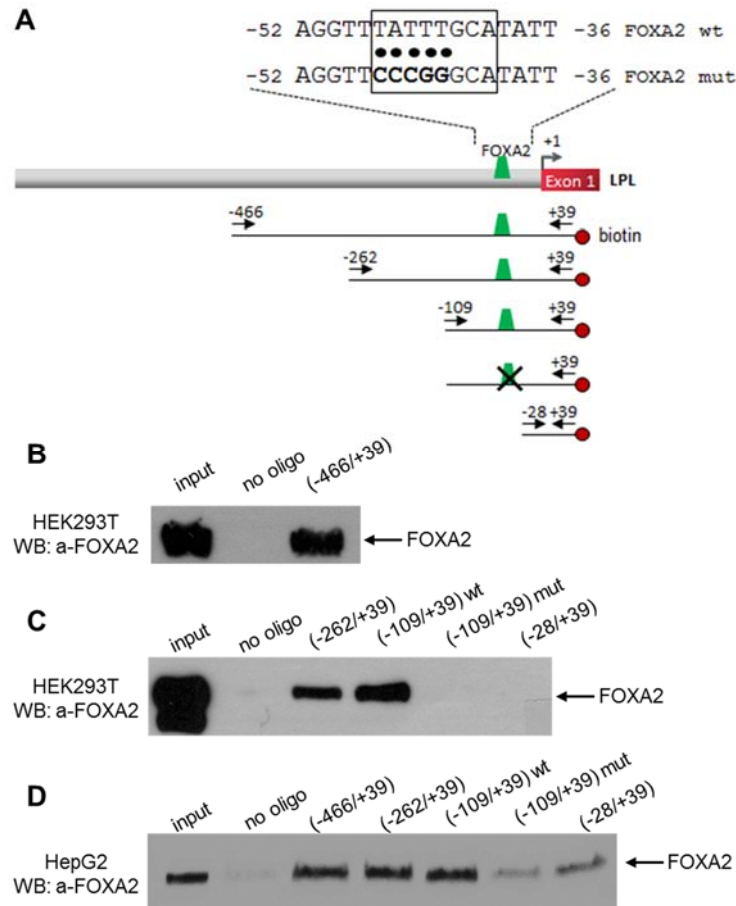
Next, we performed a series of protein-DNA binding assays in order to confirm the interaction of FOXA2 with the LPL promoter. First, we monitored the recruitment of endogenous FOXA2 to the LPL promoter *in vivo* using the chromatin immunoprecipitation assay. This experiment was performed with immunoprecipitated chromatin-bound FOXA2 from fixed and sonicated HepG2 cell extracts. For the PCR assays we used primers that recognize either the proximal -141/-10 region of the human LPL promoter bearing the putative FOXA2 site or a non-related distal region in the 2<sup>nd</sup> intron of this gene (Figure 3.6A). As shown in Figure 3.6B, endogenous FOXA2 in HepG2 cells was found to be recruited to the proximal human LPL promoter but not to the unrelated region in the 2<sup>nd</sup> intron. Similar results were obtained in immunoprecipitated chromatin-bound FOXA2 from fixed and sonicated liver extracts isolated from C57BL/6 mice. As shown in Figure 3.6C, the FOXA2 antibody immunoprecipitated the proximal -143/+1 region of the mouse LPL promoter more efficiently than the distal region in the 9<sup>th</sup> intron of the mouse LPL gene (Figure 3.6 A).

Direct binding of FOXA2 to the proximal human LPL promoter was confirmed *in vitro* using the DNA affinity precipitation assay (DNAP). For this purpose, biotinylated PCR fragments corresponding to the LPL promoter regions -466/+39, -262/+39, -109/+39 and -28/+39 (Figure 3.7A) were amplified, coupled to streptavidin dynabeads and incubated with extracts from HEK293T cells that had been transfected with an expression vector for FOXA2. FOXA2 binding to the biotinylated oligonucleotides was visualized by immunoblotting using an anti-FOXA2 antibody. As shown in Figure 3.7B and C, FOXA2 bound very strongly to the -466/+39, -262/+39 and -109/+39 LPL promoter fragments but did not bind to the -28/+39 fragment lacking the putative FOXA2 site. In control experiments it was shown that FOXA2 did not bind to the streptavidin dynabeads (2<sup>nd</sup> lane, no oligo). To confirm that the site at -47/-40 is a true FOXA2 binding element, we substituted five nucleotides in this site thus creating a DNA sequence that differed significantly from the consensus FOXA2 recognition element 5'-T(G/A)TTT(A/G)(C/T)T-3' (277). The mutations that were introduced are shown in Figure 3.7A. As shown in Figure 3.7C, these mutations completely abolished the interaction of FOXA2 with the -109/+39 LPL promoter fragment (compare lanes 4 and 5).



**Figure 3.6.** FOXA2 binds to the proximal human and mouse LPL promoter in vivo. (A) Schematic representation of the LPL gene, showing by arrows the location of the primer sets (distal regions: introns 2 or 9, proximal promoter regions: -141/-10 or -143/+1) that were utilized in the chromatin immunoprecipitation assays of panels B and C. (B) HepG2 cells were subjected to chromatin immunoprecipitation using an anti-FOXA2 antibody or no antibody as negative control (no ab). The precipitation of the LPL promoter was detected by reverse transcription quantitative PCR using primers that are complementary to the proximal (-141/-10) region of the human LPL promoter or to a distal region in the 2nd intron of the LPL gene. Results from reverse transcription quantitative PCR are expressed as binding relative to the input (%). Each value represents the average ( $\pm$ SD) from four independent experiments. (C) Chromatin immunoprecipitation in liver from C57BL/6 mice using an anti-FOXA2 antibody or no antibody as negative control (no ab). The immunoprecipitated chromatin was detected by quantitative PCR using primer sets corresponding to the proximal region of the mouse LPL promoter (-143/+1) or to a distal region in the 9th intron of the LPL gene. Results from reverse transcription quantitative PCR are expressed as binding relative to the input (%). \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; ns, not significant.

Similar results were obtained when the DNAP assays were performed using endogenous FOXA2 present in nuclear extracts from HepG2 cells (Figure 3.7D). FOXA2 bound to the -466/+39, -262/+39 and -109/+39 LPL promoter fragments more strongly than to the -28/+39 and -109/+39 mut LPL fragments.



**Figure 3.7.** FOXA2 binds to the proximal region (-47/-40) of the human LPL promoter in vitro. (A) Schematic representation of the human LPL promoter showing the biotinylated promoter fragments used for the experiments of panels B-D and the primer sets (arrows) used for the amplification of the fragments. Five nucleotide substitutions in the FOXA2 site are indicated with the black dots and in bold. (B and C) DNA-affinity precipitation experiments using whole cell extracts from HEK293T cells expressing FOXA2 and the following biotinylated fragments of the human LPL promoter: -466/+39, -262/+39, -109/+39 (wild type or mutated) and -28/+39. DNA-bound FOXA2 was detected by Western blotting using a specific anti-FOXA2 antibody. (D) DNA-affinity precipitation using nuclear extracts from HepG2 cells and biotinylated PCR fragments corresponding to the -466/+39, -262/+39, -109/+39 (wild type or mutated) and -28/+39 region of the human LPL promoter. DNA-bound FOXA2 was detected by Western blotting using the a-FOXA2 antibody. The experiments in B-D were performed at least two times and representative images are presented.

To investigate the functional importance of the proximal FOXA2 element for the FOXA2-mediated transactivation of the LPL promoter, we introduced the same five mutations into the -883/+39 and -109/+39 LPL promoter constructs, creating the reporter plasmids (-883/+39) LPL-luc mut and (-109/+39) LPL-luc mut, respectively (Figure 3.8A). Mutagenesis of this FOXA2 site decreased the basal activity of the (-883/+39) and (-109/+39) LPL promoters by 60% relative to the wild type promoter



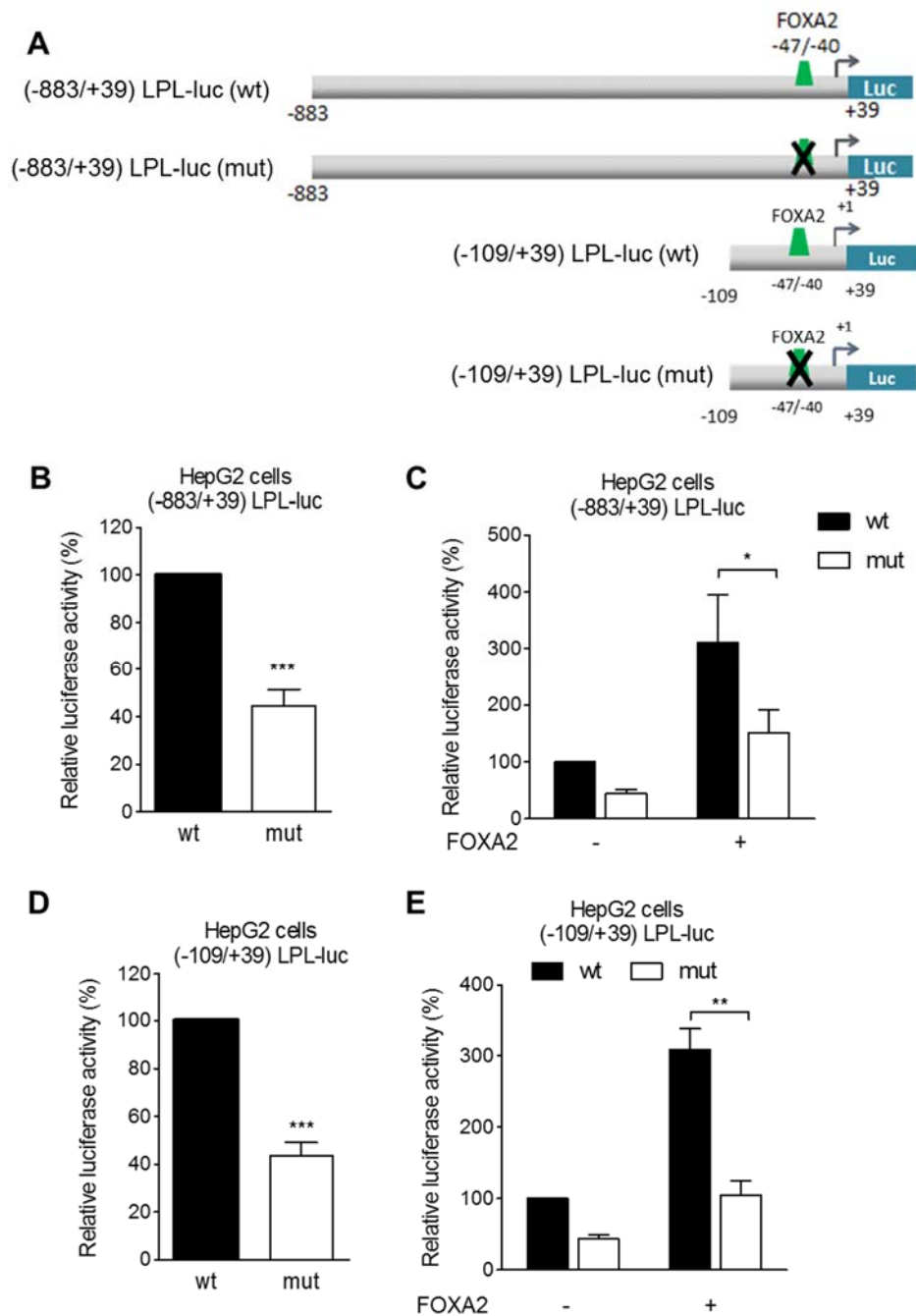
constructs in HepG2 cells (Figure 3.8B and D). Furthermore, the transactivation of the mutated LPL promoters by FOXA2 was compromised (Figure 3.8C and E).

Overall, the combined findings of Figures 3.5, 3.6, 3.7 and 3.8 established the presence a novel FOXA2 binding site in the proximal -47/-40 region of the human LPL promoter that binds FOXA2 with high efficiency and specificity and is critical for the basal and the FOXA2-inducible activity of the LPL promoter in hepatic cells.

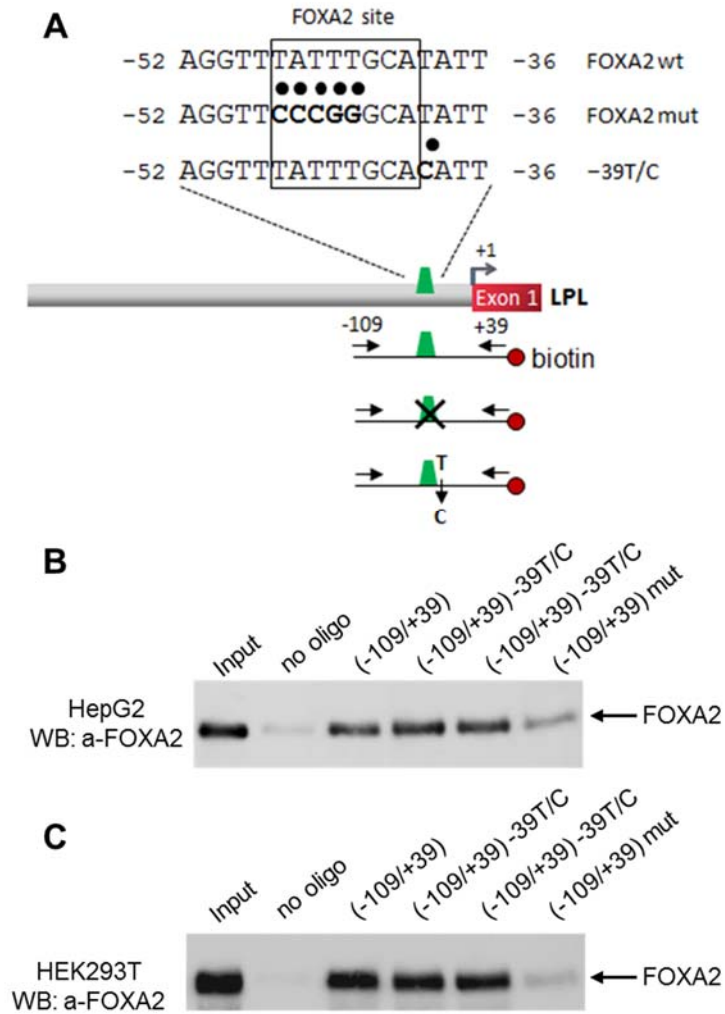
***The -39T/C mutation in the human LPL promoter reduces basal promoter activity but does not affect FOXA2 binding and transactivation.***

In a previous study (188) it was shown that a natural mutation in the proximal human LPL promoter, a T to C substitution at nucleotide -39 (-39T/C), was identified in a patient with familial combined hyperlipidemia and low LPL activity. The -39T/C substitution which is localized within a binding site for the transcription factor Oct-1, was shown to be associated with a 85% reduction in LPL promoter activity in human macrophages but its effect on hepatic LPL expression was unknown (187, 188). The mutation is localized one nucleotide downstream of the novel FOXA2 binding site that was characterized in this study. We hypothesized that this close proximity to the FOXA2 site could affect the binding of FOXA2 and the FOXA2-mediated transactivation of the LPL promoter thus reducing the levels of expression of the LPL gene in hepatic cells.

To address this question, we initially performed DNAP assays using biotinylated fragments corresponding to either the wild type -109/+39 LPL promoter or the promoter bearing the T/C substitution at position -39 (Figure 3.9A). The -39T/C substitution did not affect the binding of FOXA2 expressed endogenously in HepG2 cells (Figure 3.9B, compare lanes 4 and 5 with lane 3) or exogenously in HEK293T cells (Figure 3.9C, compare lanes 4 and 5 with lane 3). To study further the effect of this mutation on LPL promoter activity, we generated this -39T/C substitution in the -883/+39 LPL promoter and we cloned it upstream of the luciferase gene (Figure 3.10A). We performed luciferase activity assays and as shown in Figure 3.10B, the -39T/C mutation reduced the basal activity of the -883/+39 LPL promoter by 60% but did not affect the FOXA2-mediated transactivation of the LPL promoter in HepG2 cells.

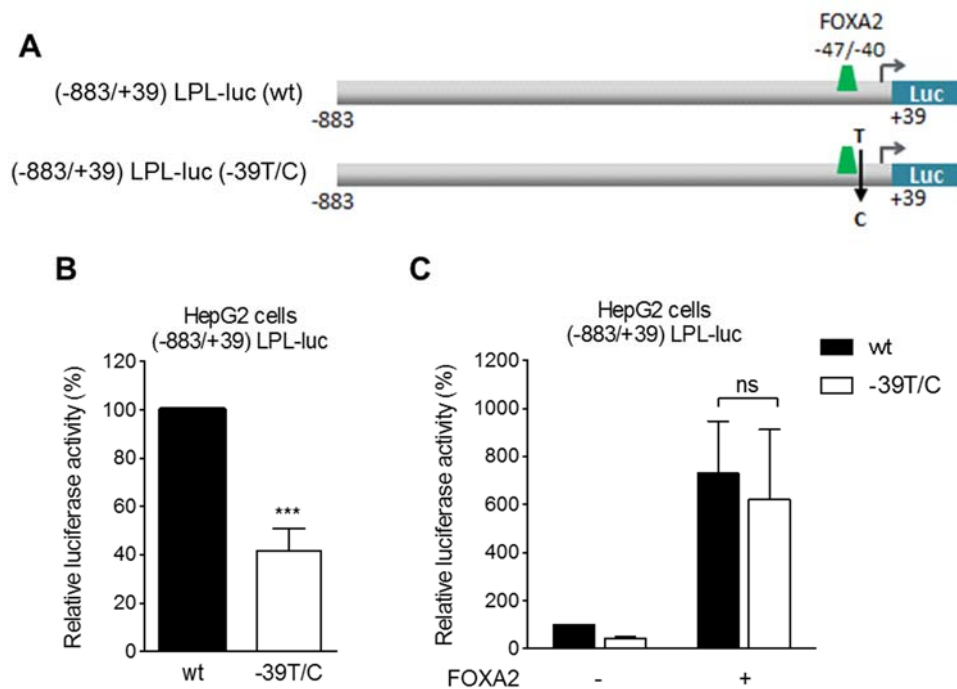


**Figure 3.8.** Mutations in the FOXA2 binding site reduce basal LPL promoter activity and FOXA2-mediated transactivation. (A) Schematic representation of the wild type LPL promoter constructs (-883/+39) and (-109/+39) LPL-luc and the corresponding constructs bearing the mutations in the FOXA2 site that are shown in Figure 3.7A. (B and D) HepG2 cells were transiently transfected with the (-883/+39) or (-109/+39) LPL-luc (wt) and (-883/+39) or (-109/+39) LPL-luc (mut) reporter plasmids (1 $\mu$ g) along with the  $\beta$ -galactosidase expression vector (1 $\mu$ g). (C and E) HepG2 cells were transiently transfected with the WT or mutated (-883/+39) and (-109/+39) LPL-luc reporter plasmids (1 $\mu$ g) in the presence or in the absence of the expression vector for FOXA2 (0.25 $\mu$ g) and the  $\beta$ -galactosidase expression vector (1 $\mu$ g). (B-E) The normalized, relative luciferase activity ( $\pm$ SD) calculated from at least three independent experiments performed in duplicate is presented with histograms. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .



**Figure 3.9.** A single nucleotide substitution downstream of the FOXA2 site does not affect the binding of FOXA2 to the LPL promoter. (A) Schematic representation of the human LPL promoter showing the biotinylated promoter fragments used for the experiments of panels B and C and the primer sets (arrows) used for the amplification of the fragments. Nucleotide mutations in the sequence of LPL promoter are indicated with black dots and in bold. (B) DNA-affinity precipitation using HepG2 nuclear extracts and biotinylated PCR fragments corresponding to the -109/+39 region (wild type or mutated) of the human LPL promoter. FOXA2 binding to the probes was detected by Western blotting using the anti-FOXA2 antibody. (C) DNA-affinity precipitation using whole cell extracts from HEK293T cells expressing FOXA2 and biotinylated probes corresponding to the region -109/+39 (wild type or mutated) of the human LPL promoter. DNA-bound FOXA2 was detected by Western blotting using the anti-FOXA2 antibody. The experiments in B and C were performed two times and representative images are presented.

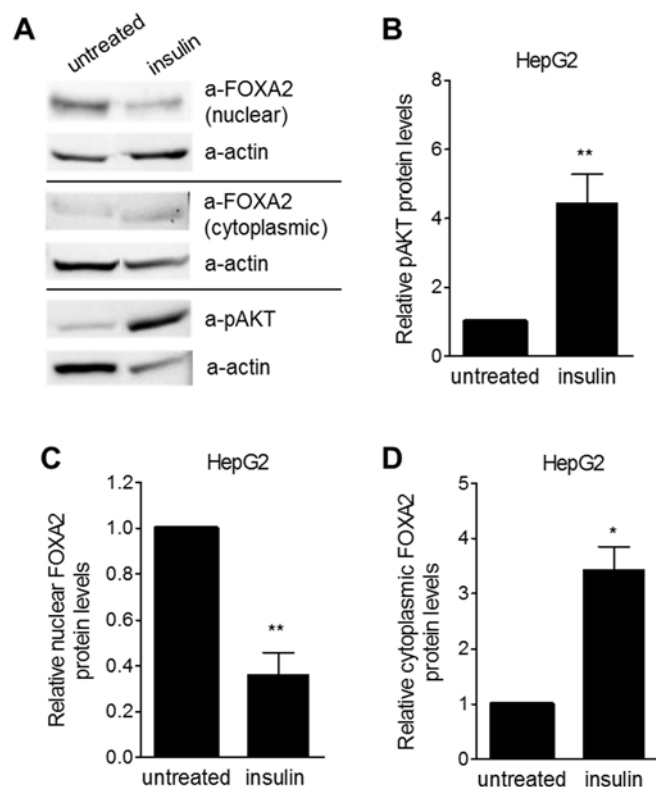
The combined data of Figures 3.9 and 3.10 indicate that the -39T/C mutation in the human LPL promoter reduces basal promoter activity but does not affect FOXA2 binding and transactivation, in hepatic cells.



**Figure 3.10.** A single nucleotide substitution downstream of the FOXA2 site does not affect the FOXA2-mediated transactivation of the LPL promoter. (A) Schematic representation of the wild type -883/+39 LPL promoter construct and the corresponding construct bearing a single T/C substitution (at nucleotide -39) after the FOXA2 site that is shown in Figure 3.9A. (B) HepG2 cells were transiently transfected with the (-883/+39) LPL-luc (wt) or (-883/+39) LPL-luc (-39T/C) reporter plasmids (1 $\mu$ g) along with the  $\beta$ -galactosidase expression vector (1 $\mu$ g). (C) HepG2 cells were transiently transfected with the wt or mutated (-883/+39) LPL-luc reporter plasmids (1 $\mu$ g) in the presence or in the absence of the FOXA2 expression vector (0.25 $\mu$ g) and the  $\beta$ -galactosidase expression vector (1 $\mu$ g). (B and C) The normalized, relative luciferase activity ( $\pm$ SD) calculated from six independent experiments performed in duplicate is presented with histograms. \*\*\*,  $p < 0.001$ ; ns, not significant.

***Reduction in LPL expression and promoter activity by insulin in HepG2 cells due to an insulin-mediated nuclear export of FOXA2.***

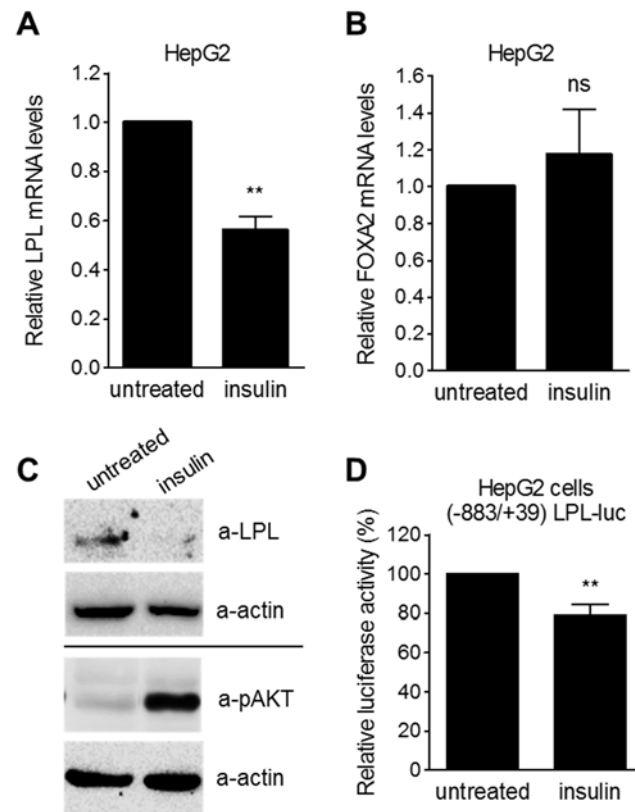
It has been shown previously that the activity of FOXA2 is regulated by insulin, via phosphorylation by AKT kinase which causes the export of FOXA2 from the nucleus leading to the inhibition of the expression of FOXA2 target genes (324). To confirm this mechanism in hepatic cells, we treated HepG2 cells with insulin and we measured the intracellular localization of FOXA2. As shown in Figure 3.11A and B, insulin induced the phosphorylation of AKT kinase (4-fold increase). This induction was associated with a 70% decrease in the nuclear localization and a 3,5-fold increase in the cytoplasmic localization of endogenous FOXA2 in HepG2 cells (Figure 3.11A, C and D).



**Figure 3.11.** Nuclear exclusion of FOXA2 by insulin. (A) HepG2 cells were treated with insulin (500nM) for 24 hours or left untreated. Nuclear and cytoplasmic protein extracts were isolated and subcellular localization of FOXA2 was determined by immunoblotting using the anti-FOXA2 antibody. The protein levels of actin (loading control) and pAKT (positive control) were determined by immunoblotting using the corresponding antibodies. The experiment was performed at least three times and representative images are presented. (B-D) Levels of pAKT (panel B), nuclear FOXA2 (panel C), cytoplasmic FOXA2 (panel D) and actin were quantified by densitometry and the normalized relative protein levels are shown as histograms. Results are expressed as mean ( $\pm$ SD) from at least three independent experiments. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ .

Next, we examined whether this insulin-mediated mechanism of FOXA2 nuclear exclusion applies to the hepatic regulation of the LPL gene. To this end, we treated HepG2 cells with insulin and we determined the effect of insulin on the expression of LPL gene. As shown in Figure 3.12A and D, cytoplasmic sequestration of FOXA2 by insulin was associated with a 50% reduction in the mRNA levels of LPL and a 25% inhibition in the activity of the -883/+39 LPL promoter, in HepG2 cells. The mRNA levels of the FOXA2 gene were not affected by the insulin treatment (Figure 3.12B). Furthermore, insulin abolished the expression of the endogenous LPL gene in HepG2 cells at the protein level (Figure 3.12C).

The data of Figures 3.11 and 3.12 suggest that the phosphorylation of FOXA2 by the insulin/PI3K/AKT pathway and its nuclear export inhibits the expression of the LPL gene in HepG2 cells.



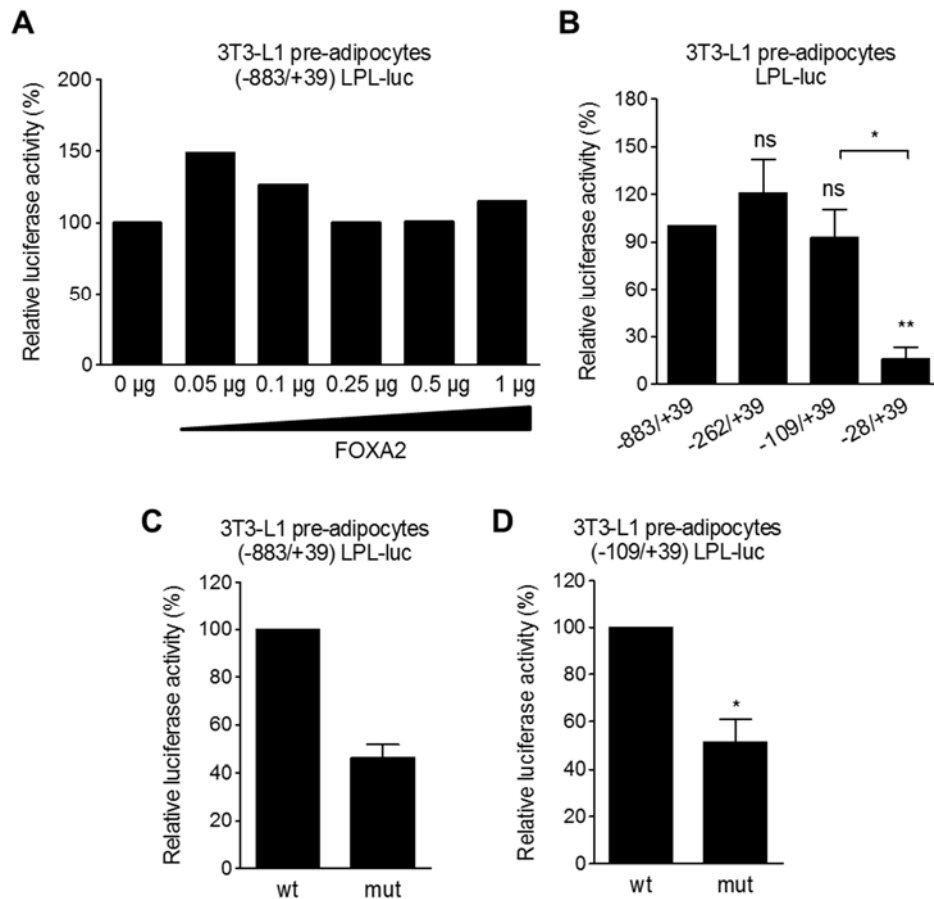
**Figure 3.12.** Nuclear exclusion of FOXA2 by insulin results in the downregulation of LPL gene expression. (A and B) HepG2 cells were treated with insulin (500nM) for 24 hours or left untreated and total RNA was extracted. LPL (panel A) and FOXA2 (panel B) mRNA levels were determined by quantitative reverse transcription quantitative PCR, normalized relative to the mRNA levels of the GUSB gene and are shown as histograms. Results are expressed as mean ( $\pm$ SD) from three independent experiments. (C) HepG2 cells were treated with insulin (500nM) for 24 hours or left untreated. Protein extracts were isolated and the intracellular protein levels of LPL, pAKT and actin (loading control) were determined by immunoblotting using the corresponding antibodies. (D) HepG2 cells were transiently transfected with the (-883/+39) LPL-luc reporter plasmid (1 $\mu$ g). Following transfection, the cells were treated with 500nM insulin for 24 hours or left untreated. Normalized relative luciferase activity values are shown. Each value represents the average ( $\pm$ SD) from two independent experiments performed in duplicate. \*\*,  $p < 0.01$ ; ns, not significant.

***The expression of LPL gene in adipocytes is not regulated by FOXA2.***

The regulation of the human LPL gene by FOXA2 could be a general regulatory mechanism that may operate in other tissues that express highly the LPL gene such as the adipocytes. To address this hypothesis, we transfected 3T3-L1 pre-adipocytes with different LPL promoter fragments (-883/+39, -262/+39, -109/+39 and -28/+39), fused with the luciferase report gene (Figure 3.1A) and their activity was determined by luciferase assays. We found that deletions of the LPL promoter from position -883 to -109 did not affect the promoter activity but further deletion to position -28 abolished the activity of the LPL promoter in a similar fashion as in HepG2 cells (Figure 3.13B).

To examine the role of FOXA2 in the LPL promoter activity, we transfected 3T3-L1 pre-adipocytes with increasing concentrations of the plasmid vector expressing FOXA2 and we did not observe any transactivation of the -883/+39 LPL promoter (Figure 3.13A). We also transfected 3T3-L1 cells with wild type or mutated -883/+39, -109/+39 LPL promoter constructs, bearing the mutations in FOXA2 element (Figure 3.13C and D). In agreement with the findings in HepG2 cells, the mutations into the FOXA2 site reduced the basal activity of the -883/+39 and -109/+39 LPL promoters by 50%, indicating the importance of this element for the regulation of LPL in 3T3-L1 cells (Figure 3.13 C and D).

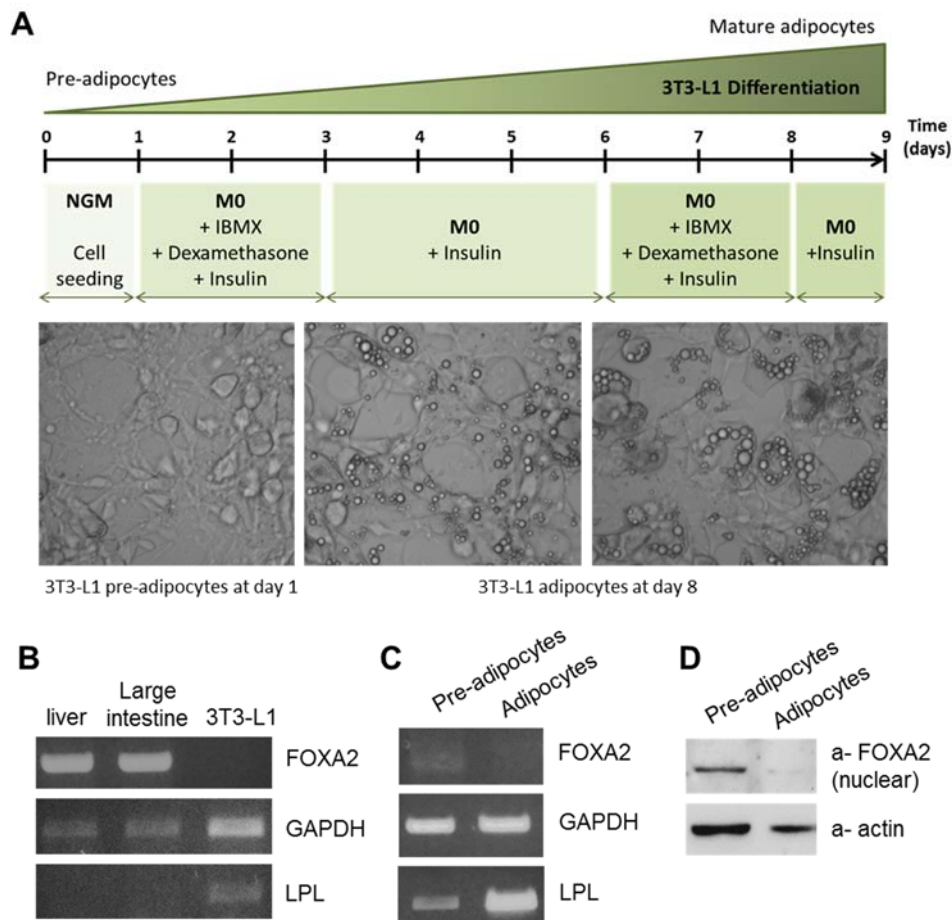
Next, we differentiated 3T3-L1 pre-adipocytes for 9 days following the protocol shown in Figure 3.14A (upper panel) to mature adipocytes containing numerous intracellular lipid droplets (Figure 3.14A lower panel). We determined the expression of FOXA2 in pre- and adipocytes at the mRNA and protein levels. As shown in Figure 3.14C and D, FOXA2 is expressed at low levels in pre-adipocytes. In contrast, FOXA2 is highly expressed in mouse liver and large intestine (Figure 3.14B). However, differentiation of 3T3-L1 pre-adipocytes to adipocytes was associated with a severe reduction in FOXA2 mRNA levels (Figure 3.14C) and nuclear protein levels (Figure 3.14D). Moreover, we determined the mRNA levels of LPL gene (positive control of adipocytes) and we observed a strong induction of LPL gene during adipocyte differentiation (Figure 3.14C).



**Figure 3.13.** The LPL promoter activity is not regulated by FOXA2 in 3T3-L1 pre-adipocytes. (A) 3T3-L1 pre-adipocytes (85,000 cells/6-well) were transiently transfected with the (-883/+39) LPL-luc reporter plasmid (1μg) along with increasing concentrations (0.05–1μg) of a FOXA2 expression vector and a β-galactosidase expression plasmid (1μg). The normalized relative luciferase activity is presented with a histogram. (B) 3T3-L1 cells (85,000 cells/6-well) were transiently transfected with the 5'-deletion fragments of the (-883/+39) LPL promoter (1μg) shown in Figure 1A along with the β-galactosidase expression plasmid (1μg). (C and D) 3T3-L1 cells (85,000 cells/6-well) were transiently transfected with the (-883/+39) or (-109/+39) LPL-luc (wt) reporter plasmids and the corresponding constructs (1μg), bearing five mutations in the FOXA2 site that are shown in Figure 3.7A (mut), along with the β-galactosidase expression vector (1μg). (A-D) Luciferase activity was normalized to β-galactosidase activity and presented with histograms. Each value represents the average (±SD) from three independent experiments (panels B and D) or two independent experiments (panel C). \*, p<0.05; \*\*, p<0.01; ns, not significant.

The combined data of Figures 3.13 and 3.14 indicate that the LPL gene expression is not regulated by FOXA2 in adipocytes. However, the FOXA2 element at -47/-40 of LPL promoter may facilitate the involvement of other adipocyte transcription factors that regulate the LPL gene.





**Figure 3.14.** The LPL gene expression is not regulated by FOXA2 in 3T3-L1 cells. (A) Upper panel shows the experimental protocol for the differentiation of 3T3-L1 pre-adipocytes to adipocytes. Lower panel shows representative microscopic images of undifferentiated 3T3-L1 pre-adipocytes (at day 1) and 3T3-L1 cells subjected to adipocyte differentiation for 8 days using the protocol presented in the upper panel. (B and C) Total RNA was extracted from mouse liver and large intestine or from 3T3-L1 pre-adipocytes and differentiated adipocytes. The expression of the mouse LPL and FOXA2 genes was analyzed by reverse transcription PCR. The expression levels of GAPDH gene were used for normalization purposes. (D) Nuclear lysates were isolated from 3T3-L1 pre-adipocytes and differentiated adipocytes and protein levels of FOXA2 and actin (loading control) were determined by immunoblotting using the corresponding antibodies.

## Discussion

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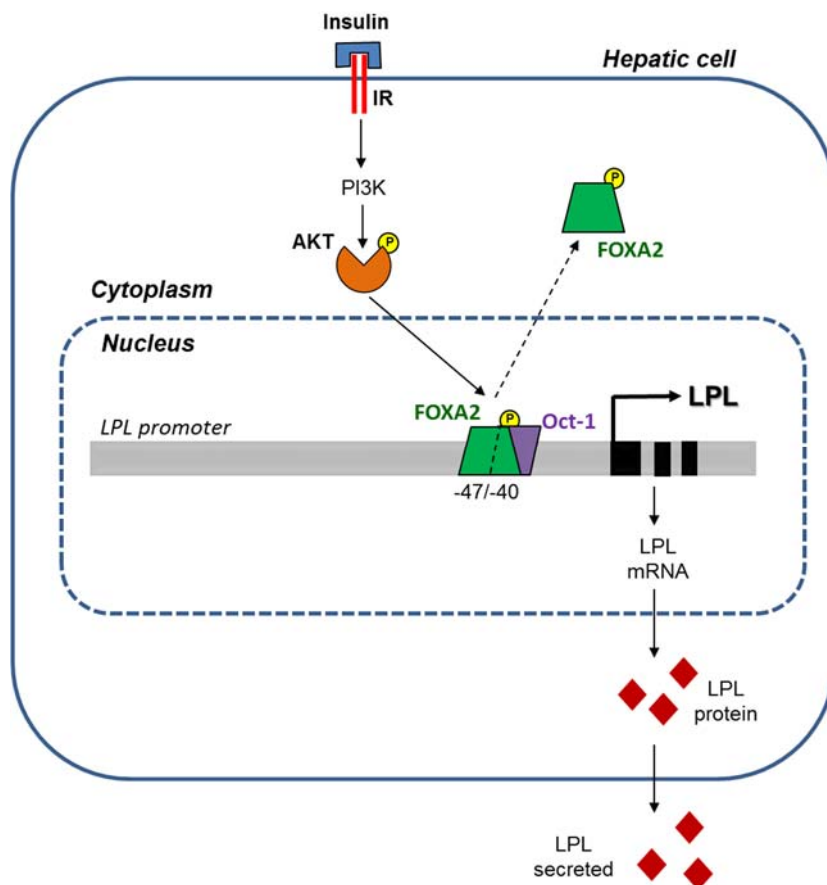
Lipoprotein Lipase (LPL) is a member of the triglyceride lipase gene family that plays a critical role in lipid metabolism by catalyzing the hydrolysis of triglycerides (TG) in plasma TG-rich lipoproteins such as VLDL and chylomicrons (124). LPL is primarily synthesized by the parenchymal cells in adipose tissue, skeletal muscle and heart but can be found at lower levels in many other tissues, including macrophages, kidney, brain, adrenals, lung and embryonic liver (104-106, 451, 452). In adult mice LPL gene expression in the liver is low compared with other tissues (453) but the physiological role of liver LPL still remains controversial. Previous studies in mouse models of liver LPL overexpression or deficiency have addressed the physiological role of LPL in the liver and revealed important new roles of the enzyme in glucose and lipid metabolism. Merkel et al created a transgenic mouse model that expresses LPL exclusively in the liver (172). These mice had elevated triglycerides, increased plasma ketones and glucose and large amounts of intracellular lipid droplets in their livers. Expression of LPL in the adult liver was associated with slower turnover of VLDL than wild-type mice and increased production of VLDL TG (172). In another study, Kim et al. showed that liver-specific overexpression of LPL in mice leads to an increase in liver TG content and glucose and develop insulin resistance associated with defects in the activation of insulin receptor substrate-2 (IRS2) associated phosphatidylinositol 3-kinase (PI3K) activity by insulin (171). Recently Liu et al. generated a mouse with the LPL gene specifically ablated in hepatocytes (453). The unexpected finding of this study was that deletion of hepatic LPL resulted in a significant decrease in plasma LPL content and activity and as a result, the postprandial TG clearance was markedly impaired and plasma TG and cholesterol levels were significantly elevated. These findings suggested that despite the relatively low levels of expression in the adult liver, hepatic LPL has a physiologically significant role in plasma lipid homeostasis and lipoprotein metabolism that needs to be explored further under pathological or non-pathological conditions.

In the present study we show for the first time that the human lipoprotein lipase gene is a direct target of the forkhead box family transcription factor FOXA2 in hepatic cells. FOXA2 is a positive modulator of the LPL gene. The activation of the LPL gene by FOXA2 is mediated via a novel FOXA2 responsive element that is

localized in the proximal LPL promoter. Mutations in this FOXA2 element abolished binding of FOXA2 and the FOXA2-mediated transactivation of the LPL promoter in hepatic cells whereas silencing of FOXA2 was associated with a reduction in the mRNA and protein levels of LPL. Importantly, we show that insulin inhibits the expression of LPL in HepG2 cells via a mechanism that involves the activation of AKT kinase and the subsequent inactivation of FOXA2 via phosphorylation which causes the translocation of FOXA2 from the nucleus to the cytoplasm (Figure 3.15).

FOXA2 (also called Hepatocyte Nuclear Factor 3 $\beta$ ) is a member of the hepatocyte nuclear factor 3/forkhead box family of transcription factors known mainly for its gluconeogenic effects in hepatic cells. FOXA2 is expressed primarily in the liver and also in pancreas, stomach, intestine and lung (295). In the liver, FOXA2 has been shown to stimulate the oxidation of fatty acids, to increase the production of ketone bodies and to increase the secretion of lipoproteins (VLDL, HDL) during fasting by activating the expression of genes involved in these pathways (312, 324). Using adenovirus-mediated gene transfer combined with gene expression profiling it was shown that a constitutively active form of FOXA2 (that cannot be inhibited by AKT) increased the expression of genes involved in HDL metabolism,  $\beta$ -oxidation, ketogenesis, glycolysis and triglyceride degradation including the genes for the lipases LPL and LIPC (324). In another study, it was found that there are approximately 11,000 FOXA2 binding sites in the adult mouse liver and that almost half of the genes expressed in the adult liver including the LPL gene contain at least one FOXA2 binding site (331). Although FOXA2 is a nuclear factor that activates gene transcription when bound to DNA due to the presence of two transactivation domains (295), FOXA2 may also inhibit gene expression. In a previous study we showed that FOXA2 binds to three sites on the proximal promoter of the human ABCA1 gene, one of which is the TATA box and compromises the upregulation of ABCA1 gene expression by oxysterol-activated LXRs under conditions of cholesterol loading (329). In the liver, excess cholesterol is converted to bile acids for excretion through the bile (454-456). Importantly, inactivation of FOXA2 in the liver of mice inhibited the expression of bile acid transporters resulting in intrahepatic cholestasis (323). Furthermore, it was also shown that patients with cholestatic syndromes have lower FOXA2 levels in their livers (323). Thus, FOXA2 emerges as a key regulator of lipid and lipoprotein metabolism in the liver.

The regulation of the human LPL gene by FOXA2 could be a general regulatory mechanism that may operate in tissues other than the liver that express the LPL gene such as the adipocytes. To address this hypothesis, we used 3T3-L1 pre-adipocytes and differentiated mature adipocytes. We found that the region -109/-28 of the LPL promoter is important for the basal activity of LPL in 3T3-L1 pre-adipocytes, but we did not observe any transactivation of the LPL promoter by the FOXA2, in contrast to the data from HepG2 cells. However, the mutations in the FOXA2 element reduced the basal activity of the LPL promoter in pre-adipocytes, in agreement with the findings in HepG2 cells, indicating the importance of this element for the regulation of LPL gene. We showed that FOXA2 is not expressed in adipocytes and this may explain the inability of this factor to participate in LPL regulation in adipose tissue. However, the FOXA2 element at -47/-40 of LPL promoter may facilitate the involvement of other adipocyte transcription factors that regulate the LPL gene.



**Figure 3.15.** Summary of forkhead box transcription factor FOXA2 regulatory element in the LPL promoter and the insulin signaling pathway that participate in the control of human LPL gene expression in hepatic cells. See text for details.

Mutations in the LPL promoter in humans are associated with reduced LPL expression levels and promoter activity (457). In one of these cases, a compound heterozygote [-39T/C; -39T/G] was identified with familial combined hyperlipidemia and reduced post-heparin LPL levels. The -39T/C substitution was shown to be associated with a 85% reduction in LPL promoter activity in human macrophages but its effect on hepatic LPL expression was unknown (188). This substitution is localized within a binding site for the transcription factor Oct-1 and it was shown that Oct-1 binds to the -45/-38 LPL promoter *in vitro* (187). Oct-1 is a member of the POU domain of transcription factors that bind to a DNA sequence motif known as the octamer motif having the consensus sequence 5' "ATGC(A/T)AAT" 3' (458). Oct-1 is ubiquitously expressed and serves as a sensor for both metabolic and stress signals (458-460). In liver cells, Oct-1 binds to the promoter of the carbohydrate response element binding protein (ChREBP) gene and inhibits its expression (461). Interestingly, insulin increases the activity of the ChREBP promoter in hepatic cells and stimulates ChREBP expression via the octamer motif (461, 462) suggesting that insulin signaling regulates the activity of Oct-1 by an unknown mechanism. The precise role of Oct-1 in LPL gene regulation has not been elucidated but the decrease in the LPL promoter activity caused by the -39T/C substitution which destroys the octamer motif suggests that this factor plays a positive role in LPL gene transcription. The close proximity of the -39T/C substitution to the FOXA2 binding site identified in the present study (-47/-40) prompted us to functionally characterize this substitution in the context of the hepatic LPL regulation. Our data showed that the -39T/C substitution reduced LPL promoter activity by 60% in HepG2 cells but had no effect on the binding of FOXA2 to the LPL promoter *in vitro* as well as on the FOXA2-mediated transactivation of the LPL promoter in HepG2 cells. Importantly, both FOXA2 and Oct-1 factors are inhibited by insulin in hepatic cells (Figure 3.12 and ref (462)). The combined data propose that the -47/-38 region of the human LPL promoter is a novel dual insulin-responsive element that can bind either Oct-1 or FOXA2 in an overlapping manner and could facilitate the inhibition of the human LPL gene expression by insulin and possibly by other factors that regulate the activity of the two nuclear proteins in LPL-expressing cells or in hepatic cells (Figure 3.15).

We had shown previously that FOXA2, in addition to its established role in glucose production, regulates the biogenesis and the remodelling of High Density Lipoproteins (HDL) by inhibiting the expression of ABCA1 gene in the livers (329).

With this study we reveal a new role of this transcription factor in the liver that is not restricted to HDL biogenesis or gluconeogenesis but through an insulin-AKT-FOXA2-LPL signaling cascade may control the catabolism of triglyceride-rich lipoproteins such as VLDL and chylomicrons.

Interestingly, the expression of the LPL gene in the adult mouse liver is also induced strongly by oxysterols, either synthetic or endogenous following a cholesterol-rich diet (181). It was shown previously that LXRs induce LPL gene expression in the liver by acting on a distal LXRE present in the first intron of the LPL gene (181). We hypothesize that inhibition of FOXA2 by insulin, in addition to its role in basal hepatic LPL gene expression, could also control the levels of LPL gene induction in response to intracellular or extracellular signals such as cholesterol overload. This signalling pathway may prevent the accumulation of LPL in the liver under certain conditions, maintaining liver LPL expression at low levels and thus protecting this tissue from the toxic effects of LPL overexpression as demonstrated recently in mouse studies (171). This hypothesis will be discussed in detail in the second part.

In summary, the present study identified FOXA2 as a critical regulator of LPL gene expression in hepatocytes under basal conditions or in response to extracellular signals such as insulin treatment. Understanding the mechanisms by which FOXA2 regulates the expression of the LPL gene in the liver may open the way to novel therapeutic strategies for the correction of plasma lipoprotein levels in humans with lipid disorders.

**PART II:**

***Physical and functional interactions between  
nuclear receptors LXR $\alpha$ /RXR $\alpha$  and the forkhead box  
transcription factor FOXA2 regulate the response of the  
human lipoprotein lipase gene to oxysterols in hepatic cells***

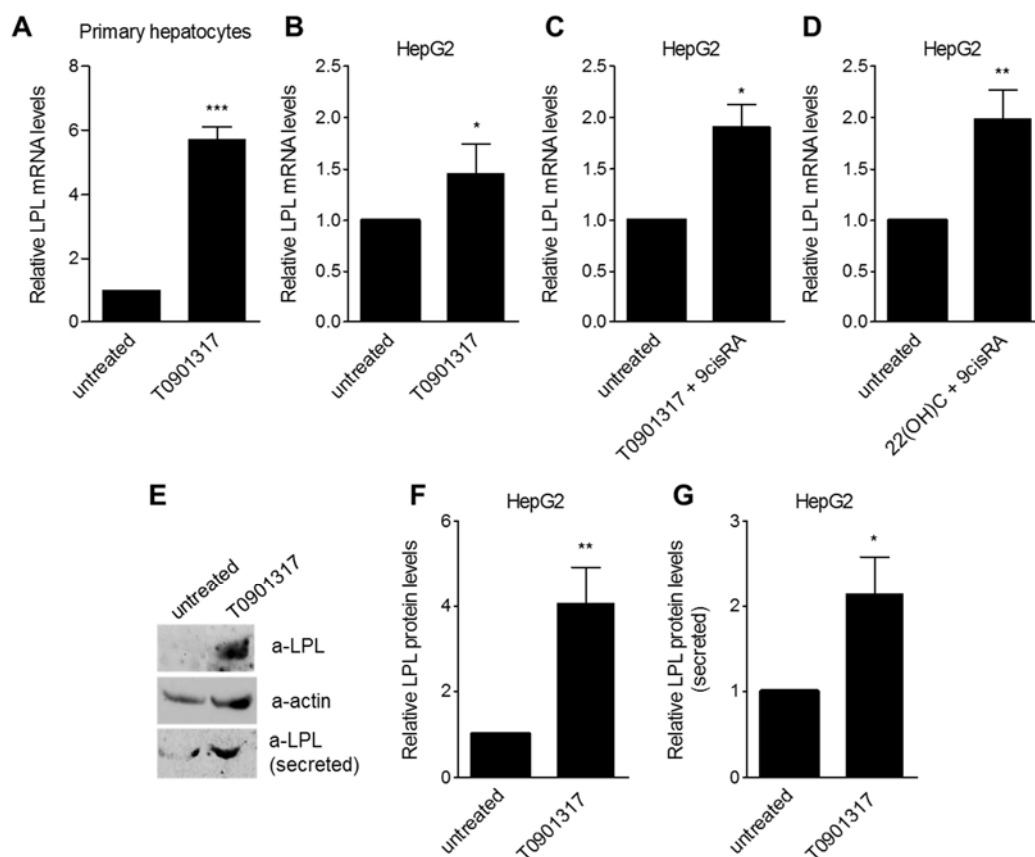
### ***Regulation of the human lipoprotein lipase gene expression in hepatic cells by the LXR agonist T0901317.***

Liver X receptors are activated by several hydroxylated products of cholesterol metabolism (oxysterols) or, more potently, by artificial compounds such as T0901317 (354, 384, 385). In order to investigate the role of LXRs in the regulation of the LPL gene in hepatocytes, we treated primary mouse hepatocytes, isolated from the livers of C57BL/6J mice, or human hepatoblastoma HepG2 cells, with T0901317 (1 $\mu$ M) for 24 hours and the mRNA and protein levels of LPL were determined. As shown in Figure 3.16A and B, T0901317 treatment caused a 5.5-fold increase in the mRNA levels of the mouse LPL (primary hepatocytes, panel A) or a 1.4-fold increase in the mRNA levels of the human LPL (HepG2, panel B). HepG2 cells were treated also with T0901317 and 9-cis retinoic acid, which is the natural ligand of nuclear receptor RXR $\alpha$ , for 24 hours. We observed that the mRNA levels of LPL were increased more when 9cisRA was added in cells (Figure 3.16 C). Similar LPL upregulation was observed when HepG2 cells were treated with 22(OH)Cholesterol, the natural ligand of nuclear receptor LXR $\alpha$ , and 9cisRA (Figure 3.16D). In agreement with these findings, T0901317 treatment of HepG2 cells caused a 4-fold increase in the intracellular levels and a 2-fold increase in the secreted levels of the human LPL protein (Figure 3.16E-G).

### ***LXR $\alpha$ /RXR $\alpha$ heterodimers transactivate the human LPL promoter.***

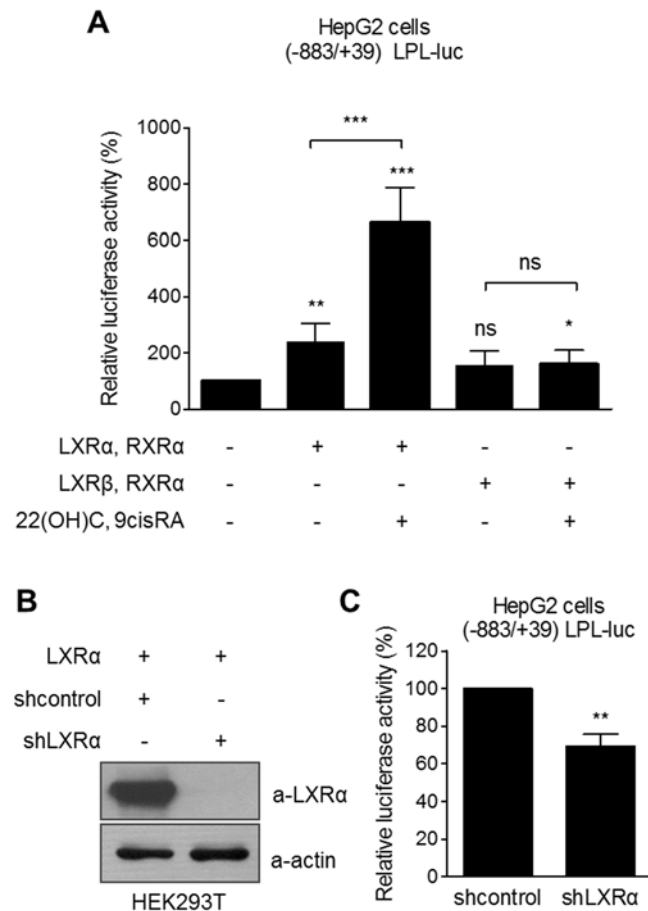
In order to investigate the LXR isoform (LXR $\alpha$  or LXR $\beta$ ) that activates the human LPL gene, HepG2 cells were transfected with the reporter plasmid -883/+39 LPL-Luc that is bearing the human LPL promoter -883/+39 upstream of the luciferase gene in the presence or absence of exogenous LXR $\alpha$ /RXR $\alpha$  or LXR $\beta$ /RXR $\alpha$  and were treated with 22(OH)C and 9cisRA for 24 hours or left untreated. As shown in Figure 3.17A, overexpression of LXR $\alpha$  and RXR $\alpha$ , but not LXR $\beta$  and RXR $\alpha$ , transactivated the human LPL promoter and this transactivation was enhanced further by adding the ligands of the two receptors.





**Figure 3.16. The synthetic LXR agonist T0901317 and the LXR/RXR agonists, 22(OH)C and 9cisRA, upregulate the expression of LPL gene in hepatocytes.** Primary mouse hepatocytes (panel A) and HepG2 cells (panel B) were treated with the LXR ligand, T0901317 (1 $\mu$ M), for 24 hours or left untreated and total RNA was extracted. (A) LPL mRNA levels were normalized relative to the mRNA levels of the HPRT gene and are shown as a histogram. Results are expressed as mean ( $\pm$ SEM) from seven independent experiments. (B) LPL mRNA levels were determined by reverse transcription quantitative PCR, normalized relative to the mRNA levels of the GUSB gene. Results are expressed as mean ( $\pm$ SD) from six independent experiments and shown as a histogram. (C) HepG2 cells were treated with T0901317 and the RXR agonist 9cisRA (1 $\mu$ M each), for 24 hours or left untreated and total RNA was extracted. LPL mRNA levels were determined by reverse transcription quantitative PCR, normalized relative to the mRNA levels of the GUSB gene. Results are expressed as mean ( $\pm$ SD) from three independent experiments and shown as a histogram. (D) HepG2 cells were treated with the LXR and RXR ligands, 22(OH)C and 9cisRA (1 $\mu$ M each), for 24 hours or left untreated. Total RNA was extracted, LPL mRNA levels were determined by reverse transcription quantitative PCR and normalized relative to the mRNA levels of the GUSB gene. Results are expressed as mean ( $\pm$ SD) from four independent experiments and shown as a histogram. (E) HepG2 cells were treated with the LXR ligand, T0901317 (1 $\mu$ M), for 24hours or left untreated and the intracellular protein levels of LPL and actin (loading control) as well as of the secreted LPL were determined by immunoblotting using the corresponding antibodies. The experiment was performed at least three times and representative images are presented. (F and G) Levels of intracellular LPL and actin were quantified by densitometry and the normalized relative protein levels (F) or the secreted LPL protein levels (G) are shown as histograms. Results are expressed as mean ( $\pm$ SD) from at least three independent experiments. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .

In line with this finding, silencing the expression of endogenous LXR $\alpha$  in HepG2 cells via a LXR $\alpha$ -specific shRNA producing vector (Figure 3.17B) reduced the activity of the LPL promoter by 30% (Figure 3.17C) suggesting that LXR $\alpha$  is a transcriptional activator of the human LPL promoter in hepatic cells.

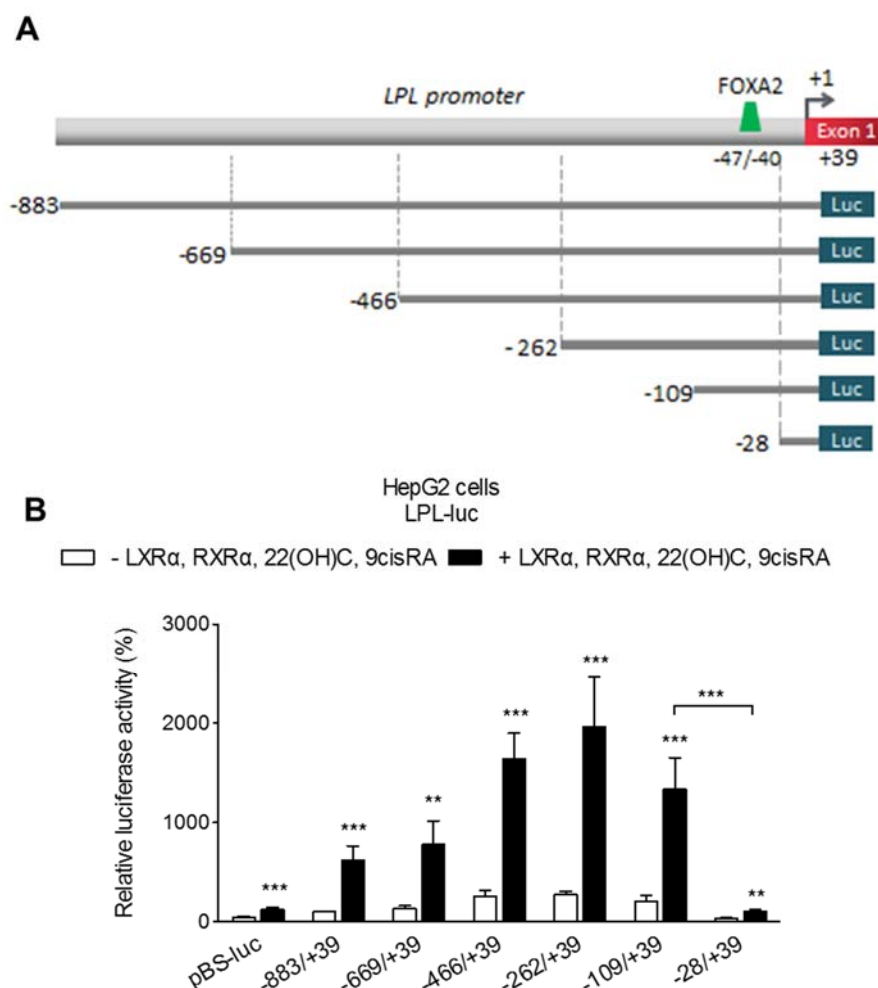


**Figure 3.17. LPL promoter activity is regulated by nuclear receptors LXR $\alpha$ /RXR $\alpha$  and their agonists.** (A) HepG2 cells were transiently transfected with the (-883/+39) LPL-luc reporter plasmid (1 $\mu$ g) along with plasmids expressing LXR $\alpha$  or LXR $\beta$  and RXR $\alpha$  (0.5 $\mu$ g each) and  $\beta$ -galactosidase (1 $\mu$ g). Cells were treated with 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. The normalized, relative luciferase activity ( $\pm$ SD) calculated from three independent experiments performed in duplicate is presented with histograms. (B) HEK293T cells were transfected with an LXR $\alpha$  expression vector (1 $\mu$ g) along with expression vectors for control shRNA or LXR $\alpha$  shRNA (1 $\mu$ g) and the protein levels of LXR $\alpha$  and actin (loading control) were determined by immunoblotting using the corresponding antibodies. (C) HepG2 cells were transiently transfected with the (-883/+39) LPL-luc reporter plasmid (1 $\mu$ g) along with expression vectors for control shRNA or LXR $\alpha$  shRNA (1 $\mu$ g) and  $\beta$ -galactosidase (1 $\mu$ g). The normalized, relative luciferase activity ( $\pm$ SD) calculated from two independent experiments performed in duplicate is presented with histograms. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ; ns, not significant.

A series of 5' deletions of the human -883/+39 LPL promoter (Figure 3.18A) were employed in order to map the region(s) of the promoter that is mediating the transactivation by the LXR $\alpha$ /RXR $\alpha$  heterodimers. As shown in Figure 3.18B, a gradual increase in the basal promoter activity as well as in the LXR $\alpha$ /RXR $\alpha$ -mediated transactivation was observed as the LPL promoter was serially deleted down to nucleotide -262. Further deletion to position -109 did not have a significant effect on this activity. However, deletion to position -109 severely reduced the basal activity of the human LPL promoter but more importantly, the activity of this short proximal LPL promoter fragment remained at very low levels in the presence of overexpressed LXR $\alpha$ /RXR $\alpha$  and their ligands (Figure 3.18B). The findings of Figure 3.18 indicated that the -109/-28 proximal region of the human LPL promoter is critical for its transactivation by ligand-activated LXR $\alpha$ /RXR $\alpha$  heterodimers in HepG2 cells.

***Nuclear receptors LXR $\alpha$  and RXR $\alpha$  bind weakly to the proximal region of the LPL promoter in vivo and in vitro.***

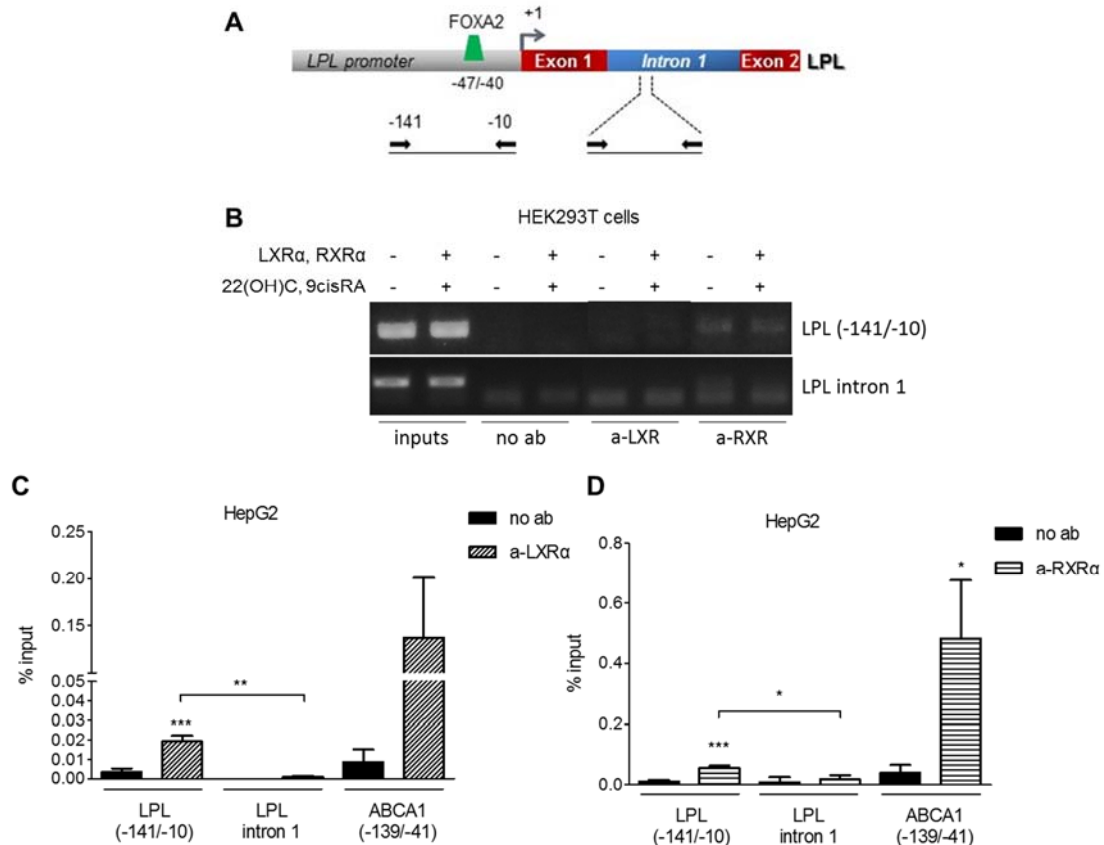
A chromatin immunoprecipitation experiment in vivo was performed in order to monitor the recruitment of LXR $\alpha$  and RXR $\alpha$  to the human LPL promoter in HEK293T cells that express exogenous LXR $\alpha$ /RXR $\alpha$  in the presence of their ligands, 22(OH)C and 9cisRA. However, we did not observe any binding of LXR $\alpha$  or RXR $\alpha$  to the -141/-10 region of LPL promoter (Figure 3.19B). We performed also a series of chromatin immunoprecipitation assays in HepG2 cells, monitoring the binding of endogenous LXR $\alpha$ /RXR $\alpha$  receptors to the LPL promoter. As shown in Figure 3.19C, LXR $\alpha$  bound specifically to the -141/-10 but not to the intron 1 of the human LPL gene that was used as a negative control. Of note, this binding was significantly weaker compared to the binding to LXR $\alpha$  to the human ABCA1 promoter region -139/-41 that was used as a positive control. Similar results were obtained when the chromatin immunoprecipitation experiment was performed using an antibody specific for RXR $\alpha$  (Figure 3.19D).



**Figure 3.18. Transactivation of the human LPL promoter by LXR $\alpha$ /RXR $\alpha$  heterodimer requires the proximal -109/-28 region.** (A) Schematic representation of the 5'-deletion fragments of the (-883/+39) human LPL promoter that were cloned upstream of the luciferase reporter gene (Luc) and used in the transactivation experiments of panel B. The FOXA2 binding site at the region -47/-40 is indicated. (B) HepG2 cells were transiently transfected with the hLPL-luc reporter plasmids (1 $\mu$ g), shown in panel A, along with a  $\beta$ -galactosidase expression plasmid (1 $\mu$ g) in the presence or absence of expression vectors for LXR $\alpha$ , RXR $\alpha$  (0.5 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M each) for 24 hours or left untreated. The normalized, relative luciferase activity ( $\pm$ SD) calculated from at least three independent experiments performed in duplicate is presented with a histogram. \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .

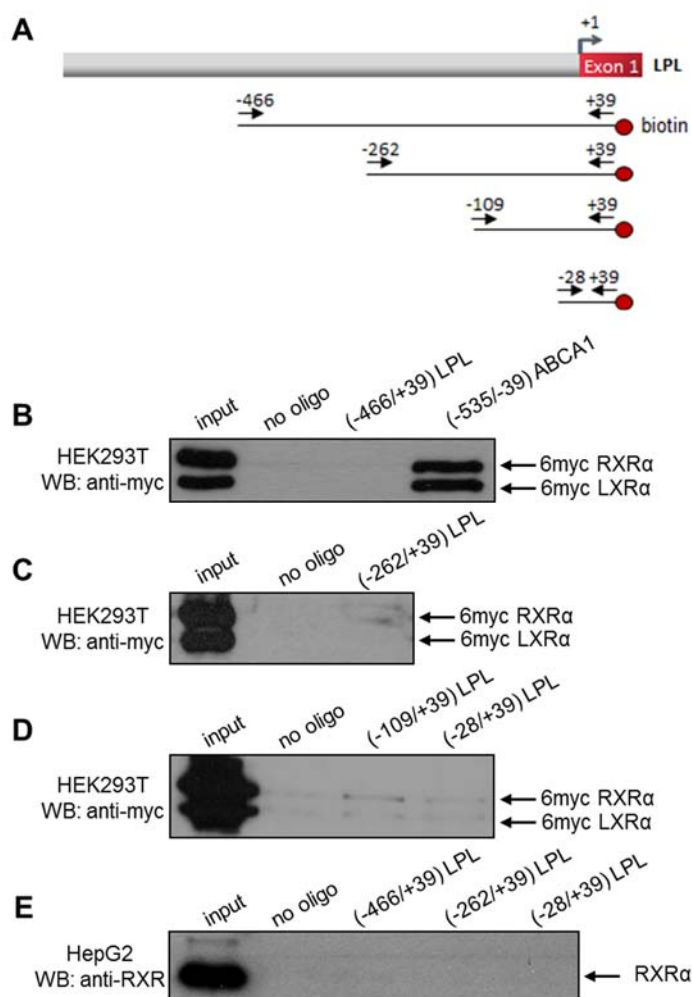
Binding of LXR $\alpha$ /RXR $\alpha$  heterodimers to the LPL promoter *in vitro* was established using the DNA-affinity precipitation assays in HEK293T and HepG2 cells. For this purpose, biotinylated PCR fragments corresponding to the LPL promoter regions -466/+39, -262/+39, -109/+39 and -28/+39 (Figure 3.20A) were amplified, coupled to streptavidin dynabeads and incubated with extracts from HEK293T cells

overexpressing the two nuclear receptors LXR $\alpha$ /RXR $\alpha$ . In agreement with the findings of Figure 3.19, very weak binding of LXR $\alpha$ /RXR $\alpha$  heterodimers only to the -109/-39 human LPL promoter was observed in HEK293T cells (Figure 3.20B-D).



**Figure 3.19. Nuclear receptors LXR $\alpha$  and RXR $\alpha$  bind weakly to the proximal human LPL promoter in vivo.** (A) Schematic representation of the LPL gene, showing by arrows the location of the primer sets (proximal promoter region -141/-10 and intron 1,) that were utilized in the chromatin immunoprecipitation assays of panels B, C and D. (B) HEK293T cells were transiently transfected with the expression vectors for LXR $\alpha$ , RXR $\alpha$  (10 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M each) for 24 hours or left untreated. Cells were subjected to chromatin immunoprecipitation using an anti-LXR $\alpha$  antibody or an anti-RXR $\alpha$  antibody or no antibody as negative control (no ab). The immunoprecipitated chromatin was detected by PCR using primers corresponding to the proximal (-141/-10) region of the human LPL promoter or to a distal region in the 1st intron of the LPL gene. (C and D) HepG2 cells were subjected to chromatin immunoprecipitation using an anti-LXR $\alpha$  antibody (panel C) or an anti-RXR $\alpha$  antibody (panel D) or no antibody as negative control (no ab). The immunoprecipitated chromatin was detected by reverse transcription quantitative PCR using primers corresponding to the proximal (-141/-10) region of the human LPL promoter or to a distal region in the 1st intron of the LPL gene or to the proximal (-139/-41) region of the human ABCA1 promoter (positive control). Results from reverse transcription quantitative PCR are expressed as binding relative to the input (%). Each value represents the average ( $\pm$ SD) from four independent experiments. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .

However, endogenous RXR $\alpha$  present in nuclear extracts from HepG2 cells did not bind to the LPL biotinylated promoter fragments (Figure 3.20E), indicating the weak binding of nuclear receptors to the LPL gene.

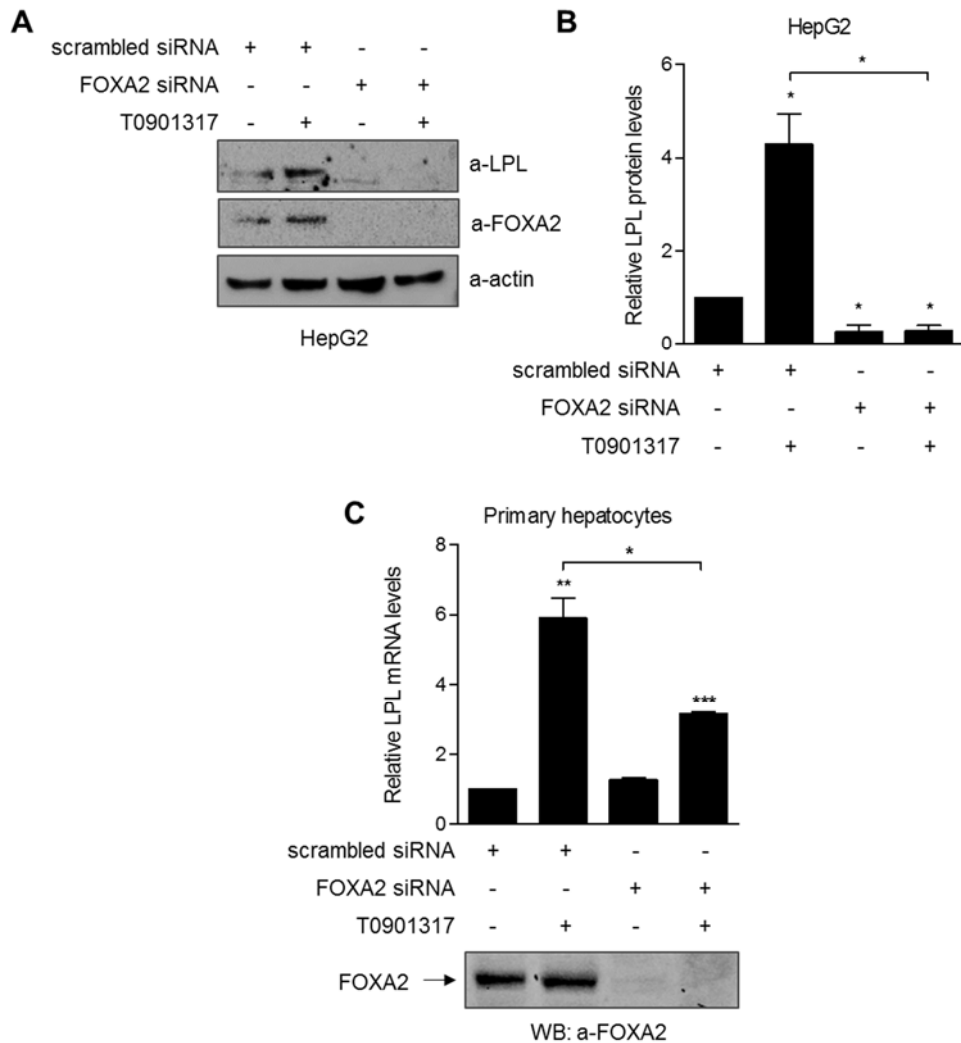


**Figure 3.20. Nuclear receptors LXR $\alpha$  and RXR $\alpha$  bind weakly to the LPL promoter in vitro.** (A) Schematic representation of the human LPL promoter showing the biotinylated promoter fragments used for the experiments of panels B-D and the primer sets (arrows) used for the amplification of the fragments. (B-D) DNA-affinity precipitation experiments using whole cell extracts from HEK293T cells transiently transfected with expression vectors for 6myc-LXR $\alpha$  (12.5 $\mu$ g) and 6myc-RXR $\alpha$  (10 $\mu$ g) and biotinylated oligonucleotides corresponding to the -466/+39, -262/+39, -109/+39 and -28/+39 regions of the human LPL promoter or the -535/-39 region of the human ABCA1 promoter. Oligonucleotide-bound LXR $\alpha$ /RXR $\alpha$  were detected by Western blotting using the anti-myc antibody. The experiments in B-D were performed three times and representative images are presented. (E) DNA-affinity precipitation using nuclear extracts from HepG2 cells and biotinylated PCR fragments corresponding to the -466/+39, -262/+39 and -28/+39 region of the human LPL promoter. DNA-bound RXR $\alpha$  was detected by Western blotting using the a-RXR $\alpha$  antibody.

***FOXA2 is required for the induction of the human and mouse LPL genes by the LXR ligand T0901317.***

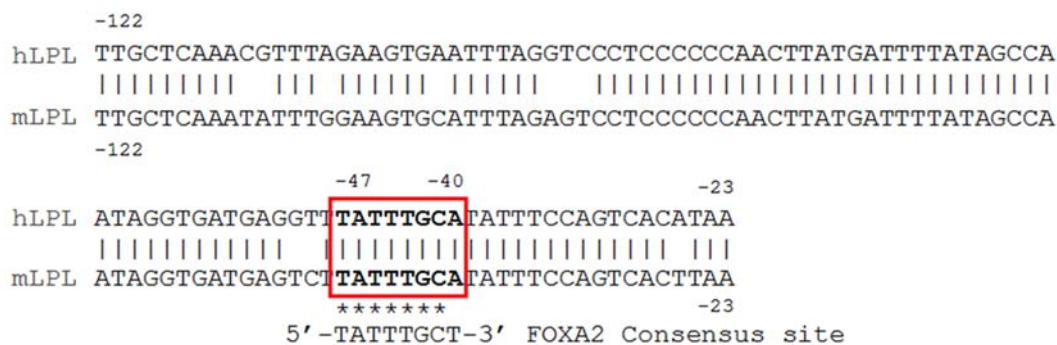
We hypothesized that LPL promoter induction by LXR $\alpha$ /RXR $\alpha$  is indirect and may require additional transcription factors, because of the weak or absence of binding of LXR $\alpha$ /RXR $\alpha$  to the LPL promoter. FOXA2 forkhead box transcription factor could be a possible mediator, because we showed in the first part that the proximal promoter of the human lipoprotein lipase gene bears a binding site for this factor at position -47 to -40 and that FOXA2 is important for the activity of this promoter in hepatic cells. Indeed, this FOXA2 binding site is located in the region of the human LPL promoter that is required for the induction by LXR $\alpha$ /RXR $\alpha$  (Figure 3.18B).

To investigate the involvement of FOXA2 in the regulation of LPL gene expression by oxysterols, we silenced endogenous FOXA2 expression in HepG2 cells. Cells were treated with the LXR ligand T0901317 in the presence a FOXA2-specific siRNA or a control (scrambled) siRNA and the protein levels of LPL were determined by immunoblotting using actin as an internal control. As shown in Figure 3.21A and B, a FOXA2-specific siRNA abolished both the basal and the T0901317-inducible expression of the LPL gene. We confirmed these findings at the mRNA level, in primary hepatocytes, isolated from the livers of C57BL/6J mice. Silencing of endogenous FOXA2 in primary hepatocytes by the FOXA2-specific siRNA inhibited significantly the induction of LPL gene by T0901317 as determined by reverse transcription quantitative PCR (Figure 3.21C). The findings of Figure 3.21 indicated that FOXA2 is essential for the upregulation of the mouse and human LPL gene by the LXR ligand T0901317. Of note, alignment of the mouse and human LPL promoters showed that the FOXA2 binding site at position -47/-40 is fully conserved in the two species (Figure 3.22B), suggesting a possible common regulatory mechanism of LPL gene regulation by FOXA2 and LXR $\alpha$ /RXR $\alpha$ .



**Figure 3.21. Inactivation of the hepatic factor FOXA2 by siRNA silencing inhibits LPL gene induction by T0901317.** (A) HepG2 cells were transfected with 50nM of a scrambled siRNA or a FOXA2 specific siRNA and were treated with the synthetic agonist T0901317 (1 $\mu$ M) for 24 hours or left untreated. Protein levels of LPL, FOXA2 and actin (loading control) were determined by immunoblotting using the corresponding antibodies. The experiment was performed three times and representative images are presented. (B) Levels of LPL and actin were quantified by densitometry and the normalized relative protein levels are shown as a histogram. (C) Primary mouse hepatocytes were transfected with 50nM of a scrambled siRNA or a FOXA2 specific siRNA and were treated with the synthetic agonist T0901317 (1 $\mu$ M) for 24 hours or left untreated. Total RNA was extracted and LPL mRNA levels were determined by quantitative reverse transcription PCR and normalized relative to the HPRT mRNA levels. Results are expressed as mean ( $\pm$ SEM) from five independent experiments and shown as a histogram. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .

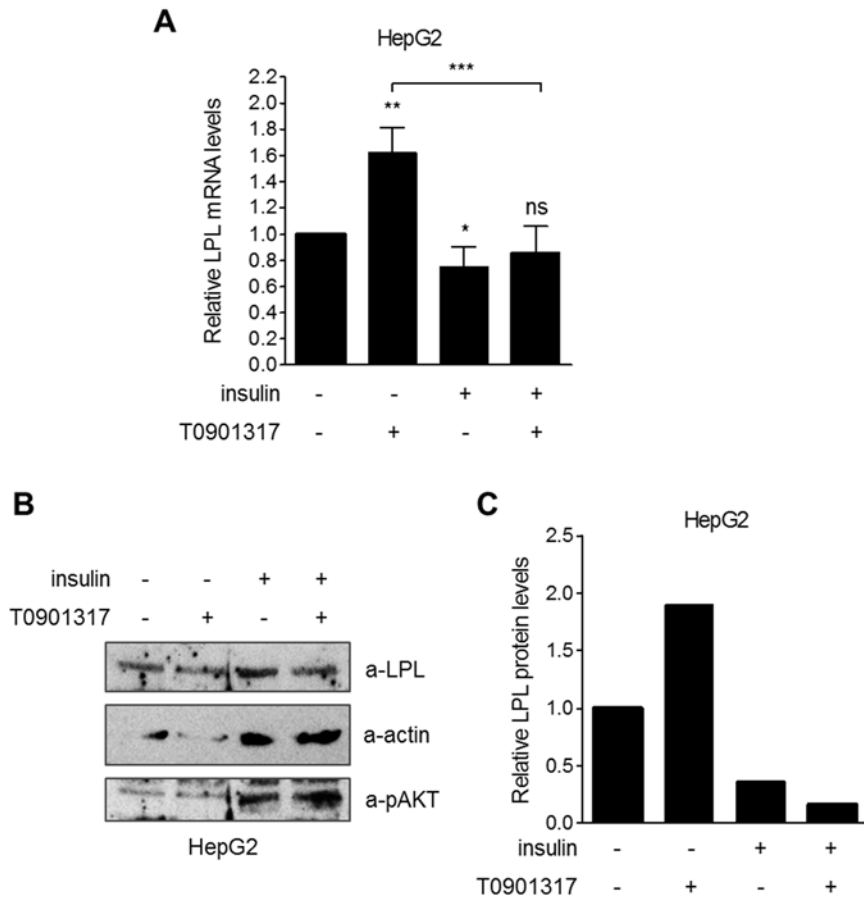




**Figure 3.22. Sequence alignment of the mouse and human LPL sequences in the promoter region.** The human LPL promoter including the FOXA2 binding site was aligned with the corresponding mouse LPL sequence. Asterisks indicate that the FOXA2 binding site is conserved in the two species. Identical nucleotides are indicated by vertical lines under the sequences. Numbers refer to distance in base pairs from the transcription start site of the human and mouse genes and the red box shows the FOXA2 binding site.

***Insulin inhibits the induction of the LPL gene by oxysterols.***

In Part I, we showed that treatment of HepG2 cells with insulin leads to the phosphorylation of AKT via the IR/IRS/PI3K/AKT signaling pathway which causes the nuclear export of FOXA2 and the inhibition of the basal LPL gene expression. In order to investigate the effect of insulin in the induction of LPL gene by LXR $\alpha$  and oxysterols, we treated HepG2 cells simultaneously with insulin and T0901317 agonist for 24 hours, and the mRNA and protein levels of LPL were determined. As shown in Figure 3.23A-C, insulin abolished the induction of the LPL gene, both at the mRNA and protein levels, by T0901317 in HepG2 cells. This finding, combined with the data of Figure 3.22 strongly indicate that induction of the LPL gene by oxysterols in hepatic cells requires the presence of FOXA2 in the nucleus.

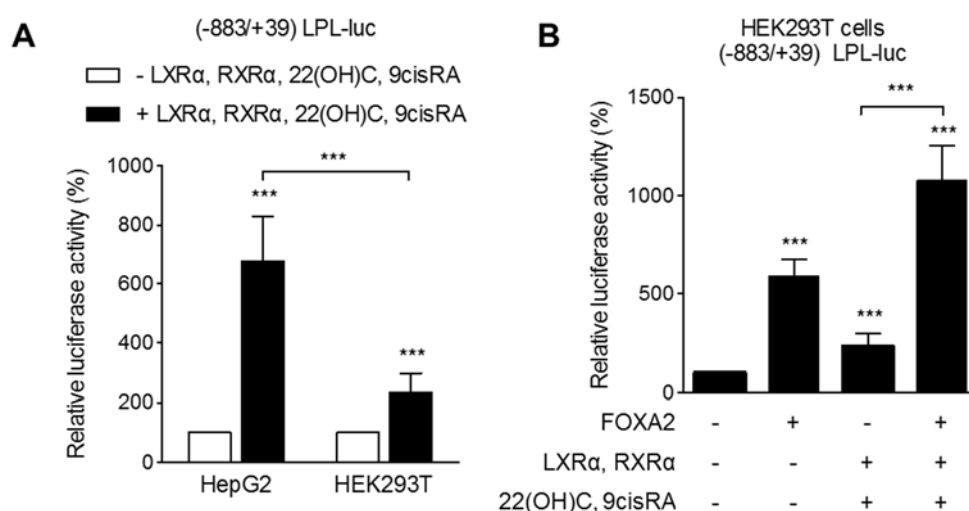


**Figure 3.23. Inactivation of the hepatic factor FOXA2 by insulin inhibits LPL gene induction by T0901317.** (A) HepG2 cells were treated with insulin (500nM) or/and T0901317 agonist (1 $\mu$ M) for 24 hours or left untreated and total RNA was extracted. LPL mRNA levels were determined by reverse transcription quantitative PCR, normalized relative to the mRNA levels of the GUSB gene and shown as a histogram. Results are expressed as mean ( $\pm$ SD) from three independent experiments. (B) HepG2 cells were treated with insulin (500nM) or/and T0901317 agonist (1 $\mu$ M) for 24 hours or left untreated. Protein levels of LPL, pAKT and actin (loading control) were determined by immunoblotting using the corresponding antibodies. (C) Levels of LPL and actin were quantified by densitometry and the normalized relative protein levels of LPL are shown as a histogram. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ; ns, not significant.

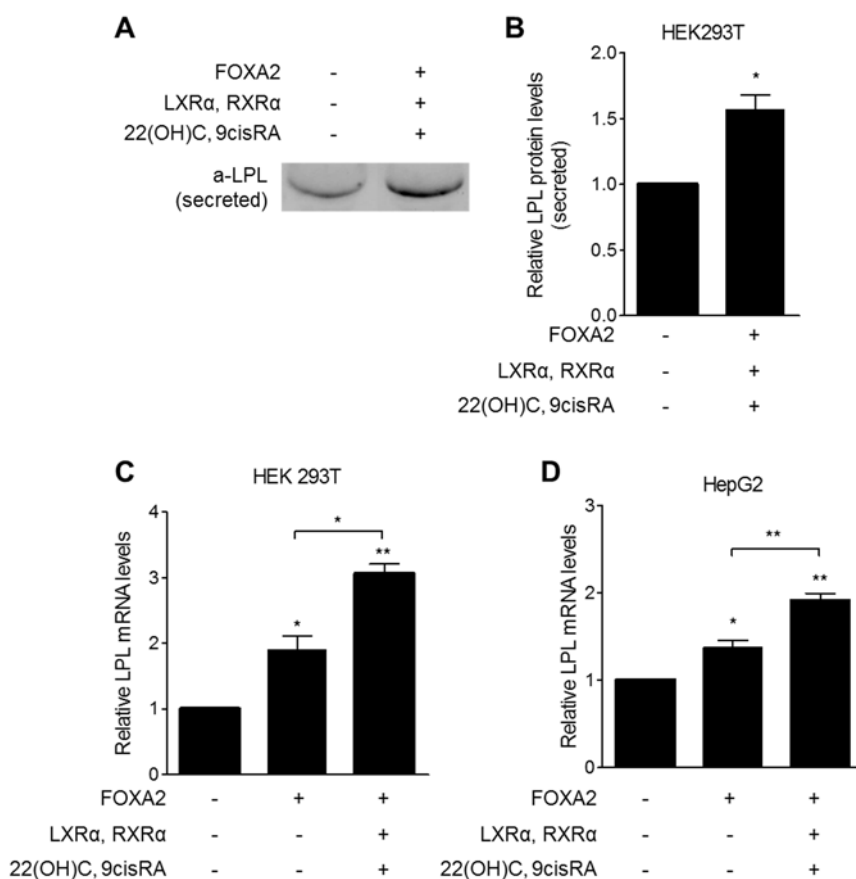
***LXR $\alpha$  and RXR $\alpha$  synergistically with FOXA2 transactivate the human LPL promoter, but they do not bind to the LPL promoter, even in the presence of FOXA2.***

The requirement of FOXA2 for the upregulation of the LPL gene by oxysterols suggested that FOXA2 and LXR $\alpha$ /RXR $\alpha$  may cooperate and transactivate the human LPL promoter in a synergistic fashion. First, we showed that LXR $\alpha$ /RXR $\alpha$

heterodimers transactivated the -883/+39 LPL promoter 3 times more strongly in HepG2 cells that express endogenous FOXA2 than in HEK293T cells that do not (Figure 3.24A). Second, ectopically expressed FOXA2 in HEK293T cells restored the transactivation of the LPL promoter by ligand-activated LXR $\alpha$ /RXR $\alpha$  to levels similar to the ones in HepG2 cells (Figure 3.24B). Moreover, simultaneous overexpression of FOXA2, LXR $\alpha$  and RXR $\alpha$ , in the presence of their ligands, in HEK293T cells caused a synergistic transactivation (stronger than the sum of the individual factors) of the LPL promoter (Figure 3.24B). In line with these findings, exogenous FOXA2, LXR $\alpha$ , RXR $\alpha$  and their ligands in HEK293T cells caused a 1.5-fold increase in the protein levels of the secreted LPL (Figure 3.25A and B) and a 3-fold increase in the mRNA levels of the LPL (Figure 3.25C). Similar increase in the mRNA levels of the LPL was observed when these factors (FOXA2, LXR $\alpha$ , RXR $\alpha$ ) were overexpressed in HepG2 cells (Figure 3.25D).



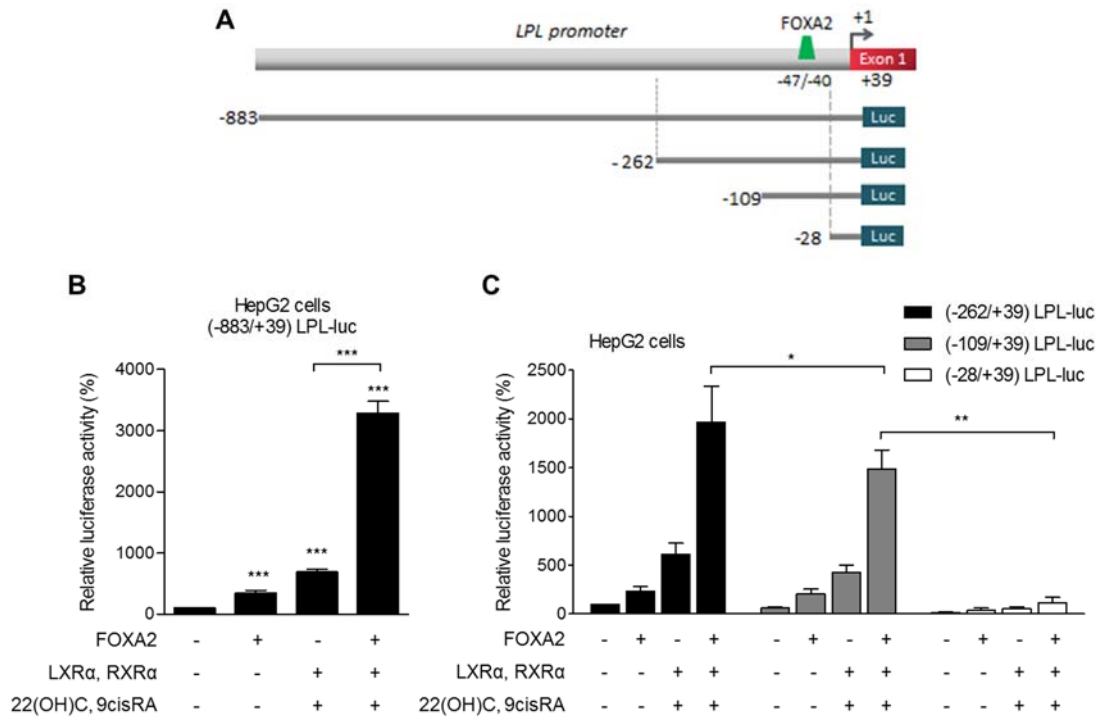
**Figure 3.24. FOXA2 and LXR $\alpha$ /RXR $\alpha$  heterodimers transactivate the LPL promoter in a synergistic manner in HEK293T cells.** (A) HepG2 and HEK293T cells were transiently transfected with the (-883/+39) LPL-luc reporter plasmid (1 $\mu$ g), along with a  $\beta$ -galactosidase expression plasmid (1 $\mu$ g) in the presence or absence of expression vectors for LXR $\alpha$ , RXR $\alpha$  (0.5 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. The normalized, relative luciferase activity ( $\pm$ SD) calculated from at least four independent experiments performed in duplicate is presented with histograms. (B) HEK293T cells were transiently transfected with the (-883/+39) LPL-luc reporter plasmid (1 $\mu$ g), along with a  $\beta$ -galactosidase expression plasmid (1 $\mu$ g) in the presence or absence of expression vectors for FOXA2 (0.25 $\mu$ g), LXR $\alpha$ , RXR $\alpha$  (0.5 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. The normalized, relative luciferase activity ( $\pm$ SD) calculated from at least three independent experiments performed in duplicate is presented with histograms. \*\*\*,  $p < 0.001$ .



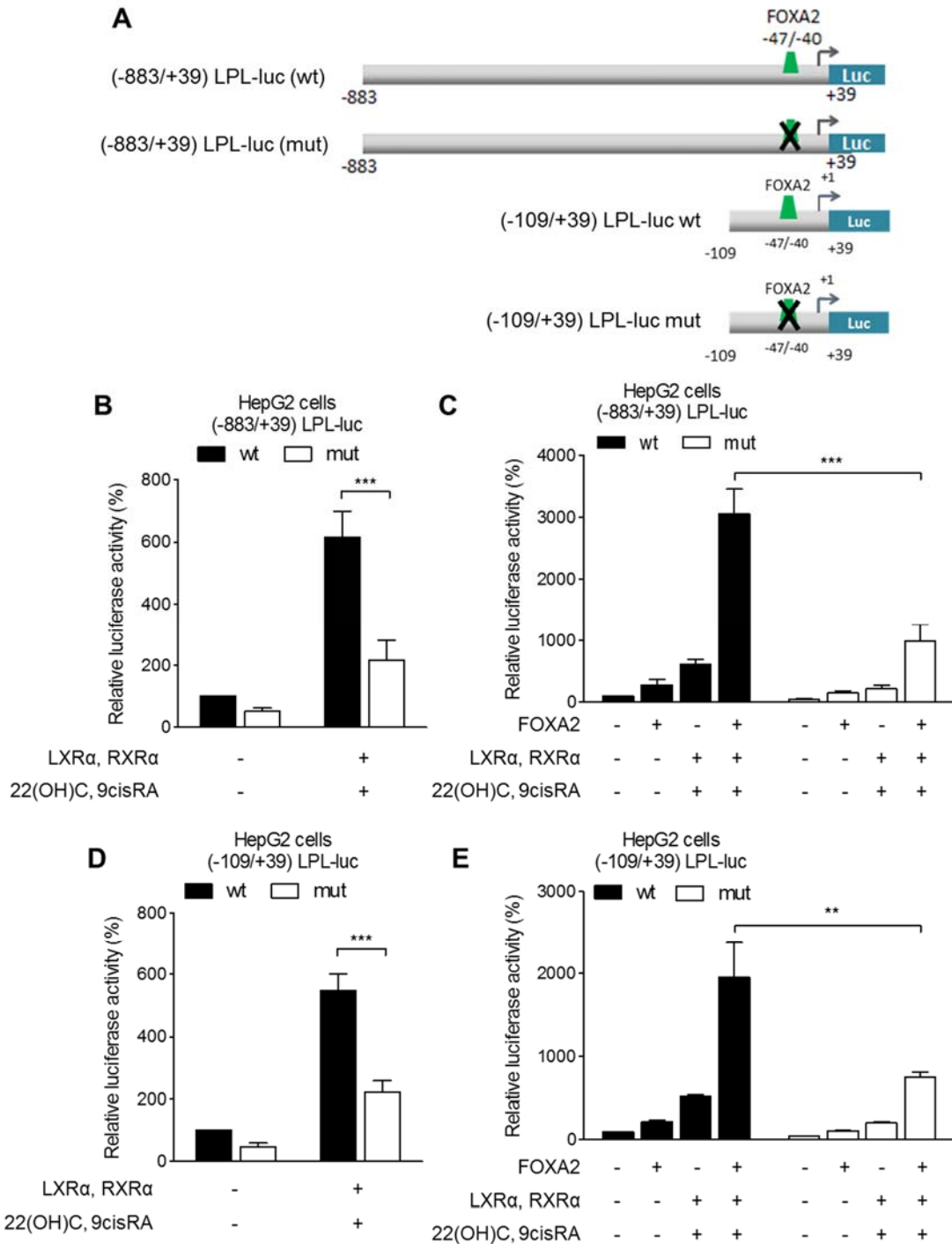
**Figure 3.25. FOXA2 and LXR $\alpha$ /RXR $\alpha$  heterodimers induce the expression of LPL gene in HEK293T cells and HepG2 cells.** (A) HEK293T cells were transiently transfected with the expression vectors for FOXA2 (1.5 $\mu$ g), LXR $\alpha$ , RXR $\alpha$  (3 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. Protein levels of secreted LPL were determined by immunoblotting using the anti-LPL antibody. The experiment was performed three times and representative images are presented. (B) Levels of LPL were quantified by densitometry and the normalized relative protein levels are shown as a histogram. (C and D) HEK293T (panel C) and HepG2 (panel D) cells were transiently transfected with the expression vectors for FOXA2 (1.5 $\mu$ g), LXR $\alpha$ , RXR $\alpha$  (3 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. Total RNA was extracted, LPL mRNA levels were determined by reverse transcription quantitative PCR, normalized relative to the mRNA levels of the GUSB gene. Results are expressed as mean ( $\pm$ SD) from at least three independent experiments and shown as histograms. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$

We performed a series of transactivation assays in HepG2 cells using the human -883/+39 LPL promoter or its 5' deletions (Figure 3.26A) along with expression vectors for FOXA2 and LXR $\alpha$ /RXR $\alpha$  individually or in combination. As shown in Figure 3.26B, all three factors and their ligands transactivated synergistically the -883/+39 LPL promoter. Importantly, the synergistic transactivation by FOXA2 and ligand-activated LXR $\alpha$ /RXR $\alpha$  was abolished when the

LPL promoter was deleted to nucleotide -28 suggesting that the FOXA2 binding site at position -47/-40 is critical for this synergistic regulation (Figure 3.26C).



**Figure 3.26. FOXA2 element is required for LPL gene induction by LXRα/RXRα in HepG2 cells.** (A) Schematic representation of the 5'-deletion fragments of the (-883/+39) human LPL promoter that were used in the transactivation experiments of panels B and C. The FOXA2 binding site at the region -47/-40 is indicated. (B and C) HepG2 cells were transiently transfected with the (-883/+39) hLPL-luc reporter plasmid (panel B), (-262/+39), (-109/+39) and (-28/+39) hLPL-luc (panel C) reporter plasmids (1μg), along with a β-galactosidase expression plasmid (1μg) in the presence or absence of expression vectors for FOXA2 (0.25μg), LXRα or RXRα (0.5μg each) and were treated with the ligands 22(OH)C and 9cisRA (1μM) for 24 hours or left untreated. The normalized, relative luciferase activity (±SD) calculated from at least five (panel B) or two (panel C) independent experiments performed in duplicate is presented with histograms. \*, p<0.05; \*\*, p < 0.01; \*\*\*, p < 0.001.

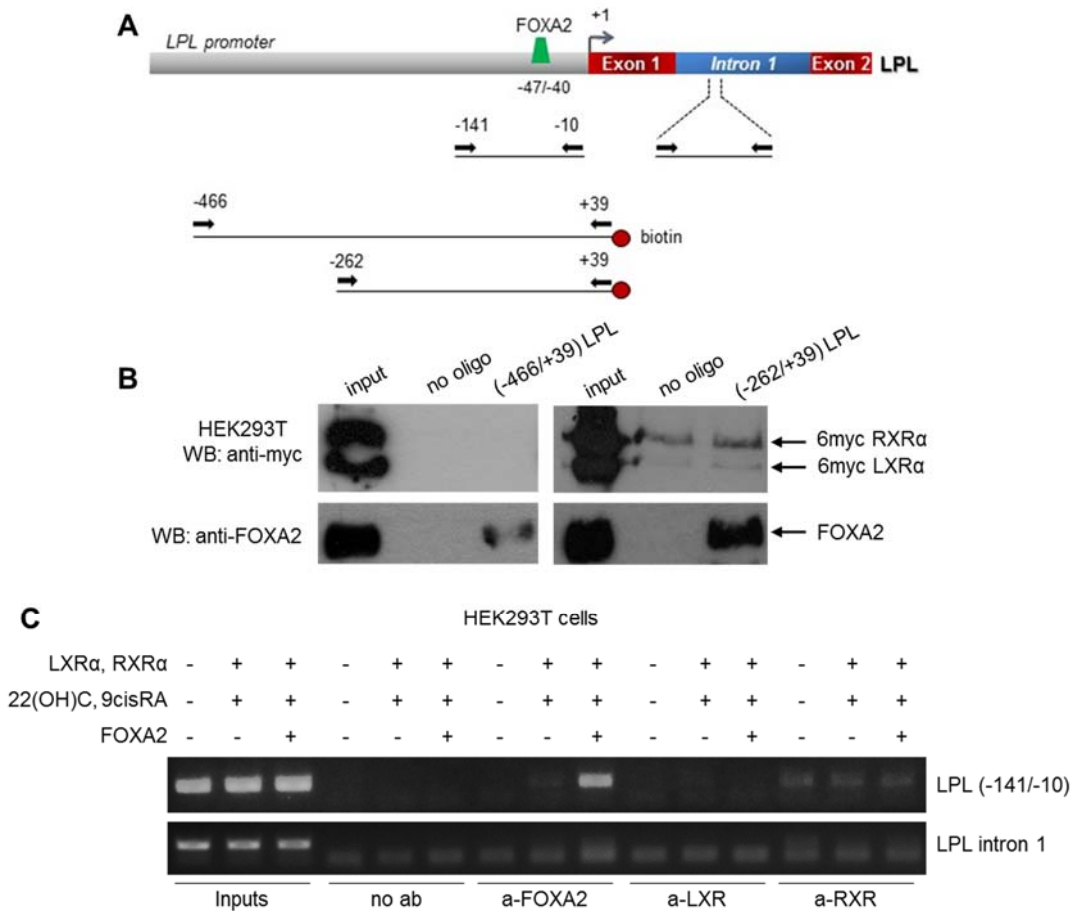


**Figure 3.27. Transactivation of LPL promoter by LXR $\alpha$ /RXR $\alpha$  and synergistic induction of LPL promoter by FOXA2 and LXR $\alpha$ /RXR $\alpha$  is dependent on the FOXA2 binding site.** (A) Schematic representation of the wild type -883/+39 and -109/+39 LPL promoter constructs and the corresponding construct bearing mutations in the FOXA2 site. (B and D) HepG2 cells were transiently transfected with the WT or mutated (-883/+39) LPL-luc and (-109/+39) LPL-luc reporter plasmids (1 $\mu$ g) along with the  $\beta$ -galactosidase expression vector (1 $\mu$ g), in the presence or in the absence of expression vectors for LXR $\alpha$  and RXR $\alpha$  (0.5 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. The normalized, relative luciferase activity ( $\pm$ SD) calculated from four (panel B) or two (panel D) independent experiments performed in duplicate is presented with histograms. (C and E) HepG2 cells were transiently transfected with the WT or mutated (-

883/+39) LPL-luc and (-109/+39) LPL-luc reporter plasmids (1 $\mu$ g) along with the  $\beta$ -galactosidase expression vector (1 $\mu$ g), in the presence or in the absence of expression vectors for FOXA2 (0.25 $\mu$ g), LXR $\alpha$  and RXR $\alpha$  (0.5 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. The normalized, relative luciferase activity ( $\pm$ SD) calculated from at least four (panel C) or three (panel E) independent experiments performed in duplicate is presented with histograms. \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .

This finding was confirmed using two mutant LPL promoter constructs, -883/+39 and -109/+39 (Figure 3.27A), which are bearing nucleotide substitutions within the FOXA2 binding site that abolished the binding of FOXA2 to this site (Figure 3.7). As shown in Figure 3.27B, the mutations in the FOXA2 site strongly inhibited the transactivation of the LPL promoter by ligand-activated LXR $\alpha$ /RXR $\alpha$  and abolished the synergistic transactivation of the LPL promoter by FOXA2 and LXR $\alpha$ /RXR $\alpha$  heterodimers (Figure 3.27C). These transactivation experiments were reproduced using the proximal LPL promoter -109/+38, wt or mutated in the FOXA2 site, with similar results (Figure 3.27D and E), confirming the importance of FOXA2 binding site for the synergistic transactivation of the human LPL promoter by LXR $\alpha$ /RXR $\alpha$ .

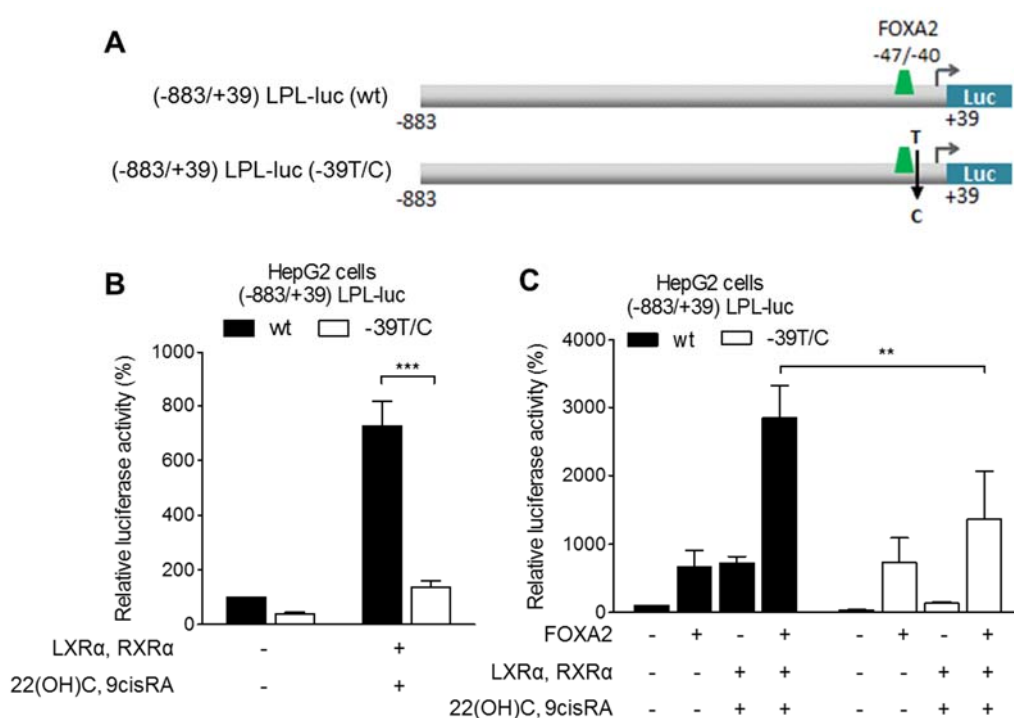
Next, we investigated the recruitment of LXR $\alpha$  and RXR $\alpha$  to the LPL promoter in HEK293T cells that express exogenous LXR $\alpha$ /RXR $\alpha$  and also FOXA2 that is required for the synergism with the nuclear receptors. We performed DNA-affinity precipitation assay, using the biotinylated PCR fragments corresponding to the LPL promoter regions -466/+39 and -262/+39 (Figure 3.28A bottom), and as shown in Figure 3.28B, LXR $\alpha$ /RXR $\alpha$  heterodimers did not bind to the promoter, even in the presence of FOXA2. As positive control we used FOXA2 which bound strongly to the -466/+39 and -262/+39 LPL promoter fragments. Similar results were obtained using chromatin immunoprecipitation experiment in HEK293T cells overexpressing LXR $\alpha$ /RXR $\alpha$  in the presence of their ligands, and FOXA2. We did not observe any binding of LXR $\alpha$  or RXR $\alpha$  to the -141/-10 region of LPL promoter, in contrast to FOXA2 that bound very strongly to the -141/-10 promoter region but not to the 1<sup>st</sup> intron of the LPL gene (Figure 3.28C).



**Figure 3.28. Nuclear receptors LXR $\alpha$  and RXR $\alpha$  do not bind to the LPL promoter, even in the presence of FOXA2.** (A) Schematic representation of the LPL gene, showing by arrows the location of the primer sets that were utilized in the chromatin immunoprecipitation assays of panel C (distal regions: intron 1, proximal promoter regions: -141/-10) or in the DNA-affinity precipitation assays of panel B for the amplification of the biotinylated fragments (promoter regions: -466/+39 and -262/+39). (B) DNA-affinity precipitation experiments using whole cell extracts from HEK293T cells transiently transfected with expression vectors for 6myc-LXR $\alpha$  (12.5 $\mu$ g) and 6myc-RXR $\alpha$  (10 $\mu$ g), or FOXA2 (13 $\mu$ g) and biotinylated oligonucleotides corresponding to the -466/+39 and -262/+39, region of the human LPL promoter. Oligonucleotide-bound LXR $\alpha$ /RXR $\alpha$  or FOXA2 were detected by Western blotting using the anti-myc or anti-FOXA2 antibody, respectively. (C) HEK293T cells were transiently transfected with the expression vectors for FOXA2, LXR $\alpha$ , RXR $\alpha$  (10 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M each) for 24 hours or left untreated. Cells were subjected to chromatin immunoprecipitation using an anti-LXR $\alpha$  or an anti-RXR $\alpha$  antibody or no antibody as negative control (no ab) or an anti-FOXA2 as positive control. The immunoprecipitated chromatin was detected by PCR using primers corresponding to the proximal (-141/-10) region of the human LPL promoter or to a distal region in the 1st intron of the LPL gene.



In part I we showed that the -39T/C nucleotide substitution in LPL promoter that is located one nucleotide downstream of the FOXA2 binding site reduced the basal promoter activity but did not affect FOXA2 binding and transactivation (Figure 3.9 and 3.10). We performed luciferase activity assays in HepG2 cells using a mutant -883/+39 LPL promoter that is bearing this mutation (Figure 3.29A) and as shown in Figure 3.29B and C, the -39T/C mutation inhibited strongly (about ~79%) the transactivation of the LPL promoter by ligand-activated LXR $\alpha$ /RXR $\alpha$  (panel B) and reduced about 50% the synergistic transactivation of the LPL promoter by FOXA2 and LXR $\alpha$ /RXR $\alpha$  heterodimers (panel C).



**Figure 3.29. A single nucleotide substitution downstream of the FOXA2 binding site reduced the synergistic transactivation of LPL promoter by FOXA2 and LXR $\alpha$ /RXR $\alpha$ .** (A) Schematic representation of the wild type LPL promoter construct and the corresponding construct bearing a single T/C substitution (at nucleotide -39) after the FOXA2 site. (B) HepG2 cells were transiently transfected with the (-883/+39) LPL-luc wt or -39T/C reporter plasmids (1 $\mu$ g) along with the  $\beta$ -galactosidase expression vector (1 $\mu$ g), in the presence or in the absence of expression vectors for LXR $\alpha$ , RXR $\alpha$  (0.5 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. (C) HepG2 cells were transiently transfected with the wt or mutated (-883/+39) LPL-luc reporter plasmids (1 $\mu$ g) along with the  $\beta$ -galactosidase expression vector (1 $\mu$ g), in the presence or in the absence of expression vectors for FOXA2 (0.25 $\mu$ g), LXR $\alpha$ , RXR $\alpha$  (0.5 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. (B and C) The normalized, relative luciferase activity ( $\pm$ SD) calculated from three independent experiments performed in duplicate is presented with histograms. \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .

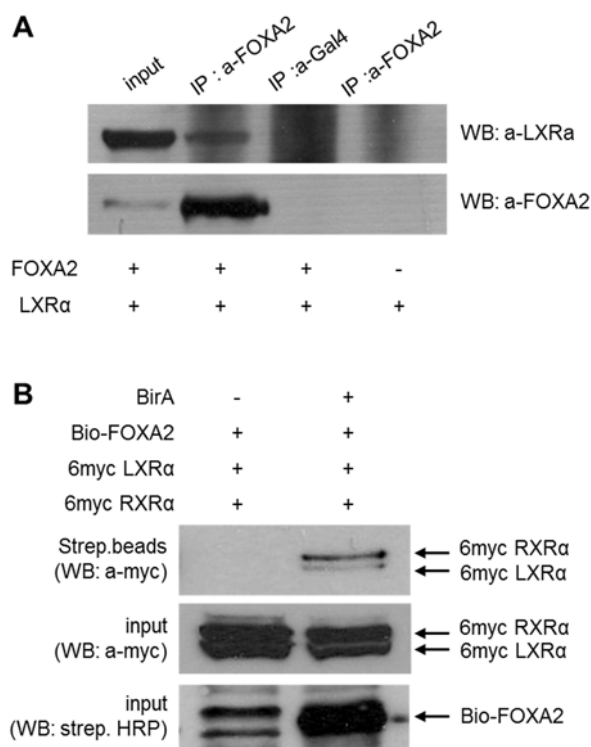
The data of Figures 3.9, 3.10 and 3.29 indicate that the -39T/C mutation in the human LPL promoter reduces basal promoter activity and its transactivation by LXR $\alpha$ /RXR $\alpha$  or its synergistic transactivation by LXR $\alpha$ /RXR $\alpha$  and FOXA2 but does not affect FOXA2 binding and transactivation, in hepatic cells, suggesting the involvement of additional, yet unknown factor(s) in the regulation of LPL promoter via this region.

***Physical interactions between FOXA2 and nuclear receptors LXR $\alpha$  and RXR $\alpha$ .***

The functional cooperation between FOXA2 and LXR $\alpha$ /RXR $\alpha$  in the transactivation of the human LPL promoter strongly suggested that these factors physically interact with each other. This hypothesis was validated by several complementary approaches. Physical interactions between FOXA2 and LXR $\alpha$  in vivo were first demonstrated by a co-immunoprecipitation experiment. As shown in Figure 3.30A, LXR $\alpha$  was immunoprecipitated along with FOXA2 in HEK293T cells ectopically expressing both factors by an antibody specific for FOXA2 (top row lane 2) but not by a non-specific antibody (anti-Gal4, top row lane 3) or when FOXA2 was not expressed (top row lane 4). Binding of FOXA2 to LXR $\alpha$ /RXR $\alpha$  heterodimers was also shown by a protein-protein interaction assay which is based on protein biotinylation (463). As shown in Figure 3.30B, both LXR $\alpha$  and RXR $\alpha$  were co-purified with biotinylated FOXA2 using streptavidin agarose beads (top row lane 2) but not with non-biotinylated FOXA2 that was used as a negative control (top row lane 1).

GST pull down assays were employed in order to map the region of LXR $\alpha$  that physically interacts with FOXA2. For this purpose, full length 6myc-LXR $\alpha$  or the truncated LXR $\alpha$  forms shown in Figure 3.31A (464) were expressed in HEK293T cells and the extracts were incubated with glutathione sepharose beads coupled with GST or GST-FOXA2 purified from bacteria (Figure 3.31B). As shown in Figure 3.31C, GST-FOXA2 interacted with the full length LXR $\alpha$  and RXR $\alpha$ , and also with the truncated forms of LXR $\alpha$ , 1-163aa and 30-163aa. Further deletion of the mutant 30-163 reduced (1-127aa) or abolished (1-97aa, 98-163aa and 30-127aa) this interaction. The data from Figure 3.31 indicated that the minimal region of the LXR $\alpha$  protein that is required for interaction with FOXA2 is the region between amino acids

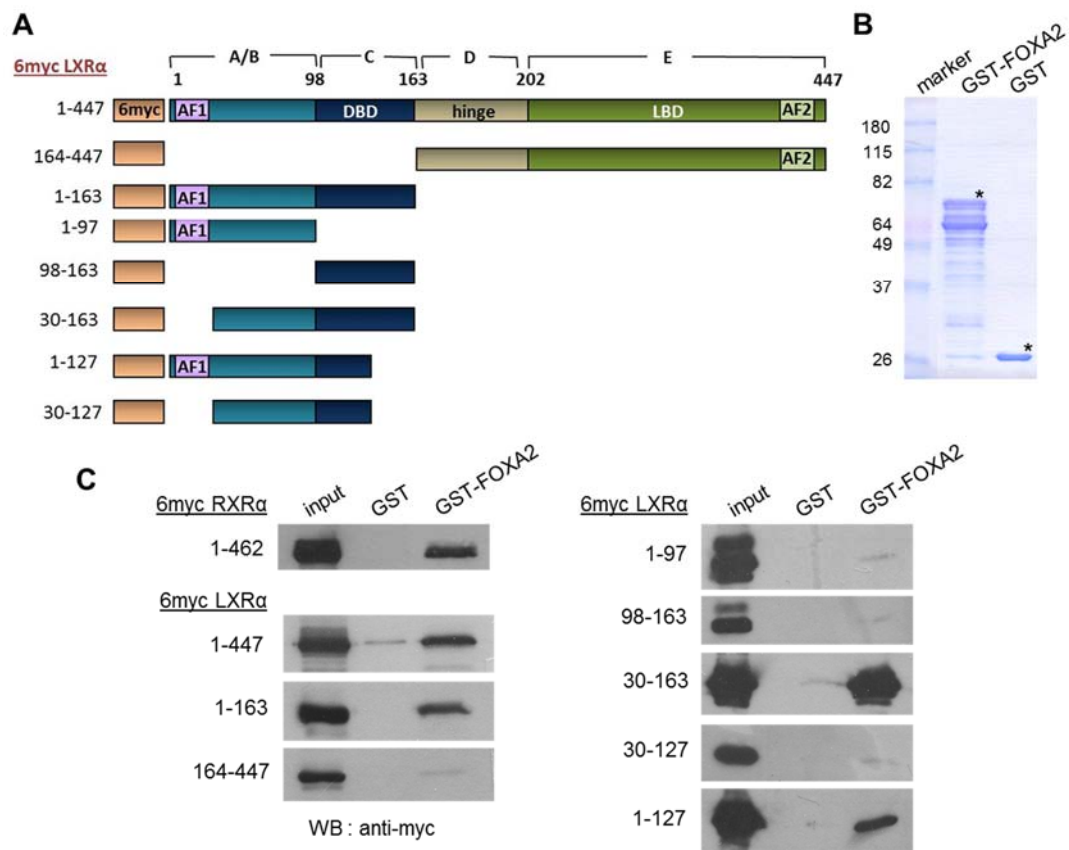
30 and 163 which contains part of the A/B domain and the DNA binding domain (DBD).



**Figure 3.30. FOXA2 physically interacts with the nuclear receptors LXRα and RXRα in vivo.** (A) HEK293T cells were transfected with expression vectors for 6myc LXRα and 6myc FOXA2 (10μg each) and subjected to immunoprecipitation (IP) using the anti-FOXA2 antibody or a nonspecific (anti-Gal4) antibody as a control. The immunoprecipitated proteins as well as the starting material (input) were detected by Western blotting using the anti-LXRα antibody or the a-FOXA2 antibody used for immunoprecipitation. The experiment was performed three times and representative images are presented. (B) Protein–protein interaction assay based on *in vivo* biotinylation was performed using whole cell extracts from HEK293T cells transiently transfected with the expression vectors for Bio-FOXA2 (7,5μg), 6myc LXRα (12,5μg) and 6myc RXRα (7,5μg) in the presence or in the absence of the biotin ligase BirA (7,5μg). Bound proteins as well as a fraction of the starting material (WCE) were detected by Western blotting using the anti-myc antibody for the detection of myc LXRα and myc RXRα or HRP-conjugated streptavidin for the detection of biotinylated FOXA2 protein. The experiment was performed two times and representative images are presented.

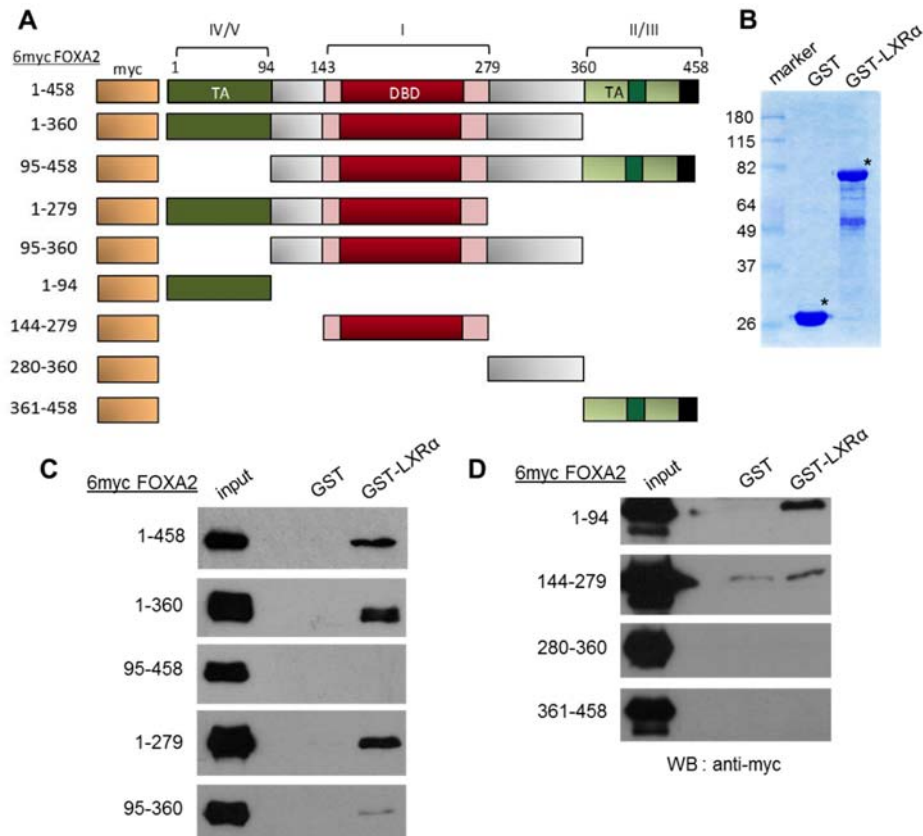
In order to map the domain of FOXA2 that is critical for the physical interactions with LXRα, we followed the reverse approach using the GST pull down assays. Specifically, full length 6myc-FOXA2 or the truncated forms shown in Figure 3.32A were expressed in HEK293T cells and the extracts were incubated with glutathione sepharose beads coupled with GST or GST-LXRα purified from bacteria

(Figure 3.32B). As shown in Figure 3.32C, GST- LXR $\alpha$  interacted with the full length FOXA2, and also with the truncated forms of FOXA2, 1-360aa and 1-279aa, which contain the transactivation domain IV/V. In contrast, GST-LXR $\alpha$  did not interact with the FOXA2 mutants that not contain the TA domain IV/V, 95-458aa and 95-360aa. Furthermore, GST-LXR $\alpha$  precipitated with the FOXA2 1-94aa transactivation domain IV/V, but not with the DNA binding domain 144-279aa, the hinge domain 280-360aa or the transactivation II/III domain 361-458aa (Figure 3.32D). The data of Figure 3.32 showed that the domain of FOXA2 that is essential for its physical interactions with LXR $\alpha$  is the transactivation domain IV/V defined by nucleotides 1 and 94.



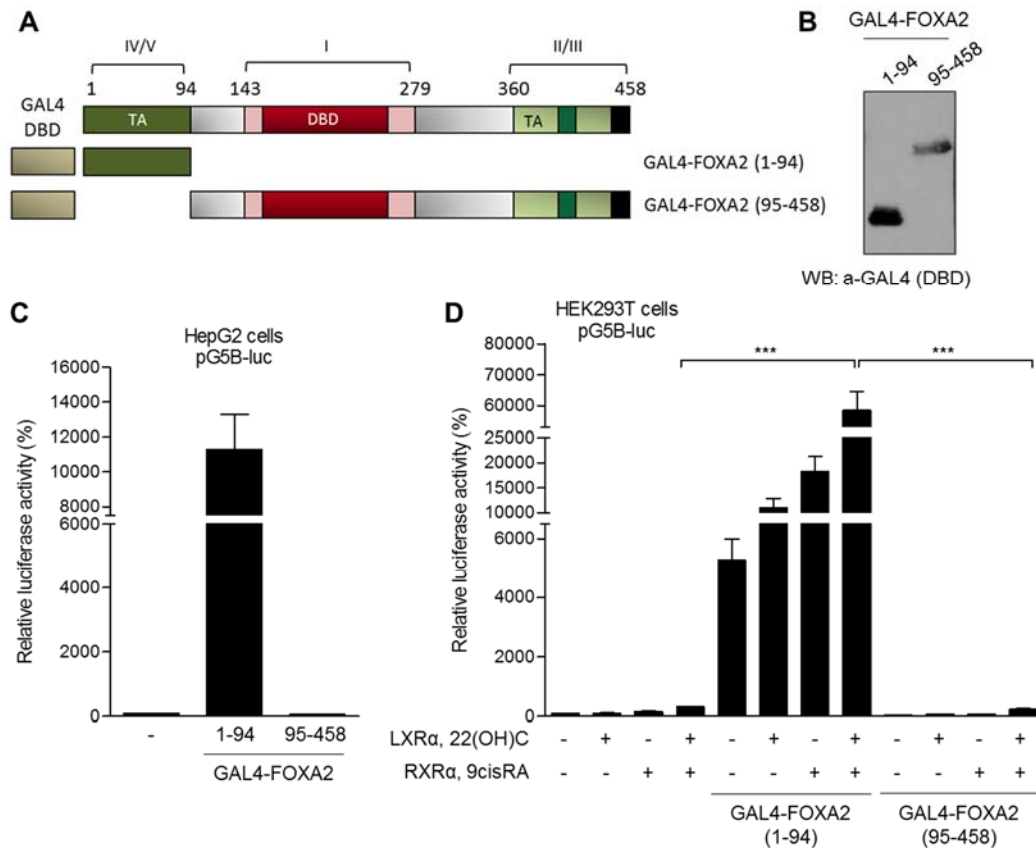
**Figure 3.31. Nuclear receptor LXR $\alpha$  physically interacts with FOXA2 in vitro via the aa 30-163 region that contains part of the A/B and the DBD domain.** (A) Schematic representation of the various 6myc-LXR $\alpha$  (wild-type and truncated) forms that were used in the GST pull-down experiments of panel C. The amino-terminal A/B domain, the DNA binding domain (DBD), the hinge (D domain) and the ligand binding domain (LBD) are presented with boxes of different colors. The activation functions 1 and 2 (AF1, AF2) of the A/B and E domain respectively, are shown as small boxes. (B) The GST or GST-FOXA2 fusion proteins were expressed in *Escherichia coli* and coupled to glutathione-Sepharose

beads. The coupling efficiency was monitored by SDS-PAGE and Coomassie brilliant blue staining. Molecular mass marker (expressed in kilodaltons) is shown on the left of the gel. The position of GST and GST-FOXA2 proteins is shown with asterisks. (C) GST and GST-FOXA2 coupled beads were allowed to interact with extracts from HEK293T cells transiently transfected with the expression vectors for RXR $\alpha$  and LXR $\alpha$  (wild-type and truncated forms) (15 $\mu$ g each). Bound proteins as well as a fraction of the starting material (input) were detected by Western blotting using the anti-myc antibody. The experiment was performed at least two times and representative images are presented.



**Figure 3.32. The transactivation IV/V domain of FOXA2 is required for the physical interaction with LXR $\alpha$ .** (A) Schematic representation of the various 6myc-FOXA2 (wild-type and truncated forms) that were used in the GST pull-down experiments of panels C and D. The FOXA2 amino-terminal transactivation domain IV/V, the DNA binding domain I (DBD) and the carboxy-terminally transactivation domain II/III are shown with boxes of different colors. (B) The GST or GST-LXR $\alpha$  fusion proteins were expressed in *Escherichia coli* and coupled to glutathione–Sepharose beads. The coupling efficiency was monitored by SDS-PAGE and Coomassie blue staining. Molecular mass marker (expressed in kilodaltons) is shown on the left of the gel. The position of GST and GST-LXR $\alpha$  proteins is shown with asterisks. (C and D) HEK293T cells were transiently transfected with the expression vectors for FOXA2 (wild-type and truncated forms) (15 $\mu$ g each) and extracts were allowed to interact with GST and GST-LXR $\alpha$  coupled beads. Bound proteins as well as a fraction of the starting material (input) were detected by Western blotting using the anti-myc antibody. In panel D, transfected cells were treated with proteasome inhibitor MG132 (10 $\mu$ M) for 6 hours to increase the stability of the short FOXA2 fragments. The experiments were performed at least two times and representative images are presented.

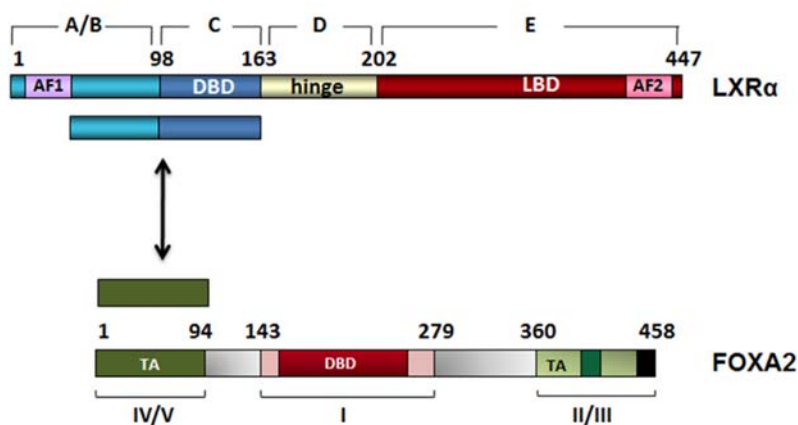
This finding was confirmed using the GAL4-based transactivation system. For this purpose, the truncated FOXA2 forms 1-94aa and 95-458aa were fused with the DNA binding domain of the yeast transactivator GAL4, generating the constructs GAL4-FOXA2 (1-94) and GAL4-FOXA2 (95-458) (Figure 3.33A, B).



**Figure 3.33. Functional interactions between LXR $\alpha$ /RXR $\alpha$  heterodimers and domain IV/V of FOXA2 in a GAL4-based transactivation system.** (A) Schematic representation of the truncated FOXA2 forms, fused with the DBD of GAL4, that were utilized in the transactivation experiments of panel C. (B) HEK293T cells were transfected with the truncated GAL4- FOXA2 expression vectors (13 $\mu$ g) and the protein levels of GAL4-FOXA2 were determined by immunoblotting using the anti-GAL4 DBD antibody. (C) HepG2 cells were transiently transfected with the pG5B-Luc reporter plasmid (1 $\mu$ g) along with the  $\beta$ -galactosidase expression vector (1 $\mu$ g) and GAL4-FOXA2 1-94 or 95-458 (1 $\mu$ g). The normalized, relative luciferase activity ( $\pm$ SD) is presented with histogram. (D) HEK293T cells were transiently transfected with the pG5B-Luc reporter plasmid (1 $\mu$ g) along with the  $\beta$ -galactosidase expression vector (1 $\mu$ g), in the presence or in the absence of expression vectors for LXR $\alpha$ , RXR $\alpha$  (0.5 $\mu$ g each) and GAL4-FOXA2 1-94 or 95-458 (1 $\mu$ g each), as indicated at the bottom of the graphs. Transfected cells were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. The normalized, relative luciferase activity ( $\pm$ SD) calculated from three independent experiments performed in duplicate is presented with histograms. \*\*\*,  $p < 0.001$ .

HepG2 cells were transfected with the reporter plasmid pG5B-luc bearing the luciferase gene under the control of a GAL4-responsive artificial promoter (G5B) in the presence of the GAL4-FOXA2 expression vectors. As shown in Figure 3.33C, the GAL4-FOXA2 (1-94), which contains the transactivation domain IV/V, transactivated strongly the pG5B-luc reporter, but the GAL4-FOXA2 (95-458) did not. Moreover, HEK293T cells were transfected with the reporter plasmid pG5B-luc in the presence or in the absence of the expression vectors GAL4-FOXA2 1-94 or 95-458, and LXR $\alpha$ , RXR $\alpha$  in the presence of their corresponding ligands. As shown in Figure 3.33D, GAL4-FOXA2 (1-94) transactivated the G5B promoter and this transactivation was enhanced by ligand activated LXR $\alpha$  or RXR $\alpha$ . Importantly, coexpression of both LXR $\alpha$  and RXR $\alpha$  caused a strong synergistic transactivation of the G5B promoter suggesting that both LXR $\alpha$  and RXR $\alpha$  physically interact with and act as super-activators of the transactivation domain IV/V of FOXA2 (Figure 3.33D). In agreement with the data of Figure 3.32, co-expression of LXR $\alpha$  and RXR $\alpha$  had no effect on the transcriptional activity of FOXA 95-458 which contains transactivation domains I and II/III (Figure 3.33D).

A scheme summarizing the data from the physical and functional interaction experiments of Figure 3.31-3.33 is shown in Figure 3.34.



**Figure 3.34. Schematic representation of the domains of LXR $\alpha$  and FOXA2 that are required for the physical interactions between them.** The double arrow indicates established physical interactions between domains 30-163 of LXR $\alpha$  and 1-94 of FOXA2.

## Discussion

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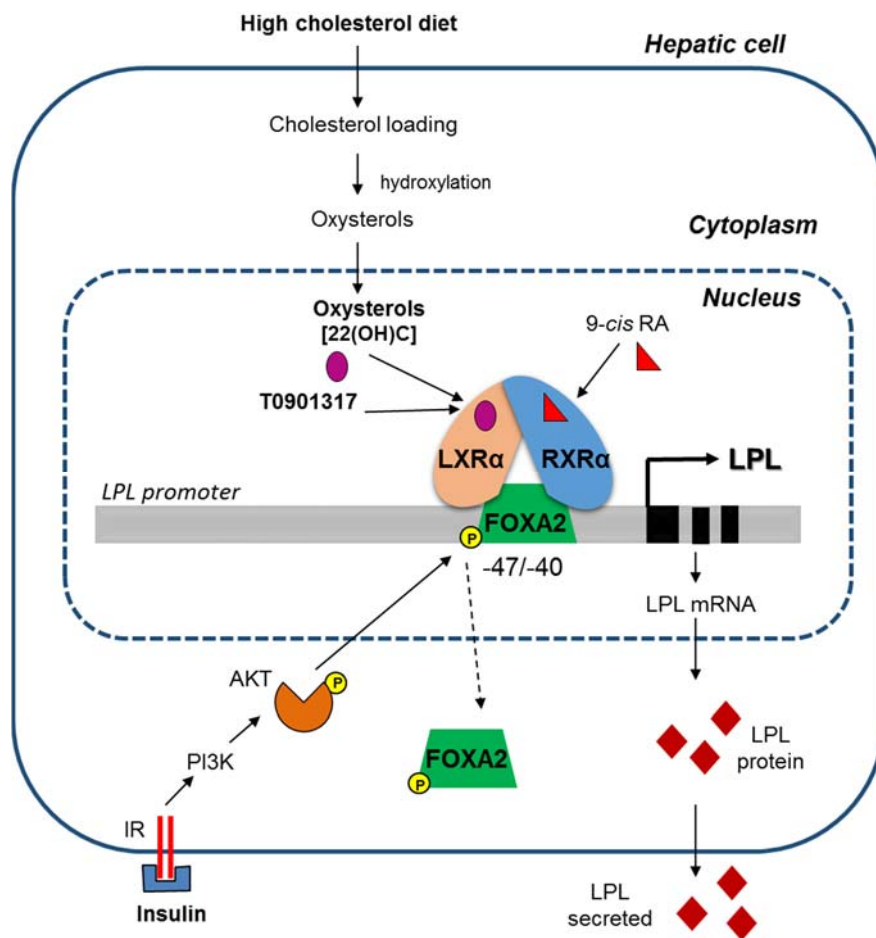
The main finding of the present study is that LXRs, in the presence of increased concentrations of their ligands (oxysterols or synthetic compounds), induce the expression of the human lipoprotein lipase gene in hepatic cells via a novel mechanism that depends on physical and functional interactions between LXR $\alpha$  and the hepatic transcription factor FOXA2/HNF-3 $\beta$ . To our knowledge, this is the first time that this nuclear receptor is reported to activate gene transcription as a super-activator of another transcription factor. The ability of other members of the hormone nuclear receptor superfamily to function as super-activators on promoters that do not contain hormone response elements is not unprecedented however. Nuclear receptors such as the retinoic acid receptor (RAR) (465, 466), the vitamin D receptor (VDR) (467, 468) and the estrogen receptor (469, 470) have all been shown to activate their corresponding target genes not by directly binding to the corresponding promoters but by inducing the transcriptional activity of the ubiquitous transcription factor Specificity Protein 1 (Sp1). In line with these findings, we showed in a previous paper that ligand-activated LXR $\alpha$  activates the promoter of the ATP Binding Cassette Transporter A1 gene via physical and functional interactions with Sp1 bound to the proximal ABCA1 promoter adjacent to a LXRE. For the physical interactions between the two factors, the N-terminal region of LXR $\alpha$ , which included the AF1 and DNA binding domains and two different domains of Sp1: the transactivation domain B and the DNA binding domain were required (464). Similar to the data of the present study, LXR $\alpha$  was able to induce the transcription of a synthetic GAL4-responsive promoter by super-activating a fusion protein consisting of the DNA binding domain of the yeast transactivator GAL4 and full length Sp1 (464).

In the case of the LPL promoter studied in the present study, the key mediator of the induction by LXR ligands is FOXA2 which binds to a FOXA2 responsive element present in the proximal -47/-40 region, as discussed in the previous part. Inhibition of FOXA2 binding to this site abolished the basal activity and the FOXA2-mediated transactivation of the LPL promoter whereas silencing of FOXA2 caused a reduction in the mRNA and protein levels of LPL. We also showed that insulin inhibited the expression of LPL in the liver via a PI3K/AKT/FOXA2 pathway that keeps FOXA2 out of the nucleus. In this part, we revealed a novel role of FOXA2 in LPL gene regulation which is to mediate the induction of this gene by the LXR $\alpha$ . We



showed that LXR agonists increase the mRNA and protein levels of LPL in HepG2 cells and in primary mouse hepatocytes in a FOXA2-dependent manner. Silencing of endogenous FOXA2 using specific FOXA2 siRNA abolished the induction of the LPL gene by LXR agonists in human hepatocytes. In the same way, insulin mimics the FOXA2 siRNA and inhibited the induction of LPL by oxysterols. Importantly, co-expression of FOXA2 and LXR $\alpha$ /RXR $\alpha$  caused a synergistic transactivation of the LPL promoter. Moreover mutations in the FOXA2 site abolished the transactivation of the human LPL promoter by ligand-activated LXR $\alpha$ /RXR $\alpha$  heterodimers and also the FOXA-LXR-RXR $\alpha$  synergistic transactivation of the LPL promoter. However, nuclear receptors LXR $\alpha$  and RXR $\alpha$  did not bind or bound very weakly to the LPL promoter. Synergism of the three factors FOXA-LXR-RXR $\alpha$  is facilitated by physical interactions between them, with the N-terminal regions of both factors (the DBD of LXR $\alpha$  and the transactivation domain IV/V of FOXA2) to be required for this interaction (Figure 3.34). These physical interactions were established using various complementary approaches including co-IPs, in vivo protein biotinylation assays, GST pull down assays and GAL4 transactivation experiments. Figure 3.35 summarizes the combined findings from parts I and II for the coordinated regulation of expression of LPL gene by FOXA2, LXR $\alpha$  and oxysterols in hepatocytes. Liver LPL gene expression is controlled by the interplay between the liver-enriched factor FOXA2 and ligand-dependent nuclear receptors LXR $\alpha$ , RXR $\alpha$ .

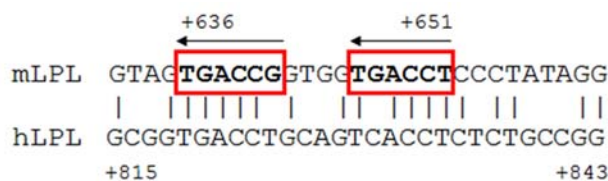
Although it was known that oxysterols (generated in the liver by a high cholesterol diet) or synthetic LXR agonists induce strongly the mouse LPL gene in vivo and in vitro (180-182, 471), the regulation of the human LPL gene by LXRs had not been studied. We found one paper reporting the induction of the human LPL gene by LXR/RXR agonists in human endothelial cells along with other genes implicated in lipoprotein metabolism (184). The mechanism by which LXRs induce the mouse LPL gene was studied by Zhang et al. who showed that LXR/RXR heterodimers induce LPL gene expression in the liver by binding in vitro to a distal LXR responsive element (LXRE) present in the first intron of the mouse LPL gene (181). No binding of LXR/RXR heterodimers could be shown on a putative LXRE present in the promoter of the mouse LPL gene upstream of exon 1 (181).



**Figure 3.35.** Proposed mechanism-model for the induction of LPL gene by oxysterols in hepatic cells, which is based on physical and functional interactions between FOXA2 transcription factor and LXR $\alpha$ /RXR $\alpha$  nuclear receptors. See text for details.

In order to investigate the existence of a similar mechanism in the human LPL gene we performed chromatin immunoprecipitation assays using anti-LXR $\alpha$  or anti-RXR $\alpha$  antibodies and reverse transcription quantitative PCR primers corresponding to the 1<sup>st</sup> intron of the human LPL gene but no binding of either nuclear receptor could be detected in this region (Figure 3.19). A comparison of the mouse and the human LPL intronic sequences in the region of the LXRE showed that this element is not highly conserved between these two species (Figure 3.36). These data suggested that this intronic LXRE that was identified in the mouse LPL gene is not significant for the regulation of the human LPL gene by oxysterols in hepatic cells and that the mechanism of regulation of the mouse LPL gene may be similar to the mechanism identified in the present study. Indeed, comparison of the mouse and human

sequences in the LPL promoter upstream of exon 1 revealed a perfect match in the region corresponding to the FOXA2 binding site and a very high degree of homology in the flanking regions (Figure 3.22).

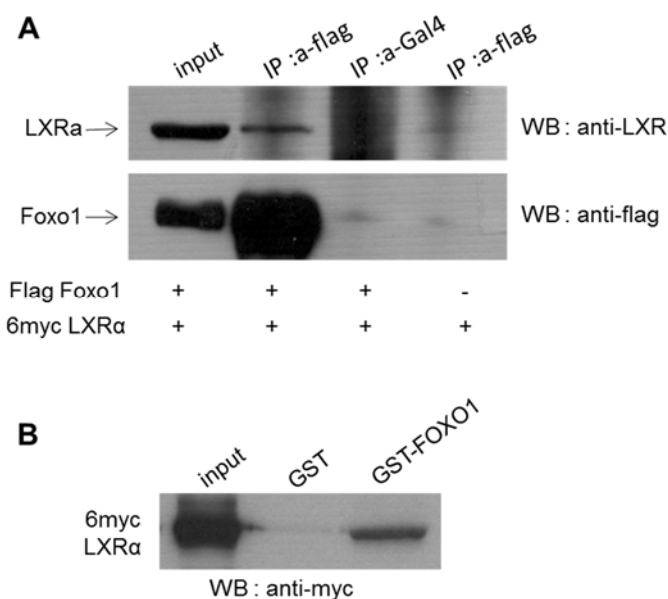


**Figure 3.36. Sequence alignment of the mouse and human LPL sequences in the intron 1 region.** The mouse LPL intron 1 including the LXRE element was aligned with the corresponding human sequence. Identical nucleotides are indicated by vertical lines under the sequences. Numbers refer to distance in base pairs from the transcription start site of the human and mouse genes and boxes show identified transcription factor binding sites for LXR.

The role of lipoprotein lipase in the adult liver and its contribution to lipid homeostasis and the pathogenesis of atherosclerosis has been controversial mainly due to its low levels of expression in this tissue. However, despite this low expression, hepatic LPL is physiologically significant for lipoprotein metabolism as shown by studies in hepatic-specific LPL gene ablation (453) which decreased postprandial TG clearance and elevated plasma TG and cholesterol levels. Furthermore, it was shown that overexpression of LPL specifically in the mouse liver increased liver TG and caused insulin resistance (171). LPL is expressed at high levels in the adipose tissue where it facilitates the hydrolysis of triglycerides of TRLs to fatty acids for uptake by the adipocytes (104, 105). In contrast to the liver, LXRs cannot induce LPL gene in adipose tissue where its mRNA levels are high (312) suggesting a tissue-specific mode of regulation of this gene and the existence of tissue specific transcription factors that could modulate LPL gene regulation by LXRs and oxysterols. One such factor could be the forkhead box protein FOXA2. Furthermore other members of the forkhead box family, such as FOXO1, which share extensive homology with FOXA2 (309) and could theoretically bind to the same DNA element in the proximal LPL promoter and interact with LXR to regulate LPL gene expression in other tissues other than the liver such as macrophages (181) or endothelial cells (184).

FOXO1, also known as FKHR, is a member of the forkhead family of the O subclass. It is a downstream target of the insulin /PI3-K signaling pathway and has a

role in cell cycle control and apoptosis, fertility, stress response, longevity and regulation of metabolism (472-475). FOXO1 is expressed at high levels in insulin-responsive tissues, such as adipose tissue and muscle, and insulin-production tissues, such as pancreatic beta cells (475). Similar to FOXA2, we showed that FOXO1 also interacts physically with LXR $\alpha$  in vivo, by co-immunoprecipitation assays and in vitro, by GST pull down assays. As shown in Figure 3.37A, LXR $\alpha$  was immunoprecipitated along with flag-FOXO1 in HEK293T cells ectopically expressing both factors by an anti-flag antibody but not by a non-specific antibody (anti-Gal4) or when FOXO1 was not expressed. GST pull down assays confirmed this finding, as GST-FOXO1 interacted with the full length 6myc-LXR $\alpha$  (Figure 3.37B). In line with this finding, we showed in part I that FOXA2 does not transactivate the LPL promoter in mouse 3T3-L1 pre-adipocytes and also is not expressed in differentiated adipocytes 3T3L1.



**Figure 3.37. FOXO1 physically interacts with the nuclear receptors LXR $\alpha$  in vivo and in vitro.** (A) HEK293T cells were transfected with expression vectors for 6myc LXR $\alpha$  and flag FOXO1 (10 $\mu$ g each) and subjected to immunoprecipitation (IP) using the anti-flag antibody or a nonspecific (anti-Gal4) antibody as a control. The immunoprecipitated proteins as well as the starting material (input) were detected by Western blotting using the anti-LXR $\alpha$  antibody or the a-flag antibody used for immunoprecipitation. (B) GST and GST-FOXO1 coupled beads were allowed to interact with extracts from HEK293T cells transiently transfected with the expression vectors for LXR $\alpha$  (15 $\mu$ g). Bound proteins as well as a fraction of the starting material (input) were detected by Western blotting using the anti-myc antibody.

However, the mutations into the FOXA2 element reduced the basal activity of the LPL promoter in pre-adipocytes, indicating the importance of this element for the regulation of LPL gene. These data suggest that FOXO1 and LXRs may cooperate using the FOXA2 element at -47/-40 to regulate the expression of the LPL gene in adipocytes that express this forkhead factor, but this remains to be shown.

Liver X Receptors (LXRs) have a crucial role as sensors of intracellular sterol levels protecting cells, especially macrophages, from cholesterol overload and facilitating the process of reverse cholesterol transport (344, 384, 476, 477). In this context, activation of the LPL gene in the liver by a high fat diet may facilitate the binding of HDL to liver cells and the transport of HDL cholesterol to the hepatocytes for catabolism and elimination (168). Inhibition of FOXA2, and as a consequence of LPL gene by insulin via a PI3K/AKT/FOXA2 pathway could prevent the overexpression of LPL by oxysterols under conditions of cholesterol overload such as a high fat diet, thus protecting liver from the toxic effects of LPL overexpression (insulin resistance) as demonstrated recently in mouse studies (171).

The mechanism of LPL gene regulation by LXR $\alpha$ /FOXA2 in the liver that was unraveled in this study may have wider implications for cholesterol and TG homeostasis. The FOXA2 binding site may serve as a novel LXRE that facilitates the induction of the LPL promoter by oxysterols via FOXA2. In addition to the canonical model of gene activation by LXRs via binding to LXREs, a non-canonical model emerges which does not require the presence of LXREs but it rather depends on physical and functional interactions of LXRs with other DNA-bound transcription factors such as FOXA2.

In conclusion, our study reveals a novel synergistic mechanism of LPL gene induction by LXRs and FOXA2 in the liver in response to intracellular or extracellular signals such as oxysterols and insulin treatment, facilitating the cross talk between the signaling pathways that are induced by these signals. This mechanism may not be restricted to the LPL gene but may have wide implications to other genes involved in cholesterol, triglyceride and glucose metabolism. Understanding in depth the mechanisms by which lipid and glucose metabolic pathways are interconnected in the liver and possibly in other tissues by factors such as LXRs and FOXA2 may open the way to novel therapeutic strategies for humans with complex metabolic conditions such as dyslipidemia, diabetes, Coronary Artery Disease, metabolic syndrome and other lipid disorders that are characterized by low HDL levels.

**PART III:**

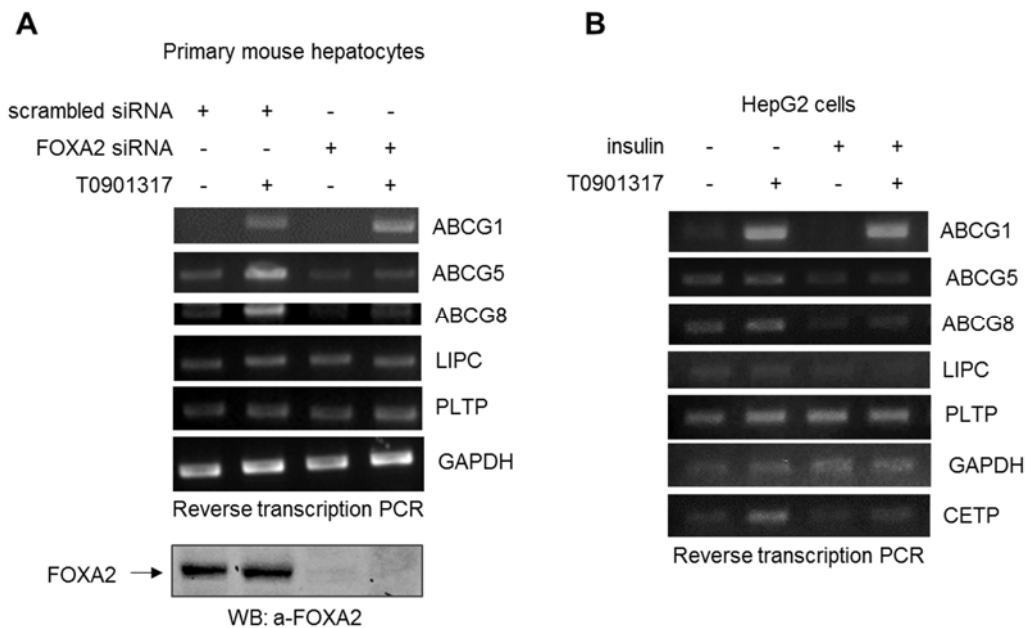
***The role of the hepatic factor FOXA2 in the regulation  
of sterol transporters, ABCG5 and ABCG8,  
by LXRs in the liver***

## Results

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Excess cholesterol from peripheral tissues is transported via HDL particles to the liver for excretion in the bile as cholesterol or bile acids, a process known as reverse cholesterol transport. Biogenesis of HDL is initiated by the synthesis and secretion of apolipoprotein apoA-I mainly by the liver. ApoA-I interacts with the cholesterol and phospholipid membrane transporter ATP Binding Cassette Transporter A1 (ABCA1) and is gradually lipidated to form nascent discoidal HDL particles. These particles are matured by the enzyme lecithin:cholesterol acyltransferase (LCAT) to spherical HDL which is subsequently remodeled by the ABCG1 transporter in macrophages and various enzymes and transfer proteins including hepatic lipase, phospholipid transfer protein (PLTP) and cholesterol ester transfer protein (CETP). HDL cholesteryl esters are transferred to the liver by Scavenger Receptor Class B Type I (SR-BI) for excretion into the bile by the cholesterol transporters ABCG5 and ABCG8. Almost every step of this process is stimulated by LXRs and their ligands. Various genes that are involved in biogenesis and remodeling of HDL cholesterol, including lipid transporters ABCA1, ABCG1, SR-BI, and transfer proteins CETP, PLTP, contain LXR responsive elements (LXREs) in their promoters (Figure 1.16) and are induced by LXR ligands or high cholesterol diets (403, 405-408, 414, 419-421). Moreover, the lipid transporters ABCG5 and ABCG8 are induced by cholesterol feeding and by treatment with LXR agonists (427, 478, 479). However, no apparent LXR binding sites were found in the intergenic region between the two genes (480).

In this part, we investigated the synergy between FOXA2 and LXRs in the regulation of genes involved in HDL metabolism in the liver, as described in part II. First, we confirmed the positive regulation of various HDL genes by the LXR synthetic ligand, T0901317, in HepG2 cells and in primary mouse hepatocytes, isolated from the livers of C57BL/6 mice. As shown in Figure 3.38A and B, T0901317 treatment increased strongly the mRNA levels of the mouse (panel A) and human (panel B) genes encoding the lipid transporters ABCG1, ABCG5, ABCG8 and the human gene encoding cholesteryl ester transfer protein (CETP) (2nd lane of panels A, B). However, the expression of the genes LIPC and PLTP was not changed by the T0901317 agonist (Figure 3.38A and B).



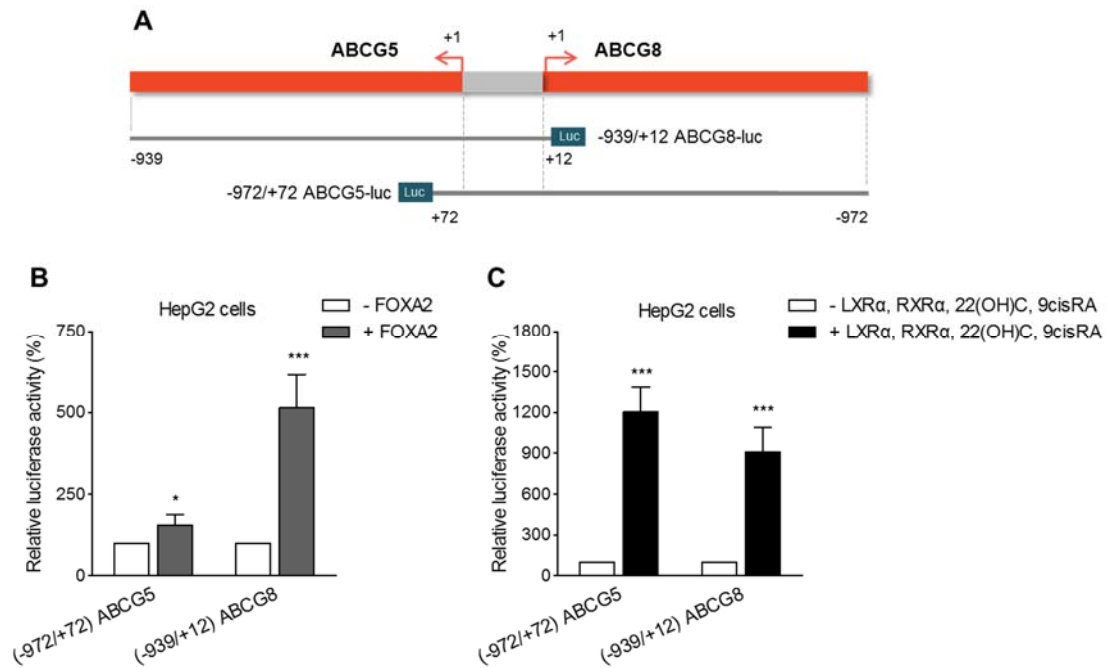
**Figure 3.38. Inactivation of the hepatic factor FOXA2 by siRNA silencing or insulin affects the expression of ABCG5 and ABCG8 genes by the LXR agonist T0901317 in primary hepatocytes and HepG2 cells.** (A) Primary mouse hepatocytes were transfected with 50nM of a scrambled siRNA or a FOXA2 specific siRNA and were treated with the synthetic LXR agonist T0901317 (1 $\mu$ M) for 24 hours or left untreated. Total RNA was extracted and the expression of the HDL metabolism genes was analyzed by reverse transcription PCR. The expression levels of GAPDH gene were used for normalization purposes. Protein levels of FOXA2 were determined by immunoblotting using the anti-FOXA2 antibody (Bottom of the panel). (B) HepG2 cells were treated with insulin (500nM) or/and T0901317 agonist (1 $\mu$ M) for 24 hours or left untreated and total RNA was extracted. The expression of the HDL genes was analyzed by reverse transcription PCR. The expression levels of GAPDH gene were used for normalization purposes.

A previous study had shown that mice heterozygous for the FOXA2 factor have reduced levels of HDL in the plasma (325), suggesting the involvement of FOXA2 in the regulation of HDL genes. Using a database search for putative transcription factor binding sites ([www.genomatix.de](http://www.genomatix.de)) we identified putative recognition sites for the FOXA2 hepatic factor in proximity with characterized LXREs in the promoter regions of the human ABCG1, CETP and hepatic lipase (LIPC) genes (data not shown). Next, we investigated the possible requirement of FOXA2 for the oxysterol induction of HDL genes. For this purpose, we silenced the endogenous FOXA2 expression in primary mouse hepatocytes, in the presence or absence of LXR activation by T0901317 and the mRNA levels of HDL genes were determined. As shown in Figure 3.38A, silencing of endogenous FOXA2 by the



FOXA2-specific siRNA reduced the basal expression levels of ABCG5 and ABCG8 genes in mouse primary hepatocytes, without affecting the expression of ABCG1, LIPC and PLTP genes (compare lanes 1 and 3). The FOXA2-specific siRNA inhibited also the T0901317-inducible expression of the ABCG5 and ABCG8 genes (Figure 3.38A, lane 4), indicating that FOXA2 is essential for the upregulation of these lipid transporters by the LXR ligand T0901317 (unpublished data by Ioanna Tiniakou). Similar results were obtained, when HepG2 cells were treated simultaneously with insulin and T0901317 agonist. As shown in Figure 3.38B, insulin reduced strongly both the basal and the T0901317-inducible mRNA levels of the ABCG5, ABCG8 and CETP genes (compare lanes 1, 3 and 4). The findings from Figure 3.38 strongly indicate that induction of the ABCG5, ABCG8 and CETP genes by oxysterols in hepatic cells requires the presence of FOXA2 in the nucleus.

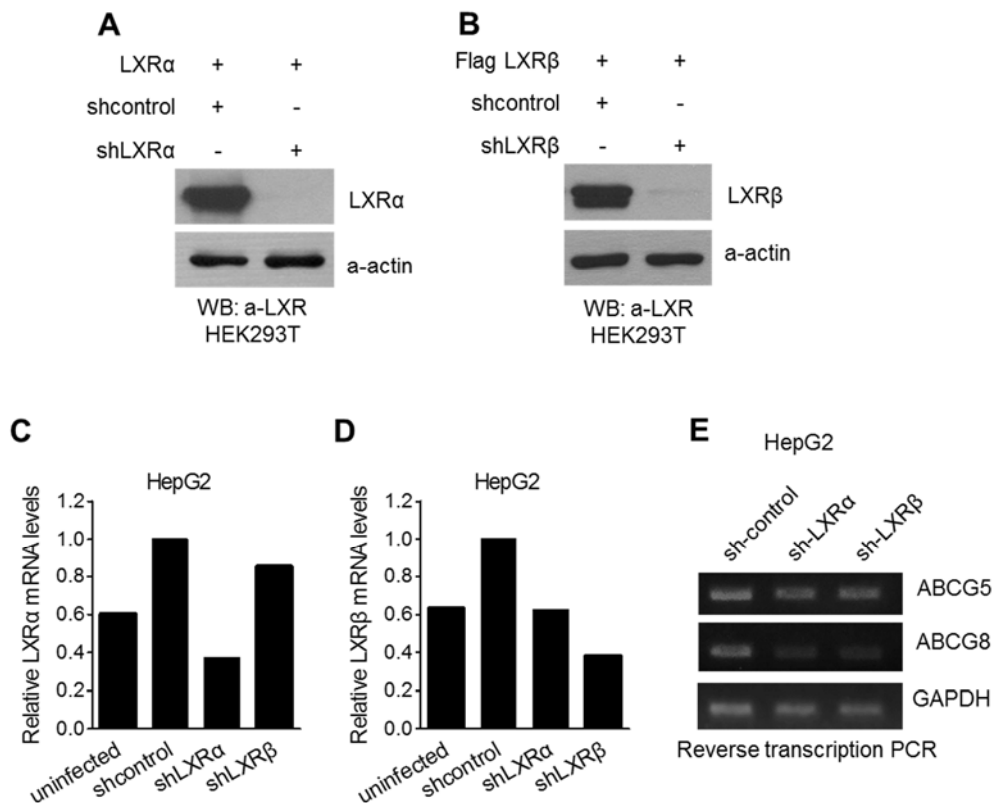
Based on the above data, we focused on the regulation of ABCG5 and ABCG8 genes that respond to both T0901317 and FOXA2 and investigated whether their regulation is similar to the model of LPL regulation by FOXA2 and LXRs, as we showed in part II. The human ABCG5 and ABCG8 genes are located adjacent to each other in a head-to-head configuration on chromosome 2p21 and their first exons are separated by only 140 bp intergenic promoter region. Each gene is composed of 13 exons and 12 introns (478-482). To examine the role of FOXA2 in the promoter activity of ABCG5 and ABCG8 genes, we amplified by polymerase chain reaction from human genomic DNA the human -972/+72 ABCG5 and -939/+12 ABCG8 promoters, both of them including the intergenic region, and cloned them upstream of the luciferase gene (Figure 3.39A). HepG2 cells were transfected with the ABCG5 and ABCG8 promoter constructs along with a plasmid vector expressing FOXA2 and their relative transcriptional activity was determined by luciferase activity assays. As shown in Figure 3.39B, FOXA2 overexpression caused a 5-fold increase in the activity of the ABCG8 promoter and a 1.3-fold increase in the activity of the ABCG5 promoter. This finding indicates that a FOXA2 binding element may exist in the ABCG8 promoter, inside the ABCG5 gene, that facilitates the transactivation of ABCG8 promoter. With transactivation assays, we established also that overexpression of LXR $\alpha$ /RXR $\alpha$  in the presence of their ligands, transactivated highly the promoters of ABCG5 and ABCG8, 12-fold and 9-fold respectively, in HepG2 cells (Figure 3.39C), suggesting that an LXR responsive element (LXRE) may be located in the intergenic promoter region.



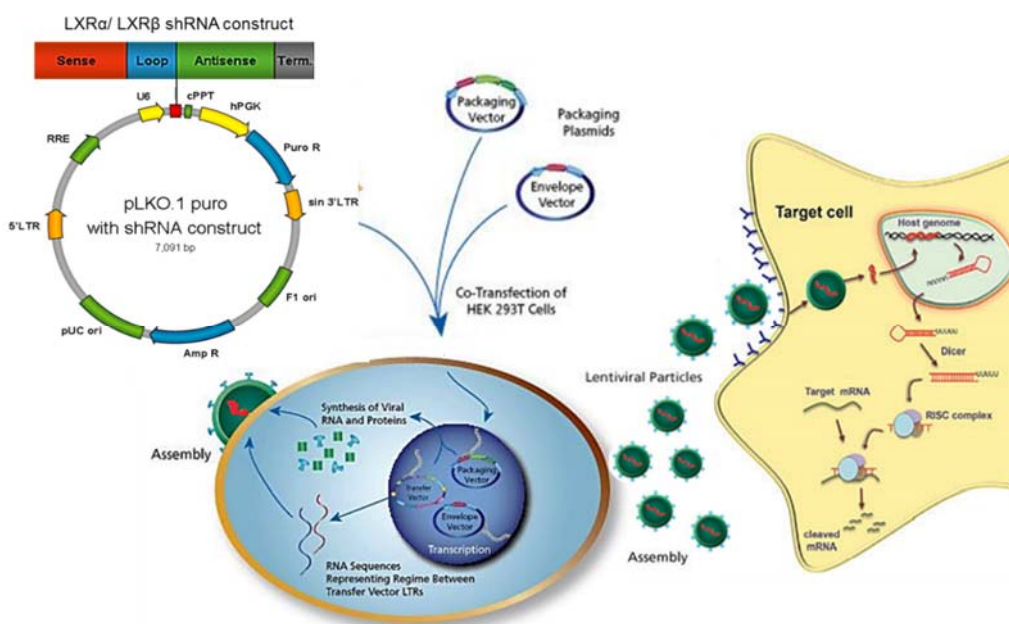
**Figure 3.39. FOXA2 and nuclear receptors LXR $\alpha$ /RXR $\alpha$  activate the promoters of ABCG5 and ABCG8 genes in HepG2 cells.** (A) Schematic representation of the human promoters of ABCG5 and ABCG8 genes that were cloned upstream of the luciferase reporter gene (Luc) and used in the transactivation experiments of panel B and C. (B) HepG2 cells were transiently transfected with the (-972/+72) ABCG5-luc and (-939/+12) ABCG8-luc reporter plasmids (1 $\mu$ g), along with a  $\beta$ -galactosidase expression plasmid (1 $\mu$ g) in the presence or absence of expression vector for FOXA2 (1 $\mu$ g). (C) HepG2 cells were transiently transfected with the (-972/+72) ABCG5-luc and (-939/+12) ABCG8-luc reporter plasmids (1 $\mu$ g), along with a  $\beta$ -galactosidase expression plasmid (1 $\mu$ g) in the presence or absence of expression vectors for LXR $\alpha$ , RXR $\alpha$  (0.5 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M each) for 24 hours or left untreated. (B and C) The normalized, relative luciferase activity ( $\pm$ SD) calculated from three independent experiments performed in duplicate is presented with histograms. \*,  $p < 0.05$ ; \*\*\*,  $p < 0.001$ .

To investigate further the role of LXRs in ABCG5 and ABCG8 gene regulation in hepatic cells, short hairpin RNA (shRNA)-mediated gene silencing combined with lentivirus-mediated gene transfer methodologies were employed. For this purpose, an LXR $\alpha$ , LXR $\beta$  and scrambled shRNA (shLXR $\alpha$ , shLXR $\beta$ , shcontrol) were cloned in the pSuper vector, as described in the “Materials and Methods” section and the silencing of expression of the corresponding proteins (LXR $\alpha$  and LXR $\beta$ ) was confirmed by transient transfection assays in HEK293T cells and western blot analysis. Figure 3.40A and B, shows that the transient overexpression of shRNA-producing vectors specific for LXR $\alpha$  (panel A) or LXR $\beta$  (panel B), abolished the expression of the exogenous LXR $\alpha$  or LXR $\beta$  genes respectively, at the protein level.

The cloned shRNAs were then subcloned into the pLKO.1 vector and lentiviral particles were produced by cotransfection of the specific LXR $\alpha$  or LXR $\beta$  or scrambled shRNAs vectors and other lentivirus packaging vectors (Figure 3.41). HepG2 cells were infected with lentiviruses expressing control shRNA, LXR $\alpha$  shRNA or LXR $\beta$  shRNA (Figure 3.41) and mRNA levels of endogenous LXR isoforms were determined to evaluate the efficiency of shRNA lentivirus infection.



**Figure 3.40. Inhibition of the nuclear receptors LXR $\alpha$  or LXR $\beta$  by shRNA silencing reduces the expression of ABCG5 and ABCG8 genes in HepG2 cells.** (A and B) HEK293T cells were transfected with an LXR $\alpha$  (panel A) or LXR $\beta$  (panel B) expression vector (1 $\mu$ g) along with expression vectors for control shRNA or LXR $\alpha$  shRNA or LXR $\beta$  shRNA (1 $\mu$ g) and the protein levels of LXR $\alpha$ , LXR $\beta$  and actin (loading control) were determined by immunoblotting using the corresponding antibodies. (C-E) HepG2 cells were infected for two days with lentivirus expressing control shRNA or LXR $\alpha$  shRNA or LXR $\beta$  shRNA, selected with puromycin and total RNA was extracted. (C and D) LXR $\alpha$  and LXR $\beta$  mRNA levels were determined by reverse transcription reverse transcription quantitative PCR and normalized relative to the HPRT mRNA levels. Results are expressed as mean and shown as histograms. (E) The expression of the ABCG5 and ABCG8 genes was analyzed by reverse transcription PCR. The expression levels of GAPDH gene were used for normalization purposes.

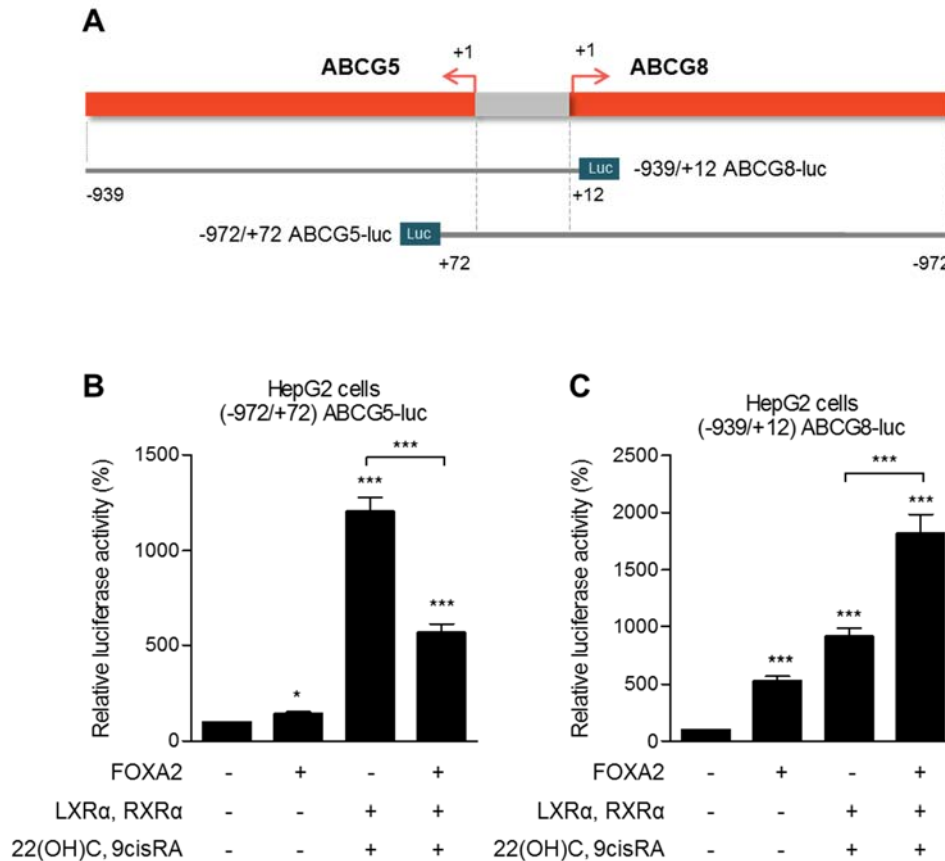


**Figure 3.41. Schematic representation of the production of lentiviral particles containing LXR $\alpha$ /LXR $\beta$  shRNA and infection of target cells.** The specific sequences of shRNAs for LXR $\alpha$  or LXR $\beta$  or scrambled were cloned into the pLKO.1 vector. HEK293T cells were cotransfected with the shRNA-producing vectors along with packaging and envelope vectors. Lentiviral particles were assembled and then HepG2 cells were infected by lentiviruses, in order to produce LXR $\alpha$ , LXR $\beta$  shRNAs and silence the expression of LXRs. See “Materials and Methods” section for details.

As shown in Figure 3.40C and D, both the shLXR $\alpha$  and shLXR $\beta$  lentiviruses reduced the mRNA levels of LXR $\alpha$  (compare bars 2 and 3, panel C) and LXR $\beta$  (compare bars 2 and 4, panel D) respectively, by 60%. Silencing the expression of endogenous LXR $\alpha$  or LXR $\beta$  in HepG2 cells via the specific shRNA lentiviruses reduced significantly the mRNA levels of ABCG8 and ABCG5 genes (Figure 3.40E) suggesting that LXRs are transcriptional activators of the lipid transporters ABCG8 and ABCG5 in hepatic cells.

The requirement of FOXA2 for the induction of the ABCG5 and ABCG8 genes by T0901317 suggested that FOXA2 and LXR $\alpha$ /RXR $\alpha$  may transactivate the promoters of these genes in a synergistic fashion, as in the case of LPL gene (part II). To test this hypothesis, we transfected HepG2 cells with expression vectors for FOXA2 and LXR $\alpha$ /RXR $\alpha$  individually or in combination and we employed luciferase activity assays using the human -972/+72 ABCG5 and -939/+12 ABCG8 promoters (Figure 3.42A). As shown in Figure 3.42C, overexpression of FOXA2 and ligand-activated LXR $\alpha$ /RXR $\alpha$  transactivated synergistically the -939/+12 ABCG8 promoter.

In contrast, FOXA2 inhibited the induction of the ABCG5 gene by LXRs and oxysterols (Figure 3.42B), indicating an opposite regulation of ABCG5 and ABCG8 promoters by FOXA2 and LXR $\alpha$ /RXR $\alpha$ .



**Figure 3.42. Opposite transactivation of the promoters of ABCG5 and ABCG8 genes by FOXA2 and LXR $\alpha$ /RXR $\alpha$  heterodimers.** (A) Schematic representation of the human promoters of ABCG5 and ABCG8 genes that share a common small promoter fragment. (B and C) HepG2 cells were transiently transfected with the (-972/+72) ABCG5-luc (panel B) or (-939/+12) ABCG8-luc (panel C) reporter plasmids (1 $\mu$ g), along with a  $\beta$ -galactosidase expression plasmid (1 $\mu$ g) in the presence or absence of expression vectors for FOXA2 (1 $\mu$ g), LXR $\alpha$ , RXR $\alpha$  (0.5 $\mu$ g each) and were treated with the ligands 22(OH)C and 9cisRA (1 $\mu$ M) for 24 hours or left untreated. The normalized, relative luciferase activity ( $\pm$ SD) calculated from three independent experiments performed in duplicate is presented with histograms. (C) \*,  $p < 0.05$ ; \*\*\*,  $p < 0.001$ .

Our observation that FOXA2 and LXR $\alpha$ /RXR $\alpha$  interact physically and synergistically induce the expression of LPL gene in hepatic cells (part II) is consistent with the data of Figures 3.38-3.42. These findings showed that ABCG5 and ABCG8 genes are induced highly by LXRs and oxysterols, and FOXA2 is critical for the LXR $\alpha$ /RXR $\alpha$  induction, in hepatic cells.

## Discussion

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ABCG5 and ABCG8 belong to the family of ABC transporters (ATP-binding cassette), are half-transporters and form an obligate heterodimer to become functional and limit the absorption of dietary cholesterol and plant sterols by mediating the efflux of these sterols from enterocytes back into gut lumen, and by facilitating secretion of cholesterol and plant sterols from hepatocytes into bile (hepatobiliary secretion) (482-485). Consistent with their function, ABCG5 and ABCG8 are expressed almost exclusively on the apical cell surface of hepatocytes and enterocytes (486). Mutations in either of the two genes encoding ABCG5 or ABCG8 cause sitosterolemia, a disorder which is characterized by markedly elevated plasma levels of plant sterols and modest increases in plasma cholesterol, because of the increased absorption of these sterols from the small intestine and the reduced excretion into the bile (478, 479, 482, 487, 488). Due to this accumulation of sterol levels in the circulation, sitosterolemic patients develop atherosclerosis and premature cardiovascular disease (487). Conversely, a gain-of-function mutation in the human ABCG8 gene, D19H, is strongly associated with gallstone disease (489). Moreover, disruption of both ABCG5 and ABCG8 in mice show a reduction in the excretion of cholesterol and plant sterols into bile (425, 490, 491), whereas transgenic mice overexpressing these transporters show enhanced secretion of biliary cholesterol, reduced absorption of dietary sterols and attenuation of diet-induced atherosclerosis (492).

In part I we showed that FOXA2 positively regulates the expression of LPL gene in hepatic cells, via a novel FOXA2 responsive element that is localized in the proximal LPL promoter. In the present study, we investigated the role of FOXA2 hepatic factor in the regulation of ABCG5 and ABCG8 transporter in hepatocytes. Using siRNA specific for FOXA2, we silenced endogenous FOXA2 expression in primary mouse hepatocytes and we observed reduction of the basal expression levels of ABCG5 and ABCG8 genes. Furthermore, overexpression of FOXA2 in HepG2 cells transactivated strongly the promoter of ABCG8 and the promoter of ABCG5 to a lesser extent, indicating a positive role of this factor in the regulation of ABCG5 and ABCG8 expression.

The highly homologous ABCG5 and ABCG8 genes are located adjacent to one another, and both genes are transcribed in opposite directions from independent

transcription start sites that are separated by only ~150 bp of intergenic sequence (479, 493). There is evidence that Hepatocyte nuclear factor 4 $\alpha$  (HNF4 $\alpha$ ) and GATA-binding protein 4 (GATA4) can synergistically stimulate ABCG5 and ABCG8 gene transcription in both directions from the ABCG5/ABCG8 intergenic promoter (494). ABCG5 and ABCG8 genes are regulated also by Liver Receptor Homologue 1 (LRH1) and thyroid hormone (495, 496). However, Liver X receptor is the most important positive regulator of ABCG5 and ABCG8 expression at the transcriptional level (427, 479). Activation of the LXR pathway in response to cholesterol feeding or LXR agonists induces strongly ABCG5 and ABCG8 expression levels in the liver and intestine of wild type mice, but not in LXR knockout mice. LXR activation also promotes increased biliary excretion and reduced cholesterol absorption (426, 478, 479, 497, 498). In agreement with these studies, we showed that T0901317 treatment of HepG2 cells and primary mouse hepatocytes caused a strong induction of mRNA levels of ABCG5, ABCG8 genes. Furthermore, overexpression of LXR $\alpha$ /RXR $\alpha$  and their ligands caused a strong transactivation of the promoters of ABCG5 and ABCG8. We confirmed this LXR activation of ABCG5 and ABCG8 genes, by infecting HepG2 cells with lentiviruses that express shRNAs specific for each LXR isoform. A 60% reduction of the expression of LXR $\alpha$  or LXR $\beta$  by the corresponding shLXR lentivirus was observed, causing a reduction in the mRNA levels of ABCG8 and ABCG5 genes in HepG2 cells. Although the regulation of ABCG8 and ABCG5 expression by LXR is well established, no LXR responsive elements (LXREs) have been identified to date. However, our findings indicate that an LXRE may be located in the intergenic promoter region of ABCG8 and ABCG5 or inside the genes in distal regions. A recent study has identified two conserved regions located in introns 1 and 6 of ABCG8, which contain a 22-bp LXRE and this sequence, in conjunction with the previously identified GATA-box and LRH-1 site led to significant expression of a reporter construct (499).

We showed in part II, that in the presence of increased concentrations of oxysterols LXRs induce the expression of the LPL gene in a synergistic fashion with FOXA2 factor, via a novel mechanism that depends on physical and functional interactions between LXR $\alpha$  and FOXA2. Based on this finding, we hypothesized that the model of synergistic induction by oxysterols, LXR $\alpha$ /RXR $\alpha$  and FOXA2 in hepatic cells, which is based on physical interactions between these factors, may be a general mechanism for the regulation of genes involved in HDL metabolism in the liver and specifically

for ABCG8 and ABCG5 genes. We observed that silencing of FOXA2 via the FOXA2-specific siRNA reduced the induction of ABCG5 and ABCG8 genes by the synthetic LXR ligand, T0901317, indicating that FOXA2 is critical for the upregulation of these lipid transporters by the LXR ligands. The synergy between these factors was confirmed also with transactivation assays, which showed that FOXA2 and ligand-activated LXR $\alpha$ /RXR $\alpha$  transactivated synergistically the ABCG8 promoter, but not the ABCG5 promoter. FOXA2 inhibited the induction of the ABCG5 promoter by LXRs and oxysterols in HepG2 cells. If the two transporters form a functional heterodimer, the observed difference in the activation of the promoters would not be expected. It is likely, that more distal FOXA2 elements may be present, perhaps inside the ABCG8 gene, in coding or non-coding regions that are not included in our -972/+72 ABCG5 promoter construct. In this case, FOXA2 cannot bind to the FOXA2 element, but interacts with LXR/RXR receptors and inhibits the induction of the ABCG5 gene by LXRs and oxysterols, by preventing their binding to the LXRE. Furthermore, one more FOXA2 element may be present in the -939/+12 ABCG8 promoter construct, inside the ABCG5 gene, which facilitates the binding of FOXA2 and its interaction with LXR $\alpha$ /RXR $\alpha$ , contributing to the synergistic regulation of ABCG8 gene. These observations suggest that more distal transcription factor binding sites far from the coding regions of the genes may be involved and via DNA looping regulate coordinately the expression of ABCG5 and ABCG8 genes. This remains to be confirmed with future studies characterizing these regulatory regions.

A previous study has shown that disruptions in insulin signaling, resulting in hepatic insulin resistance in mice, lead to upregulation of ABCG5, ABCG8 genes, and increased biliary cholesterol excretion. A response element for FOXO1 transcription factor was identified in the human intergenic promoter of ABCG5 and ABCG8 and the inhibition of hepatic insulin signaling resulted in re-activation of FOXO1. Adenoviral expression of a constitutively active FOXO1 form increased the mRNA levels of ABCG5 and ABCG8 in rat hepatocytes. Of considerable clinical significance, the upregulation of ABCG5 and ABCG8 genes in insulin-resistant liver resulted in the formation of gallstones, thereby linking insulin resistance to the hyperglycemia, dyslipidemia and gallbladder disease (500). In agreement with this study, we showed that insulin treatment in HepG2 cells, which inactivates FOXA2 by nuclear exclusion, caused a strong reduction of basal and also of T0901317-inducible



expression of ABCG5 and ABCG8 genes. Except of the FOXO1 binding site in the intergenic promoter of ABCG5, ABCG8, an additional FOXA2 element may be present in the ABCG5 promoter. Our findings indicate that FOXA2 is required to be present in the nucleus, to induce ABCG5, ABCG8 genes. The increase of ABCG5 and ABCG8 expression in states of insulin resistance/ inhibition of hepatic insulin signaling may be explained by the disinhibition of FOXA2 or/and FOXO1 by insulin and the active FOXA2/FOXO1 that induces expression of these genes.

In the liver, except from cholesterol excretion into the bile via the ABCG5 and ABCG8 transporters, excess cholesterol is converted also to bile acids for excretion in the bile through bile acid transporters (454-456). Importantly, inactivation of FOXA2 in the liver of mice inhibited the expression of bile acid transporters resulting in intrahepatic cholestasis (323). Furthermore, it was also shown that patients with cholestatic syndromes have lower FOXA2 levels in their liver (323). In a previous study we showed that FOXA2 is implicated in the regulation of another ABC gene, in hepatic cells. FOXA2 binds to the proximal promoter of the human ABCA1 gene, at three sites, and compromises the upregulation of ABCA1 gene expression by oxysterol-activated LXRs under conditions of cholesterol loading (329). Thus, FOXA2 emerges as a key regulator of cholesterol and bile acid metabolism in the liver.

The sterol transporters ABCG5/ABCG8 play a key role in reverse cholesterol transport pathway promoting cholesterol removal from the body. Thus it seems logical to assume that overexpression of ABCG5 and ABCG8 should inhibit development of atherosclerosis while deletion of ABCG5 and ABCG8 should promote atherosclerosis. The elevated plant sterols may contribute to cardiovascular disease by disrupting normal cholesterol homeostasis. Sitosterolemic patients are highly sensitive to dietary cholesterol and upon a high-cholesterol diet develop hypercholesterolemia, atherosclerosis and premature cardiovascular disease (479, 482, 487, 488, 501, 502). This was supported by a study showing that overexpression of ABCG5 and ABCG8 in the intestine and liver of an atherogenic (LDLR)-deficient mouse model leads to a reduction of aortic atherosclerotic lesion area in association with reduced plasma cholesterol levels (503). In agreement, SNPs associated with reduced ABCG5 and ABCG8 function and increased phytosterol levels were also associated with increased prevalence of CAD, and one allele that resulted in increased function of the transporters was associated with reduced CAD (504). Therefore, these

observations suggest that ABCG5 and ABCG8 transporters can modulate plasma cholesterol levels and interventions to enhance the ABCG5/8 pathway could protect against CAD in hypercholesterolemic subjects by eliminating more cholesterol in the bile and reducing plasma cholesterol levels.

Taken together, it is quite obvious that ABCG5 and ABCG8 are coordinately modulated by multiple molecular players and transcriptional processes. More work in this field may prove to be key for understanding the regulation and role of these two important genes in lipid metabolism and for identifying new therapeutic drug targets for preventing atherosclerosis, coronary heart disease, diabetes and other metabolic diseases.

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## **5. PUBLICATIONS**





# Regulation of the human lipoprotein lipase gene by the forkhead box transcription factor FOXA2/HNF-3 $\beta$ in hepatic cells



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## ABSTRACT

Lipoprotein lipase (LPL) plays a major role in the hydrolysis of triglycerides (TG) from circulating TG-rich lipoproteins. The role of LPL in the liver has been controversial but recent studies in mice with liver LPL overexpression or deficiency have revealed important new roles of the enzyme in glucose and lipid metabolism. The objective of this study was to identify regulatory elements and factors that control the transcription of the human LPL gene in hepatocytes. Deletion analysis of the human LPL promoter revealed that the proximal region which harbors a binding site for the forkhead box transcription factor FOXA2/HNF-3 $\beta$  at position  $-47/-40$  is important for its hepatic cell activity. Silencing of FOXA2 in HepG2 cells reduced the LPL mRNA and protein levels. Direct binding of FOXA2 to the novel binding site was established in vitro and ex vivo. Mutagenesis of the FOXA2 site reduced the basal activity and abolished the FOXA2-mediated transactivation of the LPL promoter. Insulin decreased LPL mRNA levels in HepG2 cells and this was associated with phosphorylation of AKT and nuclear export of FOXA2. In summary, the data of the present study combined with previous findings on the role of FOXA2 in HDL metabolism and gluconeogenesis, suggest that FOXA2 is a key regulator of lipid and glucose homeostasis in the adult liver. Understanding the mechanisms by which FOXA2 exerts its functions in hepatocytes may open the way to novel therapeutic strategies for patients with metabolic diseases such as dyslipidemia, diabetes and the metabolic syndrome.

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## 1. Introduction

Lipoprotein lipase (LPL) plays an important role in lipoprotein metabolism by catalyzing the hydrolysis of triglycerides (TG) in plasma TG-rich lipoproteins including very low density lipoproteins (VLDL) and chylomicrons. Apolipoprotein CII (apoC-II) is a cofactor of this enzyme [1]. LPL is synthesized by various tissues such as the adipose tissue, skeletal muscle and heart but can be found at lower levels in many other tissues including macrophages, kidney, brain, adrenals, lung and embryonic liver [2–6]. Following its secretion, LPL is attached to endothelial cells via heparin sulfate proteoglycans (HSPGs) with the help of a protein called glycosylphosphatidylinositol anchored high density lipoprotein binding protein 1 (GPIHBP1) [1,3]. It has been shown that LPL can act as a ligand of lipoprotein receptors such as the LDL receptor or the LDL receptor-related protein 1 (LRP1) suggesting that it could contribute to lipoprotein catabolism in the liver [7–9].

Mice with total LPL deficiency die immediately after birth from hypertriglyceridemia [10,11]. On the other hand, overexpression of

LPL in mice was associated with higher LPL activity, decreased plasma TG and reduced atherosclerosis due to the reduction in lipoprotein remnants [12–14]. Previous studies have shown that deficiencies in LPL or apoC-II in humans are associated with hypertriglyceridemia, reduced levels of high density lipoprotein cholesterol (HDL), familial chylomicronemia or/and premature atherosclerosis [15–19]. Patients with familial LPL deficiency are characterized by very low or absence of LPL activity due to loss-of-function mutations in the LPL gene. Mutations in the homozygous or compound heterozygous form result in markedly decreased or absent LPL activity with consequent extremely low levels of HDL cholesterol, severe hypertriglyceridemia and pancreatitis. Heterozygous LPL deficiency usually results in normal to moderately elevated plasma triglyceride concentrations as a result of a decrease in the LPL activity but this can lead to more severe hypertriglyceridemia when there are other conditions like diabetes or high alcohol intake [20–26].

Members of the peroxisome proliferator activated receptor (PPAR) family of hormone nuclear receptors such as PPAR $\alpha$  and PPAR $\gamma$  regulate the activity of the LPL promoter in response to fibrates, fatty acids or fasting [27–32]. The oxysterol receptor Liver X Receptor (LXR) was shown to bind to a responsive element in the first intron of the mouse LPL gene and to facilitate the induction of LPL gene in response to a

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high cholesterol diet or to a synthetic LXR agonist in the liver and macrophages, but not in muscle or adipose tissue where the expression of LPL is constitutively high [33].

In adult mice LPL gene expression in the liver is low compared with other tissues [34]. However, hepatic LPL was recently shown to play an important physiological role in plasma lipid homeostasis in adult mice because ablation of hepatic LPL decreased significantly plasma LPL content and elevated plasma TG and cholesterol levels [34].

Forkhead box A2 (FOXA2)/Hepatocyte Nuclear Factor 3 $\beta$  (HNF3 $\beta$ ) belongs to the forkhead box family of liver transcription factors that includes also FOXA1 and FOXA3 (or HNF3 $\alpha$ , HNF3 $\gamma$ ) [35]. These factors contain a conserved DNA binding domain and bind as monomers to DNA elements having homology with the consensus sequence 5'-T(G/A)TTT(A/G)(C/T)T-3' [36,37]. FOXA2 is expressed at high levels in the liver and at lower levels in other tissues [38]. Mice with total ablation of FOXA2 die early during embryogenesis due to developmental defects [39,40].

FOXA2 plays a critical role in glucose homeostasis in the liver, by controlling the expression of gluconeogenesis genes in response to insulin, including phosphoenolpyruvate carboxykinase (PEPCK) and glucose-6-phosphatase (G6Pase) [41–43]. During fasting, liver FOXA2 enhances the catabolism of fatty acids and the secretion of VLDL and HDL by activating genes involved in these pathways [44–47]. Furthermore, liver-specific FOXA2 ablation in mice revealed that FOXA2 plays an important role in bile acid homeostasis because it regulates the expression of genes of bile acid metabolism such as bile acid transporters [48]. Mice with FOXA2 haploinsufficiency or with hyperinsulinemia caused by mutations in leptin signaling exhibited decreased pre- $\beta$  HDL levels in the plasma and this has been correlated with low levels of expression of the apolipoprotein M (apoM) gene which is a FOXA2 target [45,49]. In a previous study we had shown that FOXA2 inhibits the expression of the cholesterol and phospholipid transporter ATP binding cassette transporter 1 (ABCA1) in hepatic cells thus regulating HDL biogenesis [50].

Several studies have used genome wide analyses (ChIP-Seq, ChIP-chip) in liver-derived cell lines and liver samples from wild type or hepatocyte-specific FOXA2 deficient mice and identified many FOXA2 binding sites within the promoters or introns of genes involved in lipid and triglyceride metabolism [37,48,51–53]. Furthermore, gene chip expression analysis of FOXA2-induced genes in livers from mice expressing constitutively active FOXA2 showed increased expression levels of genes involved in  $\beta$ -oxidation, ketogenesis, and TG metabolism including the LPL gene [44].

The objective of this study was to identify cis-acting DNA elements and transcription factors that control the expression of the human LPL gene in hepatocytes.

## 2. Materials and methods

Materials and a more detailed description of methodologies used in this study can be found in the Supplement.

### 2.1. Plasmid constructions

The human LPL promoter plasmids (–883/+39) LPL-Luc, (–669/+39) LPL-Luc, (–466/+39) LPL-Luc, (–262/+39) LPL-Luc, (–109/+39) LPL-Luc and (–28/+39) LPL-luc were generated by PCR amplification and cloned at the *Xho*I-*Hind*III sites of pBluescript vector bearing the luciferase gene. The (–883/+39) mut LPL-luc and (–109/+39) mut LPL-luc constructs which bear mutations in the FOXA2 binding site of the LPL promoter were generated by site-directed mutagenesis using the QuickChange Site-Directed Mutagenesis Kit. All oligonucleotides used as primers in PCR amplification or in mutagenesis were synthesized at the microchemical facility of the Institute of Molecular Biology and Biotechnology (IMBB) (Heraklion, Greece), and their

sequence is shown in Table 1. The expression vector CMV-rFOXA2 was described previously [50].

### 2.2. Cell culture and treatments

Human hepatoma HepG2 cells and human embryonic kidney cells (HEK293T) were cultured in Dulbecco's modified Eagle's medium (DMEM high glucose) supplemented with 10% fetal bovine serum (FBS), L-glutamine, and penicillin/streptomycin at 37 °C in a 5% CO<sub>2</sub> atmosphere. For the treatment of HepG2 cells with insulin, cells were plated in DMEM low glucose + Glutamax supplemented with 10% fetal bovine serum and penicillin/streptomycin, serum-starved for 18 h with DMEM low glucose + Glutamax supplemented with penicillin/streptomycin and stimulated with insulin for 24 h at a final concentration of 500 nM. Mouse 3T3-L1 pre-adipocytes were grown in DMEM (high glucose) supplemented with 10% newborn calf serum (NBCS), L-glutamine, 1% sodium pyruvate and penicillin/streptomycin (Normal Growth Medium) at 37 °C in a 5% CO<sub>2</sub> atmosphere. For adipocyte differentiation, 3T3-L1 cells were maintained for 1 day in normal growth medium and then switched for 2 days to differentiation medium, a mixture of DMEM supplemented with 10% FBS, 1% sodium pyruvate, penicillin/streptomycin, 0.17  $\mu$ M insulin, 0.25  $\mu$ M dexamethasone and 0.5 mM isobutylmethylxanthine (IBMX). After this time cells were cultivated in differentiation medium without the inducers dexamethasone and IBMX for 2–3 days. About 2 days after removal of dexamethasone and IBMX, adipocyte colonies began to be visible as regions containing rounded cells with numerous intracellular lipid droplets. Then the medium of cells was switched again to the differentiation medium supplemented with the inducers (dexamethasone and IBMX) for 2 more days. The cells were maintained for 1 day in differentiation medium without the inducers and the next day cells were harvested.

### 2.3. Transient transfections, siRNA silencing and reporter assays

Transient transfections in HepG2 and HEK293T cells were performed using the calcium phosphate [Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>] co-precipitation method. Lipofectamine 2000 reagent was used in HepG2 cells that were transfected with FOXA2 expression vector for protein and RNA extraction, according to the manufacturer's instructions. Transient transfections in 3T3-L1 cells and insulin treated HepG2 cells were performed using Lipofectamine 2000. HepG2 and HEK293T cells were treated with scrambled siRNA or siRNA against FOXA2 for 40 h using Lipofectamine RNAiMAX according to the manufacturer's instructions. The silencing efficiency of FOXA2 was confirmed by western blotting or/and qPCR. Luciferase assays were performed 40 h post transfections using the luciferase assay kit from Promega Corp. Normalization for transfection efficiency was performed by  $\beta$ -galactosidase assays.

### 2.4. RNA isolation, reverse transcription, PCR and quantitative PCR (qPCR)

Total RNA was prepared from HepG2, HEK293T or 3T3-L1 cells using Trizol reagent according to the manufacturer's instructions. All oligonucleotide sequences used as primers in qPCR or PCR experiments are shown in Table 1.

### 2.5. Chromatin Immunoprecipitation (ChIP) and DNA Affinity Precipitation (DNAP) assays

Chromatin Immunoprecipitation and DNA Affinity Precipitation Assays were performed as described previously [50]. The oligonucleotides used as primers in these assays are shown in Table 1.

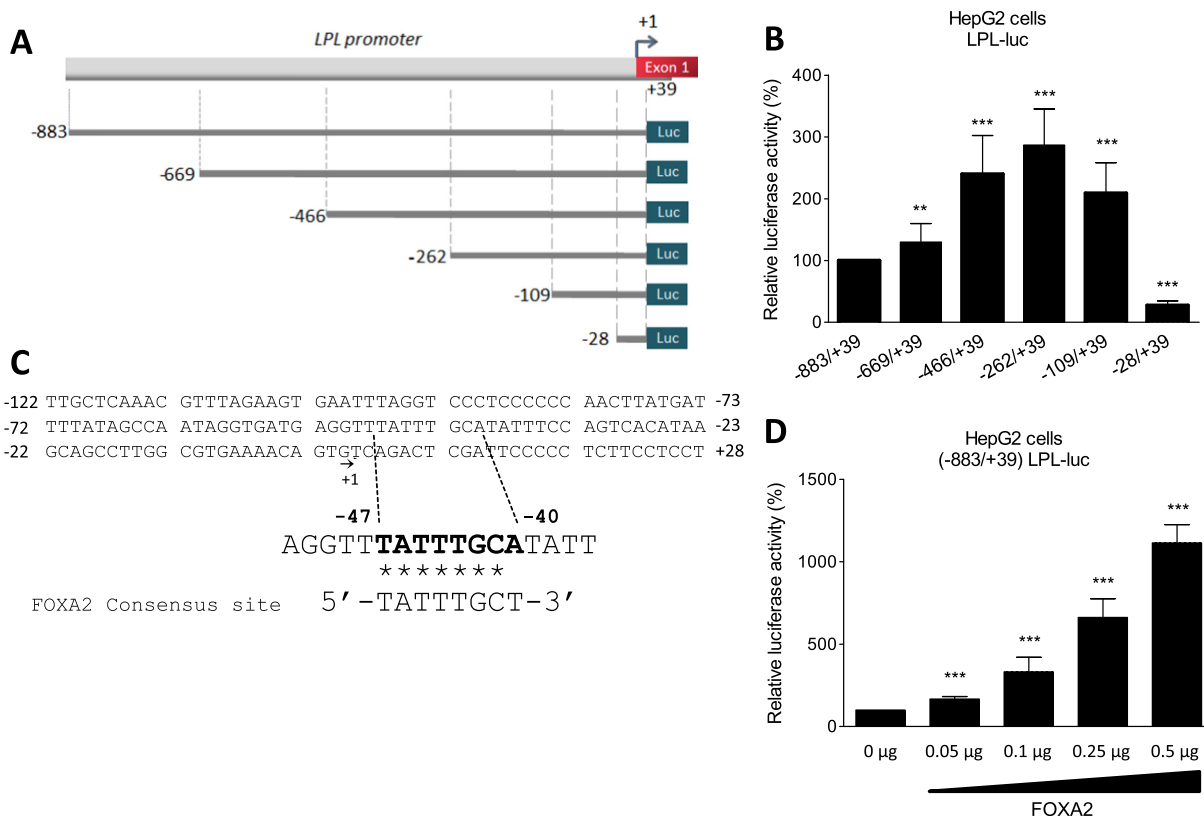
### 2.6. Statistical analysis

Results are expressed as mean  $\pm$  S.D. Statistical significance was determined using two-tailed Student's *t*-test. Differences with *p* < 0.05

**Table 1**Oligonucleotides used in PCR cloning, mutagenesis, PCR, qPCR, DNA affinity precipitation (DNAP), chromatin immunoprecipitation (ChIP) assays and siRNA-mediated silencing.<sup>a</sup>

Name	Sequence	Purpose
LPL – 883 Fw	5' - CCGCTCGAGCAGAGTTGTGCAGCATCAGCAT - 3'	Cloning of the LPL promoter, starting at position – 883
LPL – 669 Fw	5' - CCGCTCGAGACGGCTTTAGATTATTTGACTCG - 3'	Cloning of the LPL promoter, starting at position – 669
LPL – 466 Fw	5' - CCGCTCGAGACCAATGTGTGCCTCTAT - 3'	Cloning of the LPL promoter, starting at position – 466 and DNAP
LPL – 262 Fw	5' - CCGCTCGAGACCTGTGTTGGTCTTAGACA - 3'	Cloning of the LPL promoter, starting at position – 262 and DNAP
LPL – 109 Fw	5' - CCGCTCGAGTAGAAGTGAATTTAGGTCCTC - 3'	Cloning of the LPL promoter, starting at position – 109 and DNAP
LPL – 28 Fw	5' - CCGCTCGAGACATAAGCAGCCTTGGCGTGAAA - 3'	Cloning of the LPL promoter, starting at position – 28 and DNAP
LPL + 39 Rev	5' - CCCAAGCTTTCCCTTGGAGGAGGGAAGAG - 3'	Cloning of the LPL promoter, ending at position + 39
LPL-FOXA2-Mut-Fw	5' - ATAGGTGATGAGGTTCCCGGGCATATTTCCAGTCA - 3'	Mutagenesis of the FOXA2 site of the LPL promoter
LPL-FOXA2-Mut-Rev	5' - TGACTGAAATATGCCCGGAACTCATCACAT - 3'	Mutagenesis of the FOXA2 site of the LPL promoter
LPL-39T/C-Fw	5' - GGTGATGAGGTTTATTTCACATTTCCAGTCACATAAGCAG - 3'	Mutagenesis of the LPL promoter at –39C
LPL-39T/C-Rev	5' - CTGCTTATGTGACTGAAAATGTGCAATAAACCTCATCACC - 3'	Mutagenesis of the LPL promoter at –39C
mLPL Fw	5' - TACAGCCTTGGAGCCCATGCT - 3'	PCR analysis of mLPL cDNA
mLPL Rev	5' - GAAGAGATGAATGGAGCGCTCT - 3'	PCR analysis of mLPL cDNA
mFOXA2 Fw	5' - CGTGAAGATGGAAGGGCAGCAGC - 3'	PCR analysis of mFOXA2 cDNA
mFOXA2 Rev	5' - TTCATGTTGGCGTAGGGGCAAG - 3'	PCR analysis of mFOXA2 cDNA
mGAPDH Fw	5' - ACCACAGTCCATGCCATCAC - 3'	PCR analysis of mGAPDH cDNA
mGAPDH Rev	5' - TCCACCACCTGTGCTGTA - 3'	PCR analysis of mGAPDH cDNA
hLPL Fw	5' - AGCAATACCCAGTGTCCGC - 3'	qPCR analysis of hLPL cDNA
hLPL Rev	5' - GGGCTCCAAGGCTGTATCC - 3'	qPCR analysis of hLPL cDNA
hGUSB Fw	5' - CACAAGAGTGGTCTGAGGA - 3'	qPCR analysis of hGUSB cDNA
hGUSB Rev	5' - ACCAGGTTGCTGATGTCCG - 3'	qPCR analysis of hGUSB cDNA
hFOXA2 Fw	5' - CTGGGAGCGGTGAAGATGG - 3'	qPCR analysis of hFOXA2 cDNA
hFOXA2 Rev	5' - CATGTTGCTCAGGAGGAGT - 3'	qPCR analysis of hFOXA2 cDNA
LPL + 39 Rev-Bio	5' - Bio-TTCCCTTGGAGGAGGGAAGAGGGGAAT - 3'	DNAP, 5' biotinylated
LPL – 141 ChIP Fw	5' - GGTGATCTCTACTACTGTTTCT - 3'	ChIP analysis of the LPL promoter, starting at position – 141
LPL – 10 ChIP Rev	5' - ACGCCAAGGCTGCTTATG - 3'	ChIP analysis of the LPL promoter, ending at position – 10
LPL intron2 ChIP Fw	5' - GACGGTGCCACTTCTATCA - 3'	ChIP analysis of the 2nd intron of LPL
LPL intron2 ChIP Rev	5' - TGCACAGCCCAACTCAGTC - 3'	ChIP analysis of the 2nd intron of LPL
FOXA2 siRNA	5' - CAGCAGAGCCCAACAAGA - 3'	siRNA silencing of human FOXA2 gene
Scrambled siRNA	5' - CAGTCGCTTTGCGACTGG - 3'	non-specific siRNA (control)

<sup>a</sup> *Xho*I (CTCGAG) and *Hind*III (AAGCTT) sites are underlined. Nucleotide substitutions in the primers used for site-directed mutagenesis are in bold.



**Fig. 1.** The human LPL promoter contains a putative FOXA2 binding element. (A) Schematic representation of the 5'-deletion fragments of the (–883/+39) human LPL promoter that were used in the transactivation experiments of panel B. (B) HepG2 cells were transiently transfected with the indicated LPL-luc reporter plasmids (1 μg) along with a β-galactosidase expression plasmid (1 μg) which was included in each sample for normalization of transfection variability. Luciferase activity was normalized to β-galactosidase activity and presented with histograms. Each value represents the average (±SD) from eight independent experiments performed in duplicate. (C) Sequence of the proximal human LPL promoter region –122/+28. The putative FOXA2 binding site in the –47/–40 region is in bold letters. Homology of the putative FOXA2 binding site of the LPL promoter with the FOXA2 consensus site is indicated with the asterisks. (D) HepG2 cells were transiently transfected with the (–883/+39) LPL-luc reporter plasmid (1 μg) along with increasing concentrations (0.05–0.5 μg) of a FOXA2 expression vector. The normalized relative luciferase activity is presented with histograms. Each value represents the average (±SD) from at least three independent experiments performed in duplicate. \*\*, p < 0.01; \*\*\*, p < 0.001.

were considered to be statistically significant. Analysis was performed using GraphPad Prism software (GraphPad Software Inc., La Jolla, CA).

### 3. Results

#### 3.1. Functional analysis of the human LPL promoter in hepatic cells reveals a putative FOXA2 binding site in the proximal region

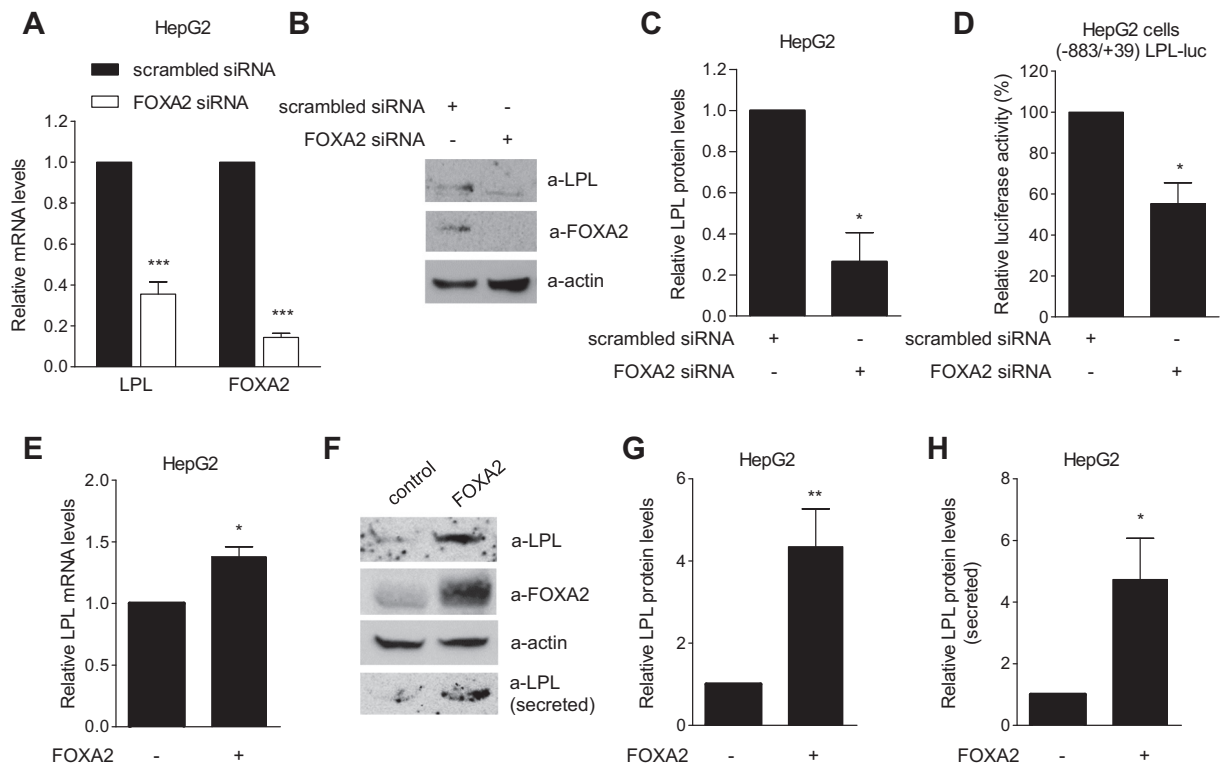
In order to identify and characterize regulatory elements that are critical for the expression of the human LPL gene, we amplified by polymerase chain reaction from human genomic DNA the human  $-883/+39$  LPL promoter and generated a series of 5' deletions:  $-669/+39$ ,  $-466/+39$ ,  $-262/+39$ ,  $-109/+39$  and  $-28/+39$  (Fig. 1A). These LPL promoter fragments were cloned upstream of the luciferase reporter gene and their relative transcriptional activity was determined by luciferase assays in human hepatoblastoma HepG2 cells. As shown in Fig. 1B, serial deletions of the LPL promoter from position  $-883$  to position  $-262$  were associated with a step-wise increase in the activity of the promoter suggesting the presence of inhibitory regulatory region(s) in the area defined by nucleotides  $-883$  and  $-262$ . Further deletion to nucleotide  $-109$  caused a small reduction in promoter activity. Importantly, deletion of the LPL promoter from nucleotides  $-109$  to  $-28$  abolished the activity of the LPL promoter suggesting the presence of positive regulatory elements within the  $-109/-28$  region (Fig. 1B).

The proximal  $-122/+28$  region of the human LPL promoter contains one putative binding site for the hepatocyte-specific transcription factor

FOXA2 at position  $-47/-40$  having homology (7/8 nucleotides) with the consensus FOXA2 recognition sequence 5'-T(G/A)TTT(A/G)(C/T)T-3' (Fig. 1C) [37]. Overexpression of FOXA2 in HepG2 cells increased the activity of the  $-883/+39$  LPL promoter in a dose-dependent manner (Fig. 1D). Similar dose-dependent transactivation of the  $-883/+39$  LPL promoter was observed in HEK293T cells (that do not express endogenous FOXA2) when FOXA2 was exogenously overexpressed (Fig. S1A). Collectively, the data of Fig. 1 showed that the forkhead box transcription factor FOXA2 has the ability to transactivate the human LPL promoter in hepatic cells and this transactivation may be facilitated via a putative FOXA2 responsive element present in the proximal LPL promoter.

#### 3.2. FOXA2 is important for LPL gene expression in hepatic cells

The role of FOXA2 in LPL gene expression in hepatic cells was investigated further by gene silencing experiments. HepG2 cells were transfected with a FOXA2-specific siRNA or a non-specific siRNA (scrambled) and the mRNA and protein levels of FOXA2 and LPL were determined by reverse transcription qPCR and Western blotting respectively. The siRNA specific for FOXA2 abolished the expression of the FOXA2 gene in HepG2 cells and this silencing was associated with a 65% decrease in LPL mRNA (Fig. 2A) and 75% decrease in LPL protein levels (Fig. 2B and C). Furthermore, silencing of endogenous FOXA2 in HepG2 cells caused a 50% decrease in the activity of the  $-883/+39$  LPL promoter relative to the same concentration of a scrambled siRNA



**Fig. 2.** FOXA2 is a positive regulator of LPL gene expression in HepG2 cells. (A) HepG2 cells were transfected with 50 nM of scrambled si-RNA or FOXA2 specific si-RNA, total RNA was extracted and LPL and FOXA2 mRNA levels were determined by reverse transcription qPCR. LPL and FOXA2 mRNA levels were normalized relative to the GUSB mRNA levels. Results are expressed as mean ( $\pm$ SD) from at least three independent experiments and shown as a histogram. (B) HepG2 cells were transfected with 50 nM of scrambled si-RNA or FOXA2 si-RNA and the protein levels of LPL, FOXA2 and actin (loading control) were determined by immunoblotting using the corresponding antibodies. The experiment was performed three times and representative images are presented. (C) Levels of LPL and actin were quantified by densitometry and the normalized relative protein levels are shown as a histogram. (D) HepG2 cells were transiently transfected with the  $(-883/+39)$  LPL-luc reporter plasmid (0.8  $\mu$ g) in the presence of 200 nM of scrambled si-RNA or FOXA2 si-RNA. Each value of luciferase activity represents the average ( $\pm$ SD) from three independent experiments performed in duplicate. (E) HepG2 cells were transiently transfected with a FOXA2 expression vector (6  $\mu$ g) and RNA was extracted. LPL mRNA levels were determined by reverse transcription qPCR, normalized relative to the mRNA levels of the GUSB gene and shown as a histogram. (F) HepG2 cells were transiently transfected with a FOXA2 expression vector (8  $\mu$ g) and the intracellular protein levels of LPL, FOXA2 and actin (loading control) as well as of the secreted LPL were determined by immunoblotting using the corresponding antibodies. The experiment was performed at least three times and representative images are presented. (G, H) Levels of intracellular LPL and actin were quantified by densitometry and the normalized relative protein levels or the secreted LPL protein levels are shown. (C, E, G and H) Results are expressed as mean ( $\pm$ SD) from at least three independent experiments. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .

(Fig. 2D). Similar results were obtained in HEK293T cells that had been co-transfected with the FOXA2 expression vector and siRNA for FOXA2 (Fig. S1C–D)

In line with the data of Fig. 2A–D, overexpression of FOXA2 in HepG2 cells was associated with a 1.4-fold increase in the LPL mRNA levels (Fig. 2E) and a much stronger increase (~4-fold) in the protein levels of LPL, both the intracellular (Fig. 2F and G) and the secreted forms (Fig. 2F and H). Similar increase in LPL mRNA levels was obtained in HEK293T cells transfected with the FOXA2 expression vector (Fig. S1B).

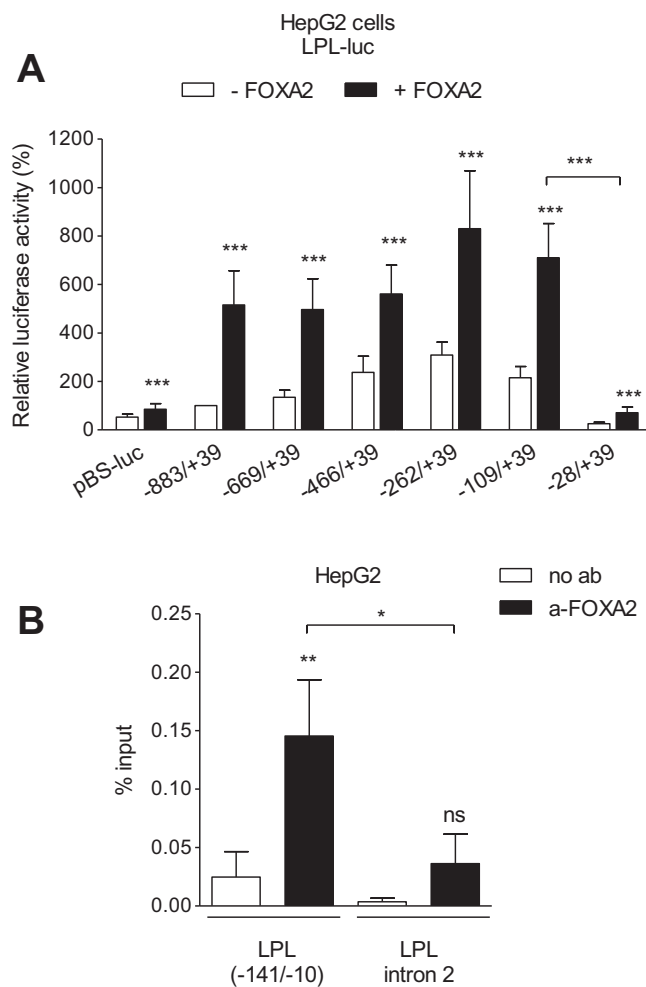
In summary, the findings of Fig. 2 and Fig. S1 indicated that transcription factor FOXA2 plays a positive role in LPL gene expression.

### 3.3. FOXA2 binds to the proximal –47/–40 region of the LPL promoter *in vitro* and *ex vivo*

To identify regulatory regions in the LPL promoter that could serve as binding sites for FOXA2 and could facilitate the transactivation of the LPL promoter by FOXA2, we used the LPL promoter plasmids shown in Fig. 1A in transient transfections in HepG2 cells along with an expression vector for wild type FOXA2 or an empty vector as a control. As shown in Fig. 3A, ectopically overexpressed FOXA2 transactivated the –883/+39, –669/+39, –466/+39, –262/+39 and –109/+39 LPL promoter fragments in HepG2 cells. The proximal LPL promoter between nucleotides –109/+39, that contains the putative –47/–40 FOXA2 element, was the shortest LPL promoter fragment that was responsive to FOXA2 overexpression because further deletion to nucleotide –28 abolished the transactivation by FOXA2 (the transactivation of the –28/+39 LPL promoter by FOXA2, although statistically significant, was comparable to the transactivation of the empty luciferase vector) (Fig. 3A).

Next, we used the chromatin immunoprecipitation assay in order to monitor the recruitment of FOXA2 to the LPL promoter *ex vivo*. This experiment was performed in HepG2 cells and for the qPCR assays we used primers that recognize either the proximal –141/–10 region of the LPL promoter bearing the putative FOXA2 site or a non-related distal region in the 2nd intron of this gene. As shown in Fig. 3B, endogenous FOXA2 was found to be recruited to the proximal LPL promoter but not to the unrelated region in HepG2 cells.

Direct binding of FOXA2 to the proximal human LPL promoter was confirmed using the DNA affinity precipitation assay. For this purpose, biotinylated PCR fragments corresponding to the LPL promoter regions –466/+39, –262/+39, –109/+39 and –28/+39 (Fig. 4A) were amplified, coupled to streptavidin dynabeads and incubated with extracts from HEK293T cells expressing FOXA2 exogenously. As shown in Fig. 4B and C, FOXA2 bound very strongly to the –466/+39, –262/+39 and –109/+39 LPL promoter fragments but did not bind to the –28/+39 fragment lacking the putative FOXA2 site. In control experiments it was shown that FOXA2 did not bind to the streptavidin dynabeads (2nd lane, no oligo). To confirm that the site at –47/–40 is a true FOXA2 binding element, we substituted five nucleotides in this site thus creating a DNA sequence that differed significantly from the consensus FOXA2 recognition element 5'-T(G/A)TTT(A/G)(C/T)T-3' [37]. The mutations that were introduced are shown in Fig. 4A. As shown in Fig. 4C, these mutations abolished the interaction of FOXA2 with the –109/+39 LPL promoter fragment (compare lanes 4 and 5). Similar results were obtained when the DNAP assays were performed using endogenous FOXA2 present in nuclear extracts from HepG2 cells (Fig. 4D). To investigate the functional importance of the proximal FOXA2 element for the FOXA2-mediated transactivation of the LPL promoter, we introduced the same mutations into the –883/+39 LPL promoter, creating the reporter plasmid (–883/+39) LPL-Luc (mut) (Fig. 5A). Mutagenesis of this FOXA2 site decreased the basal activity of the LPL promoter by 60% relative to the wild type promoter in HepG2 cells (Fig. 5B). Furthermore, the transactivation of the mutated LPL promoter by FOXA2 was compromised (Fig. 5B).

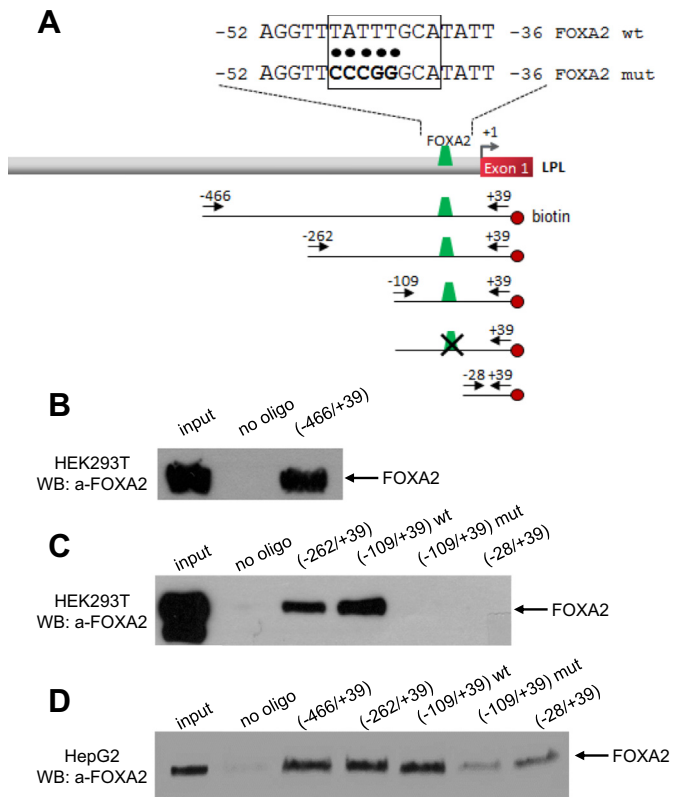


**Fig. 3.** FOXA2 binds to the proximal human LPL promoter *ex vivo*. (A) Transactivation of the human LPL promoter by FOXA2 requires the proximal –109/–28 region which contains the putative FOXA2 binding site. HepG2 cells were transiently transfected with the hLPL-luc reporter plasmids (1  $\mu$ g), shown in Fig. 1A, along with a FOXA2 expression vector (0.25  $\mu$ g). Each value of luciferase activity represents the average ( $\pm$ SD) from at least four independent experiments performed in duplicate. (B) Chromatin immunoprecipitation in HepG2 cells using an anti-FOXA2 antibody or no antibody as negative control (no ab). The precipitation of the LPL promoter was detected by qPCR using primers that are complementary to the proximal (–141/–10) region of the human LPL promoter or to a distal region in the 2nd intron of the LPL gene. Results from qPCR are expressed as binding relative to the input (%). Each value represents the average ( $\pm$ SD) from four independent experiments. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ; ns, not significant.

Overall, the findings of Figs. 3–5 established the presence of a novel FOXA2 binding site in the proximal –47/–40 region of the human LPL promoter that binds FOXA2 with high efficiency and specificity and is critical for the basal and the FOXA2-inducible activity of the LPL promoter in hepatic cells.

### 3.4. The –39T/C mutation in the human LPL promoter reduces basal promoter activity but does not affect FOXA2 binding and transactivation

In a previous study [54] it was shown that a natural mutation in the proximal LPL promoter, a T to C substitution at nucleotide –39 (–39T/C), was identified in a patient with familial combined hyperlipidemia and low LPL activity. The –39T/C substitution which is localized within a binding site for the transcription factor Oct-1, was shown to be associated with a 85% reduction in LPL promoter activity in human macrophages but its effect on hepatic LPL expression was unknown [54,55]. We hypothesized that the close proximity of this substitution to the FOXA2 site could affect the binding of FOXA2 and the FOXA2-mediated



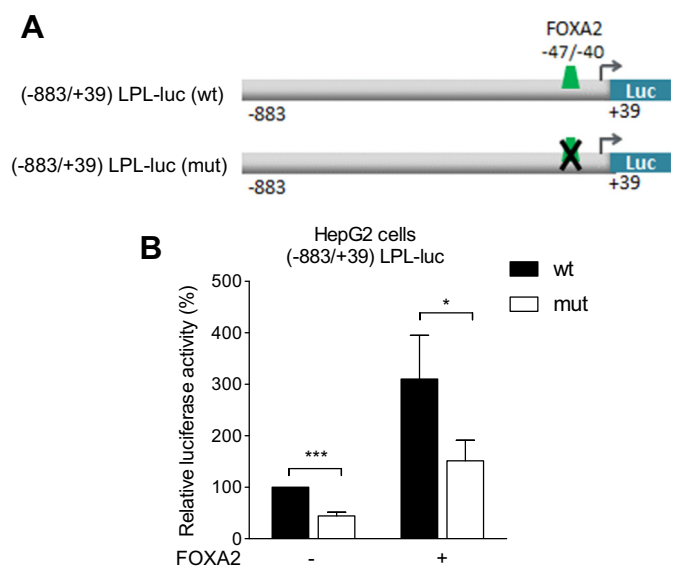
**Fig. 4.** FOXA2 binds to the proximal region (–47/–40) of human LPL promoter *in vitro*. (A) Schematic representation of the human LPL promoter showing the biotinylated promoter fragments used for the experiments of panels B–D and the primer sets (arrows) used for the amplification of the fragments. Five nucleotide substitutions in the FOXA2 site are indicated with the black dots and in bold. (B and C) DNA-affinity precipitation experiments using whole cell extracts from HEK293T cells expressing FOXA2 and the following biotinylated fragments of the human LPL promoter: –466/+39, –262/+39, –109/+39 (wild type or mutated) and –28/+39. DNA-bound FOXA2 was detected by Western blotting using a specific anti-FOXA2 antibody. (D) DNA-affinity precipitation using nuclear extracts from HepG2 cells and biotinylated PCR fragments corresponding to the –466/+39, –262/+39, –109/+39 (wild type or mutated) and –28/+39 region of the human LPL promoter. DNA-bound FOXA2 was detected by Western blotting using the a-FOXA2 antibody. The experiments in B–D were performed at least two times and representative images are presented.

transactivation of the LPL promoter thus reducing the levels of expression of the LPL gene in hepatic cells. To address this question, we initially performed DNAP assays using biotinylated fragments corresponding to either the wild type –109/+39 LPL promoter or the promoter bearing the T/C substitution at position –39 (Fig. 6A). The –39T/C substitution did not affect the binding of FOXA2 expressed endogenously in HepG2 cells (Fig. 6B, compare lanes 4 and 5 with lane 3) or exogenously in HEK293T cells (Fig. 6C, compare lanes 4 and 5 with lane 3). To study further the effect of this mutation on LPL promoter activity, we generated a mutated –883/+39 LPL promoter bearing the –39T/C substitution and we cloned it upstream of the luciferase gene (Fig. 7A). As shown in Fig. 7B, the –39T/C mutation reduced the basal activity of the –883/+39 LPL promoter by 60% but did not affect the FOXA2-mediated transactivation of the LPL promoter in HepG2 cells.

The combined data of Figs. 6 and 7 indicate that the –39T/C mutation in the human LPL promoter reduces basal promoter activity but does not affect FOXA2 binding and transactivation in hepatic cells.

### 3.5. Reduction in LPL mRNA levels and LPL promoter activity by insulin in HepG2 cells due to an insulin-mediated nuclear export of FOXA2

It has been shown previously that the activity of FOXA2 is regulated via phosphorylation by AKT kinase in response to insulin which causes



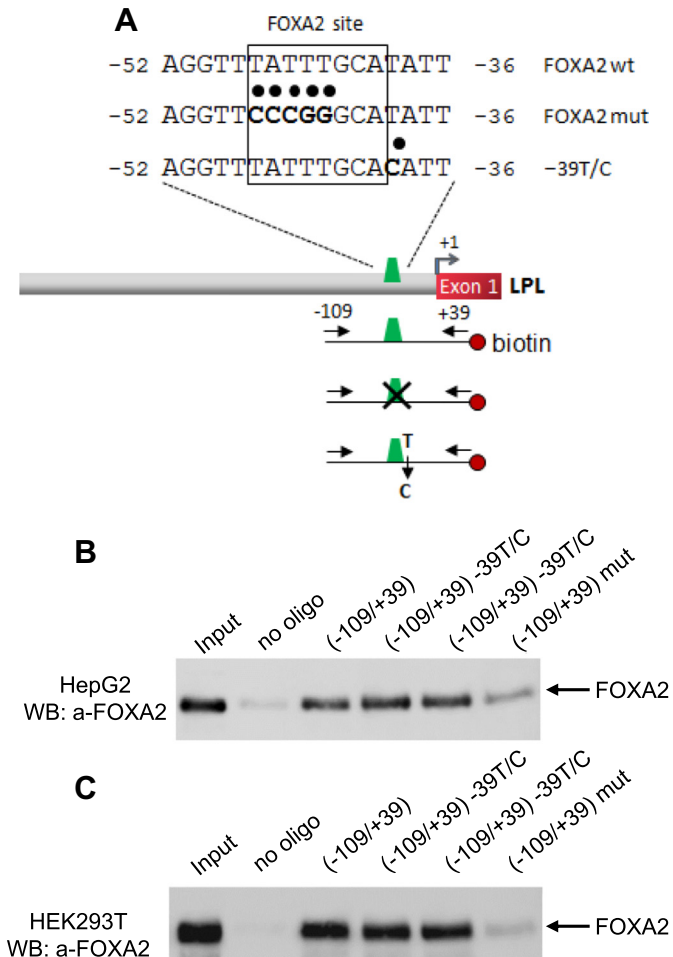
**Fig. 5.** Mutations in the FOXA2 binding site reduce basal LPL promoter activity and FOXA2 transactivation. (A) Schematic representation of the wild type LPL promoter construct and the corresponding construct bearing the mutations in the FOXA2 site that are shown in Fig. 4A. (B) HepG2 cells were transiently transfected with the (–883/+39) LPL-luc (wt) or (–883/+39) LPL-luc (mut) reporter plasmids (1 μg), in the presence or in the absence of the expression vector for FOXA2 (0.25 μg). The normalized, relative luciferase activity (±SD) calculated from three independent experiments performed in duplicate is presented. \*,  $p < 0.05$ ; \*\*\*,  $p < 0.001$ .

the export of FOXA2 from the nucleus leading to the inhibition of the expression of FOXA2 target genes [44]. To examine whether this mechanism applies to the hepatic regulation of the LPL gene, we treated HepG2 cells with insulin and we determined the mRNA levels of LPL as well as the intracellular localization of FOXA2. As shown in Fig. 8A and D, insulin induced the phosphorylation of AKT kinase and this induction was associated with a decrease in the nuclear localization and an increase in the cytoplasmic localization of endogenous FOXA2 in HepG2 cells (Fig. 8A–C). Cytoplasmic sequestration of FOXA2 by insulin treatment was associated with a reduction in the mRNA levels of LPL (Fig. 8E) and an inhibition in the activity of the –883/+39 LPL promoter (Fig. 8G). The mRNA levels of the FOXA2 gene were not affected by the insulin treatment (Fig. 8F). The data of Fig. 8 suggest that the phosphorylation of FOXA2 by the insulin/PI3K/AKT pathway and its nuclear export in HepG2 cells inhibits the expression of the LPL gene.

## 4. Discussion

### 4.1. The role of liver LPL in plasma lipoprotein metabolism

Lipoprotein Lipase is a member of the triglyceride lipase gene family that catalyzes the hydrolysis of TG-rich lipoproteins such as VLDL and chylomicrons [1]. LPL is synthesized by parenchymal cells in adipose tissue, skeletal muscle and heart but can be found at lower levels in many other tissues, including macrophages, kidney, brain, adrenals, lung and embryonic liver [2–6]. In adult mice LPL gene expression in the liver is low compared with other tissues [34] but the physiological role of liver LPL still remains controversial. Previous studies have addressed the physiological role of LPL in the liver. Merkel et al. created a transgenic mouse model that expresses LPL specifically in the liver [56]. These mice had increased plasma ketones and glucose and large amounts of intracellular lipid droplets in their livers. Expression of LPL in the adult was associated with slower turnover of VLDL and increased production of VLDL TG [56]. Kim et al. showed that liver-specific overexpression of LPL in mice leads to an increase in liver TG content and insulin resistance associated with defects in insulin activation of insulin receptor substrate-2 (IRS2) associated phosphatidylinositol 3-kinase (PI3K) activity

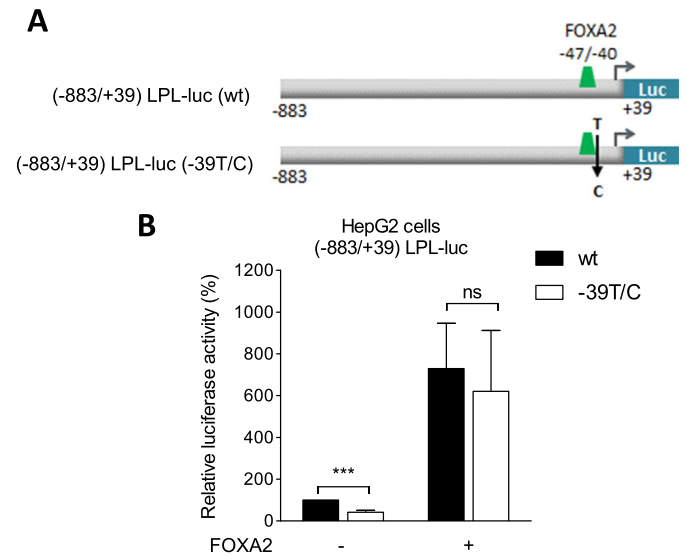


**Fig. 6.** A single nucleotide substitution downstream of the FOXA2 site does not affect the binding of FOXA2 to the LPL promoter. (A) Schematic representation of the human LPL promoter showing the biotinylated promoter fragments used for the experiments of panels B and C and the primer sets (arrows) used for the amplification of the fragments. Nucleotide mutations in the sequence of LPL promoter are indicated with black dots and in bold. (B) DNA-affinity precipitation using HepG2 nuclear extracts and biotinylated PCR fragments corresponding to the  $-109/+39$  region (wild type or mutated) of the human LPL promoter. FOXA2 binding to the probes was detected by Western blotting using the anti-FOXA2 antibody. (C) DNA-affinity precipitation using whole cell extracts from HEK293T cells expressing FOXA2 and biotinylated probes corresponding to the region  $-109/+39$  (wild type or mutated) of the human LPL promoter. DNA-bound FOXA2 was detected by Western blotting using the anti-FOXA2 antibody. The experiments in B and C were performed two times and representative images are presented.

[57]. Recently Liu et al. generated a mouse with the LPL gene specifically ablated in hepatocytes [34]. The unexpected finding of this study was that deletion of hepatic LPL resulted in a significant decrease in plasma LPL content and activity and as a result, the postprandial TG clearance was markedly impaired, and plasma TG and cholesterol levels were significantly elevated. These findings suggested that despite the low levels of expression in the adult liver, hepatic LPL has a physiologically significant role in lipoprotein metabolism that needs to be explored further under pathological or non-pathological conditions.

#### 4.2. FOXA2 as a central regulator of lipid and lipoprotein metabolism in the liver

In the present study we show that the human lipoprotein lipase gene is a direct target of the transcription factor FOXA2 in hepatic cells. The activation of the LPL gene by FOXA2 is mediated via a novel FOXA2 responsive element that is localized in the proximal LPL promoter. Mutations in this FOXA2 site abolished binding of FOXA2 and the

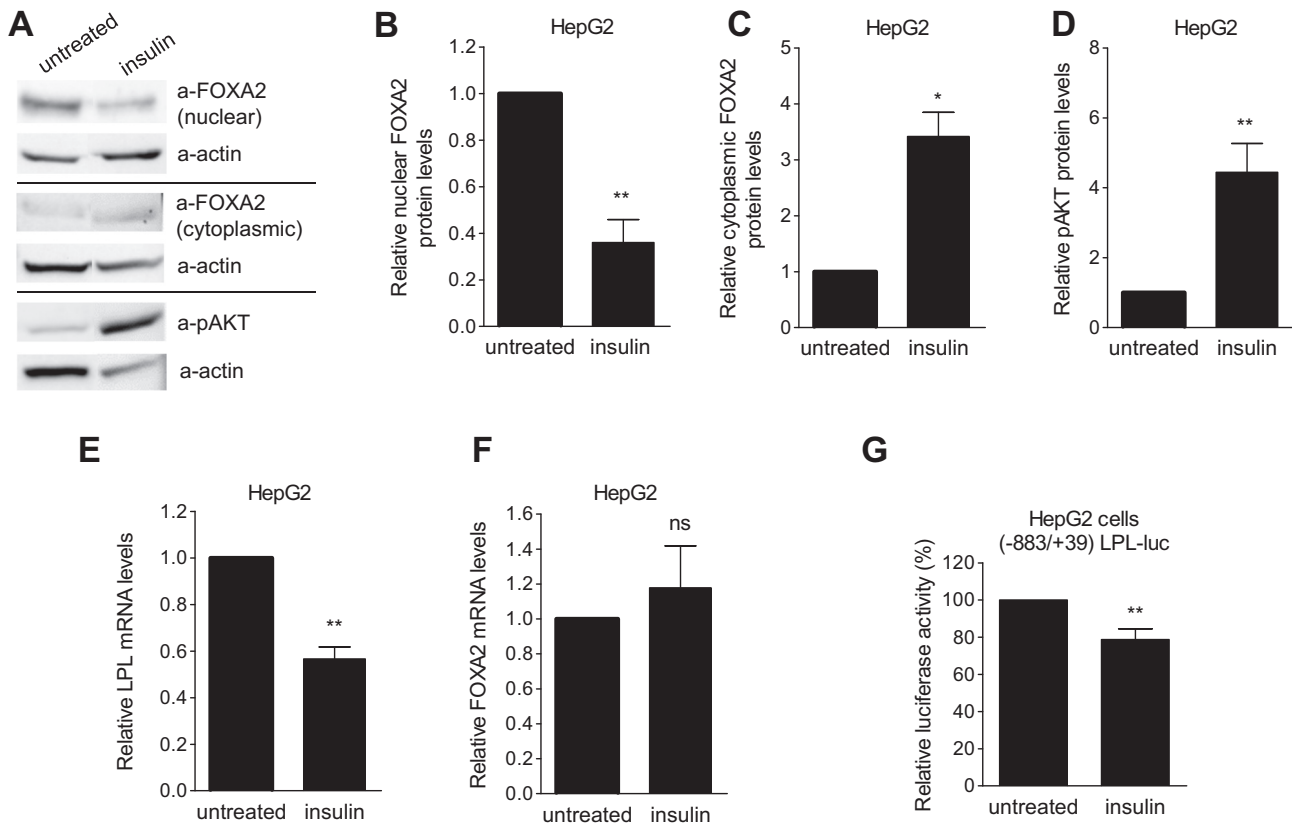


**Fig. 7.** A single nucleotide substitution downstream of the FOXA2 site does not affect FOXA2 mediated transactivation of LPL promoter. (A) Schematic representation of the wild type LPL promoter construct and the corresponding construct bearing a single T/C substitution (at nucleotide  $-39$ ) after the FOXA2 site that is shown in Fig. 6A. (B) HepG2 cells were transiently transfected with the  $(-883/+39)$  LPL-luc (wt) or  $(-883/+39)$  LPL-luc  $(-39T/C)$  reporter plasmids ( $1 \mu\text{g}$ ) in the presence or in the absence of the FOXA2 expression vector ( $0.25 \mu\text{g}$ ). The normalized, relative luciferase activity ( $\pm$ SD) calculated from six independent experiments performed in duplicate is presented. \*\*\*,  $p < 0.001$ ; ns, not significant.

FOXA2-mediated transactivation of the LPL promoter in hepatic cells whereas silencing of FOXA2 was associated with a reduction in the mRNA and protein levels of LPL. Importantly, we show that insulin inhibits the expression of LPL in HepG2 cells via a mechanism that involves the activation of AKT kinase and the subsequent translocation of FOXA2 from the nucleus to the cytoplasm.

FOXA2 (also called Hepatocyte Nuclear Factor 3 $\beta$ ) is expressed mainly in the liver and also in pancreas, stomach, intestine and lung [38]. In the liver, FOXA2 has been shown to stimulate the oxidation of fatty acids, to increase the production of ketone bodies and to increase the secretion of lipoproteins (VLDL, HDL) during fasting [44,47]. Using adenovirus-mediated gene transfer combined with gene expression profiling it was shown that a constitutively active form of FOXA2 (that cannot be inhibited by AKT) increased the expression of genes involved in HDL metabolism,  $\beta$ -oxidation, ketogenesis, glycolysis and TG degradation including the genes for the lipases LPL and LIPC [44]. In another study, it was found that almost half of the genes expressed in the adult liver including the LPL gene contain at least one FOXA2 binding site [53]. Although FOXA2 is a nuclear factor that activates gene transcription when bound to DNA due to the presence of two transactivation domains [38], FOXA2 may also inhibit gene expression. In a previous study we showed that FOXA2 binds to three sites on the proximal promoter of the human ABCA1 gene, one of which is the TATA box and compromises the upregulation of ABCA1 gene expression by oxysterol-activated LXRs [50]. In the liver, excess cholesterol is converted to bile acids for excretion through the bile [58–60]. Importantly, inactivation of FOXA2 in the liver inhibited the expression of bile acid transporters resulting in intrahepatic cholestasis [48]. It was also shown that patients with cholestatic syndromes have lower FOXA2 levels in the liver [48]. Thus, FOXA2 emerges as a key regulator of lipid and lipoprotein metabolism in the liver.

Interestingly, the expression of the LPL gene in the adult mouse liver is also induced strongly by oxysterols, either synthetic or endogenous following a cholesterol-rich diet [33]. It was shown that LXRs induce LPL gene expression in the liver by acting on a distal LXRE present in the first intron of the LPL gene [33]. In preliminary experiments we found that FOXA2 cooperates with the LXRs to synergistically induce the transcription of the LPL gene in hepatic cells and that silencing of FOXA2



**Fig. 8.** Nuclear exclusion of FOXA2 by insulin results to downregulation of LPL gene expression. (A) HepG2 cells were treated with insulin (500 nM) for 24 h or left untreated. Nuclear and cytoplasmic protein extracts were isolated and subcellular localization of FOXA2 was determined by immunoblotting using the anti-FOXA2 antibody. The protein levels of actin (loading control) and pAKT (positive control) were determined by immunoblotting using the corresponding antibodies. The experiment was performed at least three times and representative images are presented. (B–D) Levels of nuclear FOXA2 (panel B), cytoplasmic FOXA2 (panel C), pAKT (panel D) and actin were quantified by densitometry and the normalized relative protein levels are shown as histograms. Results are expressed as mean ( $\pm$ SD) from at least three independent experiments. (E and F) HepG2 cells were treated with insulin (500 nM) for 24 h or left untreated and total RNA was extracted. LPL (panel E) and FOXA2 (panel F) mRNA levels were determined by reverse transcription qPCR, normalized relative to the mRNA levels of the GUSB gene and shown as histograms. Results are expressed as mean ( $\pm$ SD) from three independent experiments. (G) HepG2 cells were transiently transfected with the (–883/+39) LPL-luc reporter plasmid (1  $\mu$ g). Following transfection, the cells were treated with 500 nM insulin for 24 h or left untreated. Normalized relative luciferase activity values are shown. Each value represents the average ( $\pm$ SD) from two independent experiments performed in duplicate. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; ns, not significant.

abolishes LPL gene induction by oxysterols (Kanaki and Kardassis, unpublished). These observations indicate that FOXA2 may control the levels of LPL gene in response to intracellular or extracellular signals and facilitate the cross talk between the signaling pathways that are induced by these signals. For instance, inhibition of FOXA2 by insulin could prevent the overexpression of LPL by oxysterols under conditions of cholesterol overload such as a high fat diet which could lead to insulin resistance [57].

The regulation of the human LPL gene by FOXA2 could be a general regulatory mechanism that may operate in tissues other than the liver that express the LPL gene such as the adipocytes. To address this hypothesis, we transfected 3T3-L1 pre-adipocytes with different LPL promoter fragments fused with the luciferase report gene and found that deletions of the LPL promoter from position –883 to –109 did not affect the promoter activity but further deletion to position –28 abolished the activity of the LPL promoter in a similar fashion as in HepG2 cells (Fig. S2A). In agreement with this finding, mutagenesis of the FOXA2 element reduced the basal activity of the –109/+39 LPL promoter by 50%, indicating the importance of this element for the regulation of LPL in 3T3-L1 cells (Fig. S2B). However, differentiation of 3T3-L1 pre-adipocytes to mature adipocytes was associated with a severe reduction in FOXA2 mRNA levels (Fig. S2C) and nuclear protein levels (Fig. S2D) whereas the mRNA levels of LPL gene were increased during adipocyte differentiation (Fig. S2C). These data suggest that the LPL gene expression is not regulated by FOXA2 in adipocytes. However, the –47/–40 element of the LPL promoter may facilitate the involvement of other adipocyte transcription factors that regulate the LPL gene.

#### 4.3. The proximal LPL promoter bears a dual specificity responsive element that binds Oct-1 and FOXA2 and is responsive to insulin

Mutations in the LPL promoter in humans are associated with reduced LPL expression levels and promoter activity [61]. In one of these cases, a compound heterozygote [–39T/C; –39T/G] was identified with familial combined hyperlipidemia and reduced post-heparin LPL levels. The –39T/C substitution is localized within a binding site for the transcription factor Oct-1 [54,55]. Oct-1 is a member of the POU domain of transcription factors that bind to a DNA sequence motif known as the octamer motif having the consensus sequence 5' "ATGC(A/T)AAT" 3' [62]. Oct-1 is ubiquitously expressed and serves as a sensor for both metabolic and stress signals [62–64]. In liver cells, Oct-1 binds to the promoter of the carbohydrate response element binding protein (ChREBP) gene and inhibits its expression [65]. Interestingly, insulin increases the activity of the ChREBP promoter in hepatic cells and stimulates ChREBP expression via the octamer motif [65,66] suggesting that insulin signaling regulates the activity of Oct-1 by an unknown mechanism. The precise role of Oct-1 in LPL gene regulation has not been elucidated but the decrease in the LPL promoter activity caused by the –39T/C substitution which destroys the octamer motif suggests that this factor plays a positive role in LPL gene transcription. The close proximity of the –39T/C substitution to the FOXA2 binding site identified in the present study (–47/–40) prompted us to functionally characterize this substitution in the context of the hepatic LPL regulation. Our data showed that the –39T/C substitution reduced



LPL promoter activity by 60% in HepG2 cells but had no effect on the binding of FOXA2 to the LPL promoter *in vitro* as well as on the FOXA2-mediated transactivation of the LPL promoter in HepG2 cells. Importantly, both FOXA2 and Oct-1 factors are inhibited by insulin in hepatic cells (Fig. 8 and ref. [66]). The combined data propose that the –47/–38 region of the human LPL promoter is a novel dual insulin-responsive element that can bind either Oct-1 or FOXA2 and could facilitate the inhibition of the human LPL gene expression by insulin and possibly by other factors that regulate the activity of the two nuclear proteins in LPL-expressing cells.

#### 4.4. Significance of LPL regulation by FOXA2 in the liver

In a previous paper [50] we had shown that FOXA2 inhibits the expression of the human transporter ABCA1 thus revealing a novel role of this transcription factor in the biogenesis of HDL. With our new study we reveal a wider role of this transcription factor in the liver that is not restricted to HDL biogenesis but through an insulin-AKT-FOXA2-LPL signaling cascade may control the catabolism of TG-rich lipoproteins such as VLDL and chylomicrons. This pathway may prevent the accumulation of LPL in the liver under certain conditions such as cholesterol overload which strongly induces liver LPL thus protecting this tissue from the toxic effects of LPL overexpression as demonstrated recently in mouse studies [57]. Understanding the mechanisms by which FOXA2 regulates the expression of the LPL gene and other genes involved in lipid and glucose metabolism in the liver may open the way to novel therapeutic strategies for patients with metabolic disorders such as dyslipidemia, diabetes and the metabolic syndrome.

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#### Transparency document

The Transparency document associated with this article can be found, in the online version.

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